

SJM



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

25 MT7

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Nicholas A. Toumpas
Commissioner

June 17, 2014

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

SOLE SOURCE

Requested Action

100% General funds

Authorize the Department of Health and Human Services, Office of the Commissioner, to enter into a sole source amendment to an existing agreement , purchase order 1016566, with the Trustees of Dartmouth College, 11 Rope Ferry Road, Hanover, New Hampshire 03755-1404, vendor number 90598, to provide a developmental services interdisciplinary clinic teams by increasing the price limitation by \$236,290.44, from \$37,694,067.24 to an amount not to exceed \$37,930,357.68 effective date of the Governor and Executive Council approval through June 30, 2016. There is no change to the original end date of June 30, 2016. This agreement was originally approved by the Governor and Executive Council on June 22, 2011, item number 111.

Funds are available in the following accounts in State Fiscal Year 2015 and anticipated to be available in State Fiscal Year 2016 upon the availability and continued appropriation of funds in future operating budgets, with authority to adjust amounts between fiscal years if needed and justified.

05-95-48-481010-8925 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF,
HHS: ELDERLY - ADULT SERVICES, GRANTS TO LOCALS, MEDICAID SERVICE GRANTS-SHIP

Table with 6 columns: Fiscal Year, Class / Object, Class Title, Current Modified Amount, Increase Amount, Revised Modified Amount. Rows include SFY 2012-2016 and a Subtotal.

05-95-95-956010-6126 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF,
HHS: COMMISSIONER, OFF MEDICAID & BUSINESS POLICY, MEDICAID ADMINISTRATION

Table with 6 columns: Fiscal Year, Class / Object, Class Title, Current Modified Amount, Increase Amount, Revised Modified Amount. Rows include SFY 2012-2013 and a Subtotal.

**05-95-47-470010-7937 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: OFC OF MEDICAID & BUS. PLCY, OFF. OF MEDICAID & BUS. POLICY, MEDICAID ADMINISTRATION**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2014	102-500731	Contracts for Program Services	\$451,056.52	0	\$451,056.52
SFY 2015	102-500731	Contracts for Program Services	463,276.77	0	463,276.77
SFY 2016	102-500731	Contracts for Program Services	<u>475,826.35</u>	<u>0</u>	<u>475,826.35</u>
		Subtotal:	\$1,390,159.64	\$0	\$1,390,159.64

**05-95-41-4120010-5813 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: JUVENILE JUSTICE SERV, OFFICE OF THE DIRECTOR, HEALTH SERVICES**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2012	101-500730	Medical Payments to Provider	\$307,747.00	\$0	\$307,747.00
SFY 2013	101-500730	Medical Payments to Provider	<u>330,074.25</u>	0	<u>330,074.25</u>
		Subtotal:	\$637,821.25	\$0	\$637,821.25

**05-95-42-421510-7915 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: HUMAN SERVICES, SUNUNU YOUTH SERVICE CENTER, HEALTH SERVICES**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2014	101-500730	Medical Payments to Provider	\$341,808.62	0	\$341,808.62
SFY 2015	101-500730	Medical Payments to Provider	353,964.34	0	353,964.34
SFY 2016	101-500730	Medical Payments to Provider	<u>366,556.12</u>	<u>0</u>	<u>366,556.12</u>
		Subtotal:	\$1,062,329.08	\$0	\$1,062,329.08

**05-95-94-940010-8750 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: NEW HAMPSHIRE HOSPITAL, NEW HAMPSHIRE HOSPITAL, ACUTE PSYCHIATRIC SERVICES**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2012	102-500731	Contracts for Program Services	\$5,690,092.83	\$0	\$5,690,092.83
SFY 2013	102-500731	Contracts for Program Services	6,106,617.31	0	6,106,617.31
SFY 2014	102-500731	Contracts for Program Services	6,900,091.67	0	6,900,091.67
SFY 2015	102-500731	Contracts for Program Services	7,151,901.22	0	7,151,901.22
SFY 2016	102-500731	Contracts for Program Services	<u>7,412,673.59</u>	<u>0</u>	<u>7,412,673.59</u>
		Subtotal:	\$33,261,376.62	\$0	\$33,261,376.62

**05-95-93-930010-5191 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DEVELOPMENTAL SERV DIV OF, DIV OF DEVELOPMENTAL SVCS, SPECIAL MEDICAL SERVICES**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2012	561-500911	Specialty Clinics	\$28,499.00	\$0	\$28,499.00
SFY 2013	561-500911	Specialty Clinics	28,499.00	0	28,499.00
SFY 2014	561-500911	Specialty Clinics	30,000.00	0	30,000.00
SFY 2015	561-500911	Specialty Clinics	30,000.00	0	30,000.00
SFY 2016	561-500911	Specialty Clinics	<u>30,000.00</u>	<u>0</u>	<u>30,000.00</u>
		Subtotal:	\$146,998.00	\$0	\$146,998.00

**05-95-93-930010-5947 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DEVELOPMENTAL SERV DIV OF, DIV OF DEVELOPMENTAL SVCS, PROGRAM SUPPORT**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2012	102-500731	Contracts for Program Services	\$82,129.80	\$0	\$82,129.80
SFY 2013	102-500731	Contracts for Program Services	20,661.20	0	20,661.20
SFY 2014	102-500731	Contracts for Program Services	106,394.34	0	106,394.34
SFY 2015	102-500731	Contracts for Program Services	110,944.58	0	110,944.58
SFY 2016	102-500731	Contracts for Program Services	<u>115,658.43</u>	<u>0</u>	<u>115,658.43</u>
		Subtotal:	\$435,788.35	\$0	\$435,788.35

**05-95-93-930010-7014 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DEVELOPMENTAL SERV DIV OF, DIV OF DEVELOPMENTAL SVCS, EARLY INTERVENTION**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2012	102-500731	Contracts for Program Services	\$0	\$0	\$0
SFY 2013	102-500731	Contracts for Program Services	17,564.00	0	17,564.00
SFY 2014	102-500731	Contracts for Program Services	0	0	0
SFY 2015	102-500731	Contracts for Program Services	0	115,968.16	115,968.16
SFY 2016	102-500731	Contracts for Program Services	<u>0</u>	<u>120,322.28</u>	<u>120,322.28</u>
		Subtotal:	\$17,564.00	\$236,290.44	\$253,854.44

**05-95-93-930010-7016 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS: DEVELOPMENTAL SERV DIV OF, DIV OF DEVELOPMENTAL SVCS, ACQUIRED BRAIN DISORDER SERVICE**

<u>Fiscal Year</u>	<u>Class / Object</u>	<u>Class Title</u>	<u>Current Modified Amount</u>	<u>Increase Amount</u>	<u>Revised Modified Amount</u>
SFY 2012	102-500731	Contracts for Program Services	\$8,884.00	\$0	\$8,884.00
SFY 2013	102-500731	Contracts for Program Services	65,278.00	0	65,278.00
SFY 2014	102-500731	Contracts for Program Services	0	0	0
SFY 2015	102-500731	Contracts for Program Services	0	0	0
SFY 2016	102-500731	Contracts for Program Services	<u>0</u>	<u>0</u>	<u>0</u>
		Subtotal:	\$74,162.00	\$0	\$74,162.00
		Total:	<u>\$37,694,067.24</u>	<u>\$236,290.44</u>	<u>\$37,930,357.68</u>

### Explanation

This is a sole source request to amend the current Agreement with the Trustees of Dartmouth College to provide an interdisciplinary clinic team that will perform critical evaluations for adults and children with developmental disabilities or acquired brain injuries. The Trustees of Dartmouth College is the only in-state facility with the required specialty clinical resources to support this project.

A number of individuals served through the New Hampshire's Area Agency system have unique and very complex psychiatric and behavioral needs. Addressing those needs typically requires comprehensive and in-depth evaluations in order to develop and implement appropriate and effective treatment strategies. In the past, such assessments were done at the Neuropsychological Unit of the New Hampshire Hospital. However, with the closing of that unit in 2009 individuals have been referred to out-of-state clinics for assessments. This request is intended to create the much-needed clinical resources within the State of New Hampshire.

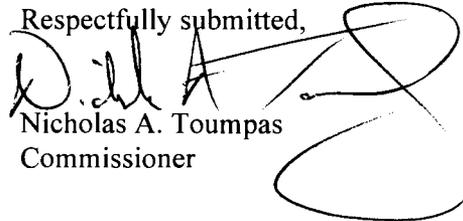
The interdisciplinary clinic team will provide a comprehensive understanding of the individual's psychiatric and behavioral needs and will focus on biological/environmental factors and their interaction that contribute to the person's challenges and strengths. The clinics will generate in-depth report with recommendations that can be utilized by the Systemic - Therapeutic - Assessment - Respite - and Treatment coordinators, area agency and medical providers to provide the best quality of care for each person. The clinics will serve as one point of access to a team of expert providers to reduce the number of medical and multiple appointments and eliminate the need to travel to out-of-state appointments.

The interdisciplinary clinic team will convene two times per month, separately, with one adult and one child for a total of 12 adults and 12 children per year.

Should the Governor and Executive Council determine not to approve this request, the State would need to continue with its current practice of referring individuals to out-of-state clinics for comprehensive psychiatric and behavioral evaluations at a generally higher cost than the proposed in-state clinics.

Area served: statewide.

Source of funds: 100% general funds.

Respectfully submitted,  
  
Nicholas A. Toumpas  
Commissioner



**State of New Hampshire, Department of Health and Human Services  
Trustees of Dartmouth College, Contract Amendment #1**

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**State of New Hampshire  
Department of Health and Human Services  
Amendment #1 to the Trustees of Dartmouth College Contract**

This first Amendment to the Trustees of Dartmouth College contract (hereinafter referred to as "Amendment #1") dated this 17<sup>th</sup> day of June 2014, is by and between the State of New Hampshire, Department of Health and Human Services (hereinafter referred to as the "State" or "Department") and the Trustees of Dartmouth College (hereinafter referred to as "the Contractor"), a special corporate charter granted by the British Crown on December 13, 1769 and existing under the laws of the State of New Hampshire, with a place of business at 11 Rope Ferry Road, Hanover, New Hampshire 03755-1404.

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on June 22, 2011 (item # 111), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, the State and the Contractor have agreed to make changes to the scope of work, payment schedules and terms and conditions of the contract; and

WHEREAS, pursuant to the General Provisions, Paragraph 18 of the Agreement, the State may amend the Contract by written agreement of the parties with the approval of the Governor and Executive Council; and

WHEREAS the Department and the Contractor agree to amend the contract.

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree as follows:

To amend as follows:

- 1) Form P-37, General Provisions, item 1.8 to read:  
\$37,930,357.68;
- 2) Add paragraphs 5.6 and 5.7 to Exhibit A;
- 3) Delete Exhibit B and replace with Exhibit B Amendment #1; and
- 4) Add Exhibit B-1.5.

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State of New Hampshire, Department of Health and Human Services  
Trustees of Dartmouth College, Contract Amendment #1

This amendment shall be effective upon the date of Governor and Executive Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire  
Department of Health and Human Services

6/19/14  
Date

Nicholas A. Toumpas  
Nicholas A. Toumpas  
Commissioner

Trustees of Dartmouth College

6/18/14  
Date

Christine Bothe  
Name and Title  
**Christine Bothe**  
**Associate Director**  
**Office of Sponsored Projects**

Acknowledgement:

State of New Hampshire County of Grafton on 6/18/14, before the undersigned officer, personally appeared the person identified above, or satisfactorily proven to be the person whose name is signed above, and acknowledged that s/he executed this document in the capacity indicated above.

Signature of Notary Public or Justice of the Peace

Heather A. Arnold  
Name and Title of Notary or Justice of the Peace

HEATHER A. ARNOLD  
Notary Public - New Hampshire  
My Commission Expires August 10, 2016



State of New Hampshire, Department of Health and Human Services  
Trustees of Dartmouth College, Contract Amendment #1

The preceding Amendment, having been reviewed by this office, is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

June 19, 2014  
Date

Michael K. Brown  
Name: Michael K. Brown  
Title: Gen. Assist. A.G.

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: \_\_\_\_\_ (date of meeting)

OFFICE OF THE SECRETARY OF STATE

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name:  
Title:

Contractor's Initials: cb  
Date: 6/18/14



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Scope of Services

5.6. Bureau of Development Services (BDS): Adult Developmental Services Interdisciplinary Clinic Team.

5.6.1. The Contractor will provide an Interdisciplinary Clinic Team for Adults as follows:

5.6.1.1. The Contractor shall accept adults being referred from the Area Agencies needing this service. Should the number of referrals exceed the number of clients able to be seen, then the Contractor shall prioritize clients based on the most immediate need and critical situation.

5.6.1.2. The Contractor shall support the goal of this interdisciplinary clinic team by providing high quality interdisciplinary evaluations to adults with developmental disabilities and acquired brain injuries. The Contractor shall provide a comprehensive understanding of the client and will focus on biological / environmental factors and their interaction that contribute to the person's challenges and strengths. The Contractor shall generate one comprehensive report with recommendations that can be utilized by the Systemic - Therapeutic - Assessment - Respite - and Treatment (START) coordinators, area agency and medical providers to provide the best quality of care for each person. The Contractor will serve as one point of access to a team of expert providers to reduce each client's number of medical appointments and reduce each client's need to travel to multiple appointments.

5.6.1.3. The contractor shall convene the interdisciplinary clinic team one time per month and shall conduct a face-to-face appointment with one client per month, for a total of 12 clients per year. The interdisciplinary clinic team meetings and face-to-face client appointments shall take place at the Dartmouth Hitchcock Medical Center. The Contractor shall review all previous records of each client prior to each face-to-face appointment. The Contractor's interdisciplinary clinic team of providers shall meet with the client and the client's team of caregivers as part of the evaluation to obtain history / concerns and examine the client. After meeting and examining the client, the interdisciplinary clinic team shall meet to discuss recommendations. The interdisciplinary team shall generate a comprehensive report regarding the visit and recommendations. The report shall be made available within 15 business days from the date of the last meeting of the interdisciplinary clinic team.

5.6.1.4. The Contractor shall have the client or the client's authorized representative sign a release form identifying the parties to whom the contractor may distribute the comprehensive reports.

5.6.2. The Contractor shall ensure the clinic team members include, but are not limited to:

5.6.2.1. Psychiatrist (0.10 FTE): The psychiatrist will serve as the clinic director, coordinating the team / providers involved in this clinic. The psychiatrist will conduct a comprehensive psychiatric examination; including reviewing the client's entire past psychiatric treatment and medical history. The psychiatrist will make recommendations as part of the comprehensive report regarding evidence based treatment for optimal care for each client.

5.6.2.2. Neuropsychologist (0.05 FTE): The neuropsychologist will review all past psychiatric, medical records, neuropsychological testing and behavioral incidents. The neuropsychologist will document their recommendations as part of the comprehensive report. The neuropsychologist will supervise the neuropsychology



fellow and will oversee the documentation of historical information regarding the client.

- 5.6.2.3. Neuropsychology fellow (0.05 FTE): The neuropsychology fellow review all past medical, past psychiatric records, neuropsychological testing, behavioral incidents and document pertinent historical information regarding each person as part of the comprehensive report.
- 5.6.2.4. Neurologist (0.025 FTE): The neurologist will review past medical records, conduct a physical examination, and document their findings and recommendations as part of the comprehensive report.
- 5.6.2.5. Primary care physician (0.025 FTE): The primary care physician will review past medical records, conduct a physical examination, and document their findings and recommendations as part of the comprehensive report.
- 5.6.2.6. Occupational Therapist (0.025 FTE): The occupational therapist will review past medical records, conduct an occupational therapy evaluation, document their findings and recommendations as part of the comprehensive report.
- 5.6.2.7. Administrative Support (0.025 FTE): The administrative support will schedule the appointment, review received documents and checklist of requested documents, copy records for providers and fax completed reports.

5.7. Bureau of Developmental Services (BDS) Child Developmental Services Interdisciplinary Clinic Team

5.7.1. The Contractor shall provide an Interdisciplinary Clinic Team for Children as follows:

- 5.7.1.1. The Contractor shall accept children being referred from the Area Agencies needing this service. Should the number of referrals exceed the number of clients able to be seen, then the Contractor shall prioritize clients based on the most immediate need and critical situation.
- 5.7.1.2. The Contractor shall support the goal of an interdisciplinary clinic team by providing high quality interdisciplinary evaluations to children and adolescents with developmental disabilities. The Contractor shall provide a comprehensive understanding of the child with a focus on biological / environmental factors and their interaction that contribute to a child's challenges and strengths. The Contractor shall generate one comprehensive report with recommendations that can be utilized by the Systemic - Therapeutic - Assessment - Respite - and Treatment (START) coordinators, area agency and medical providers in order to provide the best quality care for each child. The Contractor will serve as the single point of access to a team of expert providers in order to reduce each client's number of medical appointments and reduce each client's need to travel to multiple appointments.
- 5.7.1.3. The Contractor will convene the interdisciplinary clinic team one time per month and shall conduct a face-to-face appointment with one client per month, for a total of 12 client appointments per year. ) The interdisciplinary clinic team meetings and face-to-face client appointments shall take place at Dartmouth Hitchcock Medical Center. The Contractor shall review all previous records prior to each client's appointment. The interdisciplinary clinic team of providers will meet with the client and the client's team of caregivers as part of the evaluation to obtain history / concerns and examine the client. After meeting and examining the client, the interdisciplinary clinic team will meet to discuss recommendations. The interdisciplinary clinic team



shall generate a comprehensive report regarding the client's appointment and resulting team recommendations. The report shall be made available within 15 business days from the date of the last meeting of the interdisciplinary clinic team.

- 5.7.1.4. The Contractor shall have the client or the client's authorized representative sign a release form identifying the parties to whom the contractor may distribute the comprehensive reports.
- 5.7.2. The Contractor shall ensure the clinic team members include, but are not limited to:
  - 5.7.2.1. Child Psychiatrist (0.10 FTE): The psychiatrist will serve as the clinic director, coordinating the team / providers involved in this clinic. The psychiatrist will conduct a comprehensive psychiatric examination, including reviewing the client's entire past psychiatric treatment history. The psychiatrist will make recommendations as part of the comprehensive report regarding evidence based treatment for optimal care for each patient.
  - 5.7.2.2. Neuropsychologist (0.05 FTE): The neuropsychologist will review all past medical records, neuropsychological testing, behavioral incidents; document their recommendations as part of the comprehensive report. The neuropsychologist will supervise the neuropsychology fellow and will oversee writing the historical information regarding the child.
  - 5.7.2.3. Neuropsychology fellow (0.05 FTE): The neuropsychology fellow will review all past medical records, neuropsychological testing, behavioral incidents and document pertinent historical information regarding each person as part of the comprehensive report.
  - 5.7.2.4. Neurologist (0.025 FTE): The neurologist will review past medical records, conduct a physical examination, and document their findings and recommendations as part of the comprehensive report.
  - 5.7.2.5. Primary care physician (0.025 FTE): The primary care physician will review past medical records, conduct a physical examination, and document their findings and recommendations as part of the comprehensive report.
  - 5.7.2.6. Occupational Therapist (0.025 FTE): The occupational therapist will review past medical records, conduct an occupational therapy evaluation, document their findings and recommendations as part of the comprehensive report.
  - 5.7.2.7. Administrative Support (0.025 FTE): The administrative support will schedule the appointment, review received documents and checklist of requested documents, copy records for providers and fax completed reports.



Exhibit B Amendment #1

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**Method and Conditions Precedent to Payment**

The State shall pay the Contractor an amount not to exceed the Price Limitation, block 1.8, of the General Provisions (Form P-37) for the services provided by the Contractor pursuant to Exhibit A, Scope of Services.

Payment for said services shall be made as follows:

The Contractor will submit an invoice by the tenth working day of each month, which identifies and requests reimbursement for authorized expenses incurred in the prior month. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice for Contractor services provided pursuant to this Agreement.

The invoice must be submitted to:

Financial Manager  
Division of Community Based Care Services  
Department of Health and Human Services  
129 Pleasant Street  
Concord, NH 03301

Each monthly invoice must distinctly identify and differentiate the expense components as charged to each of the seven (7) DHHS Bureaus for which services are provided as follows:

New Hampshire Hospital  
Office of Medicaid Business and Policy  
Bureau of Behavioral Health  
Bureau of Elderly and Adult Services  
Bureau of Developmental Services  
Division of Juvenile Justice Services  
Glenciff Home

The authorized costs and expense components pursuant to this Agreement are identified in the following

Exhibits:  
Exhibit B-1  
Exhibit B-1.1  
Exhibit B-1.2  
Exhibit B-1.3  
Exhibit B-1.4  
Exhibit B-1.5  
Exhibit B-1.51  
Exhibit B-2  
Exhibit B-2.1  
Exhibit B-2.2  
Exhibit B-2.3  
Exhibit B-2.4  
Exhibit B-2.5

Exhibit B

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Contractor Initials

*cb*

Date

*6/18/14*

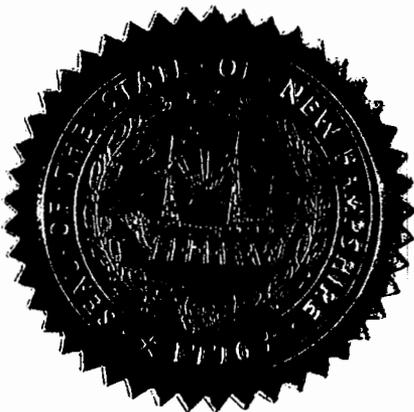
**Exhibit B-1.51**  
**Personnel Component - Bureau of Developmental Services**  
**Interdisciplinary Clinic Teams**  
**Bidder/Program Name: Trustees of Dartmouth College**  
**Budget Request for: Bureau of Developmental Services**

Position Title	Location	FTE	SFY 15		SFY 16		Total	
			Personnel Cost		Personnel Cost		Salary	Fringe
			Salary	Fringe	Salary	Fringe		
Clinical Director -Adult	Jennifer McLaren	0.100	\$ 18,746.00	\$ 6,842.29	\$ 19,308.38	\$ 7,240.64	\$ 38,054.38	\$ 14,082.93
Clinical Director -Child	Jennifer McLaren	0.100	\$ 18,746.00	\$ 6,842.29	\$ 19,308.38	\$ 7,240.64	\$ 38,054.38	\$ 14,082.93
Neurologist	A. Stark-Laudate	0.050	\$ 9,600.00	\$ 3,504.00	\$ 9,888.00	\$ 3,708.00	\$ 19,488.00	\$ 7,212.00
Primary Care Physician -Adult	Lisa Plotnik	0.025	\$ 4,880.27	\$ 1,781.30	\$ 5,026.68	\$ 1,885.00	\$ 9,906.95	\$ 3,666.30
Primary Care Physician -Child	Stephen Mott	0.025	\$ 5,253.00	\$ 1,917.35	\$ 5,410.59	\$ 2,028.97	\$ 10,663.59	\$ 3,946.32
Neuropsychologist -Adult	Laura Flashman	0.050	\$ 6,056.76	\$ 2,210.72	\$ 6,238.46	\$ 2,339.42	\$ 12,295.22	\$ 4,550.14
Neuropsychologist -Child	Jonathan Lichenstein	0.050	\$ 4,674.81	\$ 1,706.31	\$ 4,815.05	\$ 1,805.65	\$ 9,489.86	\$ 3,511.95
Neuropsychology Fellow -Adult	TBD	0.050	\$ 2,022.10	\$ 738.07	\$ 2,082.76	\$ 781.03	\$ 4,104.85	\$ 1,519.10
Neuropsychology Fellow -Child	TBD	0.050	\$ 2,022.10	\$ 738.07	\$ 2,082.76	\$ 781.03	\$ 4,104.85	\$ 1,519.10
Occupational Therapist	Meghan Todd	0.050	\$ 3,251.09	\$ 1,186.65	\$ 3,348.62	\$ 1,255.73	\$ 6,599.72	\$ 2,442.38
Admin Support	Team A -TBD	0.050	\$ 1,983	\$ 724	\$ 2,042	\$ 766	\$ 4,025	\$ 1,490
Indirect Cost Recovery	Rate = 10%	xxx	\$ 7,723.49	\$ 2,819.07	\$ 7,955.19	\$ 2,983.20	\$ 15,678.68	\$ 5,802.27
<b>TOTALS:</b>			\$ 84,958.36	\$ 31,009.80	\$ 87,507.11	\$ 32,815.17	\$ 172,465.47	\$ 63,824.97

State of New Hampshire  
Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that our records show that a special corporate charter was granted to the TRUSTEES OF DARTMOUTH COLLEGE by the British Crown on December 13, 1769. I further certify that no fees are required to be paid to this office by this corporation.



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 21<sup>st</sup> day of April, A.D. 2014

A handwritten signature in cursive script, appearing to read "Wm Gardner".

William M. Gardner  
Secretary of State



BOARD OF TRUSTEES

CERTIFICATE

I, Marcia J. Kelly, hereby certify that I am Assistant Clerk of Trustees of Dartmouth College, a corporation created by Royal Charter and existing under the laws of the State of New Hampshire; that as Assistant Clerk I have custody of the records of meetings of the Board of Trustees of said corporation; and that at a meeting of said Board duly called and held on the 9<sup>th</sup> day of April, 2011 at which a quorum was present and acting throughout, the following vote was adopted:

VOTED: To approve the Signature and Requisition Authority Policy, effective July 1, 2011 or such earlier date as the Executive Vice President/Chief Financial Officer shall determine. The provisions of the Signature and Requisition Authority Policy shall take precedence over any previous inconsistent vote of the Board of Trustees.

I further certify that said Board voted to adopt amendments to the Signature and Requisition Authority Policy on March 3, 2012 (effective January 1, 2012), September 22, 2013, and January 2, 2014. The document is available on Dartmouth website at: <http://www.dartmouth.edu/~control/policies/signature-authority.html>.

I further certify that said vote remains in full force and effect as of the date hereof and is not contrary to any provision of the Charter of said corporation.

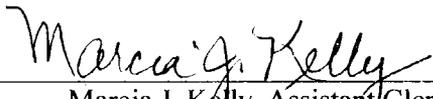
I further certify that attached hereto is a true and correct copy of the Introduction and the Sponsored Activities Administration and Intellectual Property Transactions section (Appendix G) of the said Signature and Requisition Authority Policy.

I further certify that the following persons were appointed to the positions opposite their respective names and continue to serve in said positions as of the dates shown:

Jill Mortali	Director, Office of Sponsored Projects	September 15, 2008
Martin N. Wybourne	Vice Provost for Research	July 1, 2004
Christine Bothe	Associate Director, Office of Sponsored Projects	December 1, 2011
Aarron Clough	Assistant Director, Office of Sponsored Projects	January 1, 2013
Heather A. Arnold	Assistant Director, Office of Sponsored Projects	December 1, 2011

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the corporation this

18<sup>th</sup> day of June, 2014

  
\_\_\_\_\_  
Marcia J. Kelly, Assistant Clerk  
Trustees of Dartmouth College



THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Lockton Companies, LLC Denver 8110 E. Union Avenue Suite 700 Denver CO 80237 (303) 414-6000	CONTACT NAME:	
	PHONE (A/C, No, Ext):	FAX (A/C, No):
	E-MAIL ADDRESS:	
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A:	National Fire Insurance Co of Hartford	20478
INSURER B:	Midwest Employers Casualty Company	23612
INSURER C:	Transportation Insurance Company	20494
INSURER D:		
INSURER E:		
INSURER F:		

INSURED  
1316233 Dartmouth College  
53 South Main Street, Suite 212  
Hanover NH 03755

COVERAGES DARCO02 CERTIFICATE NUMBER: 11559882 REVISION NUMBER: XXXXXXXX

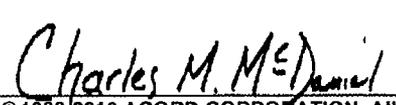
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC			NOT APPLICABLE			EACH OCCURRENCE \$ XXXXXXXX DAMAGE TO RENTED PREMISES (Ea occurrence) \$ XXXXXXXX MED EXP (Any one person) \$ XXXXXXXX PERSONAL & ADV INJURY \$ XXXXXXXX GENERAL AGGREGATE \$ XXXXXXXX PRODUCTS - COMP/OP AGG \$ XXXXXXXX \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS			NOT APPLICABLE			COMBINED SINGLE LIMIT (Ea accident) \$ XXXXXXXX BODILY INJURY (Per person) \$ XXXXXXXX BODILY INJURY (Per accident) \$ XXXXXXXX PROPERTY DAMAGE (Per accident) \$ XXXXXXXX \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$			NOT APPLICABLE			EACH OCCURRENCE \$ XXXXXXXX AGGREGATE \$ XXXXXXXX \$
A C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	N/A	2099375438 (AOS) 2099375472 (CA)	7/1/2013 7/1/2013	7/1/2014 7/1/2014	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B	Excess Work Comp	N	N	EWC008364	7/1/2013	7/1/2014	WC - Statutory; E.L. Limit \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER

CANCELLATION

11559882  Bureau of Behavioral Health Contract Management/Attn: Sandy Lawrence 105 Pleasant Street Concord, NH 03301	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE  
---	---



KPMG LLP  
Suite 400  
356 Mountain View Drive  
Colchester, VT 05446

## Independent Auditors' Report

The Board of Trustees  
Dartmouth College:

We have audited the accompanying financial statements of Dartmouth College (The College), which comprise the statement of financial position as of June 30, 2013 and 2012, and the related statements of activities, and cash flows for the years then ended, and the statement of operating expenses for the year ended June 30, 2013, and the related notes to the financial statements.

### *Management's Responsibility for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### *Auditors' Responsibility*

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### *Opinion*

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Dartmouth College as of June 30, 2013 and 2012, and the changes in its net assets and its cash flows for the years then ended in accordance with U.S. generally accepted accounting principles.



***Other Matter***

As described in Note L, the College's financial statements do not reflect certain interests in third party trusts for which it does not have sufficient current information with respect to the fair value of such trusts. In our report dated November 10, 2012, we expressed a qualified opinion on the College's 2012 financial statements because we were unable to examine evidence regarding the fair value of certain unrecognized interests in third party trusts. In 2013, the American Institute of Certified Public Accountants issued interpretive guidance clarifying when interests in third party trusts should be recorded by not-for-profit organizations. Accordingly our opinion on the 2012 financial statements, as presented herein, is different from that expressed in our previous report.

KPMG LLP

October 21, 2013

## Dartmouth College

### Statement of Financial Position

As of June 30, 2013, with comparative information as of June 30, 2012  
(in thousands)

	2013	2012
<b>Assets</b>		
Cash and cash equivalents	\$ 240,195	\$ 306,241
Receivables and other assets, net	153,764	183,828
Investment related receivables	25,242	38,539
Pledges receivable, net	94,711	142,776
Investments held by bond trustees	-	151
Investments	4,724,245	4,375,764
Land, buildings, equipment, and construction in progress, net	944,327	927,694
<b>Total assets</b>	<b>6,182,484</b>	<b>5,974,993</b>
<b>Liabilities</b>		
Accounts payable and other liabilities	67,985	74,423
Investment related payables	44,911	100,176
Deferred revenues and deposits	41,147	38,121
Liability for split-interest agreements	41,504	41,705
Pension and other employment related obligations	272,450	315,980
Bonds, mortgages, and notes payable, net	1,126,787	1,128,875
Interest rate swap liabilities, at fair value	133,222	216,306
Conditional asset retirement obligations	22,456	21,665
Government advances for student loans	20,332	20,192
<b>Total liabilities</b>	<b>1,770,794</b>	<b>1,957,443</b>
<b>Total Net Assets</b>	<b>\$ 4,411,690</b>	<b>\$ 4,017,550</b>
<b>Net Assets</b>		
Unrestricted	\$ 1,258,727	\$ 1,006,070
Temporarily restricted	2,101,508	1,991,249
Permanently restricted	1,051,455	1,020,231
<b>Total Net Assets</b>	<b>\$ 4,411,690</b>	<b>\$ 4,017,550</b>

2013/06/30 2012/06/30

Dartmouth College

Statement of Activities

For the year ended June 30, 2013, with summarized financial information for the year ended June 30, 2012  
(in thousands)

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total	
				2013	2012
<b>Endowment Activities</b>					
Gifts	\$ 30	\$ 811	\$ 27,206	\$ 28,047	\$ 49,697
Net investment return	97,155	306,549	1,058	404,762	197,299
Distributed for spending	( 43,979)	( 141,499)	-	( 185,478)	( 182,856)
Other changes	11,405	( 8,804)	( 72)	2,529	3,033
Amounts transferred (to) from other funds, net	( 3,527)	( 2,787)	3,666	( 2,648)	5,805
<b>Change in net assets from endowment activities</b>	<b>61,084</b>	<b>154,270</b>	<b>31,858</b>	<b>247,212</b>	<b>72,978</b>
<b>Operating Activities</b>					
Revenues					
Tuition and fees	304,808	-	-	304,808	284,540
Student scholarships	( 124,223)	-	-	( 124,223)	( 116,388)
Net tuition and fees	180,585	-	-	180,585	168,152
Sponsored research grants and contracts	181,517	-	-	181,517	176,155
Dartmouth College Fund and other gifts	81,237	9,095	-	90,332	84,162
Distributed endowment investment return	179,194	4,622	-	183,816	181,164
Other operating income	131,737	8	-	131,745	133,365
Auxiliaries	65,496	-	-	65,496	60,207
Net assets released from restrictions	13,263	( 13,263)	-	-	-
Total revenues	833,029	462	-	833,491	803,205
Expenses					
Academic and student programs	534,885	-	-	534,885	495,958
Sponsored programs	128,000	-	-	128,000	125,013
General institutional services	92,528	-	-	92,528	87,189
Auxiliaries	79,860	-	-	79,860	67,628
Total expenses	835,273	-	-	835,273	775,788
<b>Change in net assets from operating activities</b>	<b>( 2,244)</b>	<b>462</b>	<b>-</b>	<b>( 1,782)</b>	<b>27,417</b>
<b>Non-operating Activities</b>					
Gifts	-	30,009	7,435	37,444	30,865
Other non-operating changes, net	28,946	1,484	-	30,430	15,112
Distributed endowment investment return	385	1,277	-	1,662	1,692
Decrease in outstanding pledges	-	( 41,291)	( 6,774)	( 48,065)	( 30,711)
Pension and postretirement benefit related changes other than net periodic benefit costs	63,258	-	-	63,258	( 40,806)
Disposals and non-capitalized expenditures	( 19,678)	( 2,714)	-	( 22,392)	( 4,396)
Unrealized gain (loss) related to interest rate swap agreements	83,084	-	-	83,084	( 126,903)
Net assets released from restrictions	34,367	( 34,367)	-	-	-
Amounts transferred (to) from endowment, net	3,455	( 807)	-	2,648	( 5,805)
Net change in split-interest agreements	-	1,936	( 1,295)	641	( 1,353)
<b>Change in net assets from non-operating activities</b>	<b>193,817</b>	<b>( 44,473)</b>	<b>( 634)</b>	<b>148,710</b>	<b>( 162,305)</b>
<b>Change in net assets</b>	<b>252,657</b>	<b>110,259</b>	<b>31,224</b>	<b>394,140</b>	<b>( 61,910)</b>
Net Assets, beginning of year	1,006,070	1,991,249	1,020,231	4,017,550	4,079,460
<b>Net Assets, end of year</b>	<b>\$ 1,258,727</b>	<b>\$ 2,101,508</b>	<b>\$ 1,051,455</b>	<b>\$ 4,411,690</b>	<b>\$ 4,017,550</b>

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# Dartmouth College

## Statement of Activities

For the year ended June 30, 2012

(in thousands)

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total 2012
<b>Endowment Activities</b>				
Gifts	\$ 8	\$ 858	\$ 48,831	\$ 49,697
Net investment return	46,784	149,929	586	197,299
Distributed for spending	(44,142)	(138,714)	-	(182,856)
Other changes	(1,249)	1,913	2,369	3,033
Amounts transferred from other funds, net	(599)	2,015	4,389	5,805
<b>Change in net assets from endowment activities</b>	<b>802</b>	<b>16,001</b>	<b>56,175</b>	<b>72,978</b>
<b>Operating Activities</b>				
Revenues				
Tuition and fees	284,540	-	-	284,540
Student scholarships	(116,388)	-	-	(116,388)
Net tuition and fees	168,152	-	-	168,152
Sponsored research grants and contracts	176,155	-	-	176,155
Dartmouth College Fund and other gifts	71,008	13,154	-	84,162
Distributed endowment investment return	176,055	5,109	-	181,164
Other operating income	133,319	46	-	133,365
Auxiliaries	60,207	-	-	60,207
Net assets released from restrictions	8,022	(8,022)	-	-
Total revenues	792,918	10,287	-	803,205
Expenses				
Academic and student programs	495,958	-	-	495,958
Sponsored programs	125,013	-	-	125,013
General institutional services	87,189	-	-	87,189
Auxiliaries	67,628	-	-	67,628
Total expenses	775,788	-	-	775,788
<b>Change in net assets from operating activities</b>	<b>17,130</b>	<b>10,287</b>	<b>-</b>	<b>27,417</b>
<b>Non-operating Activities</b>				
Gifts	-	30,621	244	30,865
Other non-operating changes, net	13,237	1,875	-	15,112
Distributed endowment investment return	367	1,325	-	1,692
Decrease in outstanding pledges	-	(19,379)	(11,332)	(30,711)
Pension and postretirement benefit related changes				
other than net periodic benefit costs	(40,806)	-	-	(40,806)
Disposals and non-capitalized expenditures	(2,697)	(1,699)	-	(4,396)
Unrealized gain (loss) related to				
interest rate swap agreements	(126,903)	-	-	(126,903)
Net assets released from restrictions	34,496	(34,496)	-	-
Amounts transferred to endowment, net	1,100	(7,690)	785	(5,805)
Net change in split-interest agreements	-	(2,153)	800	(1,353)
<b>Change in net assets from non-operating activities</b>	<b>(121,206)</b>	<b>(31,596)</b>	<b>(9,503)</b>	<b>(162,305)</b>
<b>Change in net assets</b>	<b>(103,274)</b>	<b>(5,308)</b>	<b>46,672</b>	<b>(61,910)</b>
<b>Net Assets, beginning of year</b>	<b>1,109,344</b>	<b>1,996,557</b>	<b>973,559</b>	<b>4,079,460</b>
<b>Net Assets, end of year</b>	<b>\$ 1,006,070</b>	<b>\$ 1,991,249</b>	<b>\$ 1,020,231</b>	<b>\$ 4,017,550</b>

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Dartmouth College

**Statement of Operating Expenses**  
for the year ended June 30, 2013, with summarized financial information for the year ended June 30, 2012  
(in thousands)

	General Institutional Services				Total Expenses		
	Academic & Student Programs	Sponsored Programs	Administrative Support	Operation & Maintenance	Development	Auxiliaries	
Salaries and wages	\$ 220,978	\$ 56,494	\$ 25,973	\$ 17,200	\$ 16,730	\$ 59,903	\$ 326,456
Employee benefits	81,988	16,501	9,359	6,105	5,797	21,261	112,937
Fellowships and student support	10,251	3,973	-	-	-	-	14,672
Materials, equipment, and supplies	34,897	10,925	6,659	1,735	1,572	9,966	67,654
Purchased services	47,307	36,820	8,264	3,633	6,078	17,975	100,355
Utilities, taxes, and occupancy	-	-	-	40,134	-	40,134	47,045
Depreciation	39,585	-	2,609	5,625	46	8,280	52,249
Lodging, travel, and similar costs	22,368	3,109	1,469	211	1,685	3,365	29,094
Interest and amortization	-	-	-	23,292	-	23,292	24,334
Other expenses	2,897	178	832	134	451	1,417	4,657
	460,261	128,000	55,165	98,089	32,359	185,593	835,273
Facilities operation & maintenance	74,624	-	4,917	(98,089)	87	(93,065)	-
Total expenses for FY13	\$ 534,885	\$ 128,000	\$ 60,082	\$ -	\$ 32,446	\$ 92,528	\$ 835,273
Total expenses for FY12	\$ 495,958	\$ 125,013	\$ 54,795	\$ -	\$ 32,394	\$ 87,189	\$ 775,749

See accompanying notes to the financial statements.

**Dartmouth College**

**Statement of Cash Flows**

For the year ended June 30, 2013, with comparative information for the year ended June 30, 2012  
(in thousands)

	2013	2012
<b>Cash flows from operating activities</b>		
Total change in net assets	\$ 394,140	(\$ 61,910)
Adjustments to reconcile total change in net assets to net cash used by operating activities:		
Depreciation and amortization	57,984	53,191
Change in estimated value of interest rate swap agreements	(83,084)	126,903
Change in estimated pension and post-retirement benefit obligation	(49,872)	51,705
Change in pledges receivable, net	48,065	30,711
Other non-cash transactions	8,318	1,361
Contributions, investment income, and other changes restricted for long-term investment	(63,557)	(70,569)
Net realized and unrealized gains	(442,883)	(225,284)
Changes in operating assets and liabilities:		
Receivables and other assets, net	20,996	(22,358)
Accounts payable and other liabilities	(5,647)	(13,790)
Deferred revenues and deposits	3,026	3,839
Employment related obligations	6,342	(3,548)
<b>Net cash used by operating activities</b>	<b>(106,172)</b>	<b>(129,749)</b>
<b>Cash flows from investing activities</b>		
Student loans granted	(6,861)	(8,571)
Student loans repaid	15,362	13,820
Purchases of land, buildings, and equipment	(82,836)	(117,952)
Proceeds from the sale of land, buildings, and equipment	473	18,434
Net change in split-interest agreements	(201)	(5,096)
Net change in unsettled trades	(41,968)	(66,402)
Purchases of investments	(4,583,645)	(7,867,688)
Sales and maturities of investments	4,678,047	7,874,530
<b>Net cash used by investing activities</b>	<b>(21,629)</b>	<b>(158,925)</b>
<b>Cash flows from financing activities</b>		
Proceeds from issuance of debt	4,900	244,275
Repayment of debt	(6,993)	(62,364)
Change in investments held by bond trustees	151	22,683
Contributions, investment income, and other changes restricted for long-term investment in:		
Facilities	23,606	16,225
Endowment, life income, and similar funds	39,951	54,344
Changes in government advances for student loans	140	168
<b>Net cash provided by financing activities</b>	<b>61,755</b>	<b>275,331</b>
<b>Net change in cash and cash equivalents</b>	<b>(66,046)</b>	<b>(13,343)</b>
Cash and cash equivalents, beginning of year	306,241	319,584
<b>Cash and cash equivalents, end of year</b>	<b>\$ 240,195</b>	<b>\$ 306,241</b>



BOARD OF TRUSTEES

**Dartmouth College Board of Trustees 2013-2014**

Emily P. Bakemeier '82	Philip J. Hanlon, Ph.D.	Sherri Carroll Oberg '82
Gail Koziara Boudreaux '82	Margaret Hassan	Trevor Rees-Jones '73
R. William Burgess Jr. '81	William W. Helman IV '80	John B. Replogle '88
James G. Coulter '82 ( <i>Vice chair</i> )	David C. Hodgson '78	John Rich '80
Denise M. Dupre '80	Jeffrey Immelt '78	Laurel J. Richie '81
Gregg L. Engles '79	Richard H. Kimball '78	Steven Roth '62
Nathaniel C. Fick '99	Morton M. Kondracke '60	Peggy Epstein Tanner '79
Annette Gordon-Reed '81	Michell H. Kurz '73	Diana Lancaster Taylor '77
	Stephen Mandel Jr. '78 ( <i>Chair</i> )	Benjamin F. Wilson '73

**TRUSTEES OF DARTMOUTH COLLEGE**

Key Personnel

Name	Job Title	Salary	% Paid from this Contract	Amount Paid from this Contract
<b>Child Interdisciplinary</b>				
Jennifer McLaren, MD	Child Psychiatrist		.100 FTE	\$38,054.38
Jonathan Lichtenstein, PsyD	Child Neuropsychologist		.050 FTE	\$9,489.86
Stephen Mott , MD	Developmental Pediatrician and Pediatric Neurologist		.025 FTE	\$10,663.59
Team A	Admin Support		.025 FTE	\$2,012.00
Neuropsychology fellow	Neuropsychology Fellow		.050 FTE	\$4,104.85
<b>Adult Interdisciplinary</b>				
Jennifer McLaren M.D.	Psychiatrist		.100 FTE	\$38,054.38
Alexandra Stark-Laudate, MD	Neurologist		.050 FTE	\$19,488.00
Lisa Plotnik, MD	General Internal Medicine		.025 FTE	\$9,906.95
Laura Flashman, PhD	Psychologist/Neuropsychologist		.050 FTE	\$12,295.22
Neuropsychology Fellow	Neuropsychology Fellow		.050 FTE	\$4,104.85
Meghan Todd, OT	Occupational Therapist		.050 FTE	\$6,566.72
Team A	Admin Support		.025 FTE	\$2,013.00

## CURRICULUM VITAE

**Date Prepared: 05/27/2014**

**NAME: Jennifer McLaren, M.D.**

**ADDRESS:** Office:  
 Dartmouth-Hitchcock Medical Center  
 One Medical Center Drive  
 Lebanon, New Hampshire 03756  
 (603)-650-4724  
 Jennifer.L.McLaren@Hitchcock.org

### EDUCATION:

<u>DATE</u>	<u>INSTITUTION</u>	<u>DEGREE</u>
1997-2001	University of Notre Dame	B.A.
2001-2005	University of Medicine and Dentistry of New Jersey: Robert Wood Johnson Medical School	M.D.

### POSTDOCTORAL TRAINING:

<u>DATE</u>	<u>SPECIALTY</u>	<u>INSTITUTION</u>
07/2005- 07/2008	Internship and General Psychiatry Residency	Dartmouth Hitchcock Medical Center
07/2008- 08/2010	Child and Adolescent Psychiatry Fellowship	Dartmouth Hitchcock Medical Center

### LICENSURE AND CERTIFICATION:

<u>DATE</u>	<u>LICENSURE/CERTIFICATION</u>
2008-2010	Vermont Medical License
2010 -	New Hampshire Medical License: 14886
2010	ABPN: Board Certification in Adult Psychiatry and Neurology
2012	ABPN: Board Certification in Child and Adolescent Psychiatry

**ACADEMIC APPOINTMENTS:**

<u>DATE</u>	<u>Position</u>
07/2009- 08/2010	Instructor in Psychiatry, Dartmouth Medical School
09/2010- present	Assistant Professor of Psychiatry, Dartmouth Medical School

**HOSPITAL APPOINTMENTS:**

<u>DATE</u>	<u>HOSPITAL TITLE</u>	<u>INSTITUTION</u>
04/2008 - 11/2010	Staff Moonlighting Psychiatrist	Central Vermont Medical Center
09/2010 - present	Child and Adolescent Psychiatrist	Dartmouth Hitchcock Medical Center

**OTHER PROFESSIONAL POSITIONS:**

<u>DATE</u>	<u>POSITION/TITLE</u>	<u>INSTITUTION</u>
09/2010- 06/2011	Consulting Psychiatrist	Wediko; Residential Treatment Facility, Hillsborough, New Hampshire
07/2011- 6/2014	Consulting Psychiatrist	Dartmouth Hitchcock Medical Center- Concord, Department of Pediatrics

**MAJOR ADMINISTRATIVE RESPONSIBILITIES:**

<u>DATE</u>	<u>POSITION TITLE</u>	<u>INSTITUTION/ORGANIZATION</u>
09/2010 - present	Medical Director	Bureau of Developmental Services, New Hampshire
09/2010 - present	Co-Director	Neurodevelopmental Psychiatry Clinic at Dartmouth Hitchcock Medical Center
09/2010 - present	Director	Autism Spectrum Disorders Clinic at Dartmouth Hitchcock Medical Center
09/2011- present	Medical Director	START (Systemic Therapeutic Assessment Respite and Treatment) Services in NH

**MAJOR COMMITTEE ASSIGNMENTS AND CONSULTATIONS:****National/International:**

<b><u>YEAR</u></b>	<b><u>COMMITTEE</u></b>	<b><u>ROLE</u></b>	<b><u>INSTITUTION</u></b>
2013 - present	Anxiety Disorders Work Group: Diagnostic Manual Intellectual Disabilities-2	Member	National Association of Developmental Disabilities
2013 - present	Attention Deficit Hyperactivity Disorders Work Group: Diagnostic Manual Intellectual Disabilities-2	Member	National Association of Developmental Disabilities

**Regional:**

<b><u>YEAR</u></b>	<b><u>COMMITTEE</u></b>	<b><u>ROLE</u></b>	<b><u>INSTITUTION</u></b>
2010 - present	Medication Review Committee	Chairperson	Bureau of Developmental Services

**Institutional:**

<b><u>YEAR</u></b>	<b><u>COMMITTEE</u></b>	<b><u>ROLE</u></b>	<b><u>INSTITUTION</u></b>
2007-2008	Psychiatry Residency Curriculum Committee	Resident Rep.	Dartmouth- Hitchcock Medical Center Psychiatric Residency
2010-	Autism Work Group Committee Member	Psychiatric Coordinator	Dartmouth-Hitchcock Medical Center
2012-2013	Autism Diagnostic Observation Schedule Training Workshop Planning Committee	Activity Director	Dartmouth-Hitchcock Medical Center
2012-	Developmental Disabilities Educational Series Planning Committee	Activity Director	Dartmouth-Hitchcock Medical Center
2013-2014	Social Thinking Training Workshop Planning Committee	Activity Director	Dartmouth-Hitchcock Medical Center

**MEMBERSHIP, OFFICE & COMMITTEE ASSIGNMENTS IN PROFESSIONAL SOCIETIES:**

<u>DATE</u>	<u>SOCIETY</u>	<u>ROLE</u>
2005 -	Gold Humanism Honor Society	Member
2006 -	American Academy of Child and Adolescent Psychiatry	Member
2006 - 2010	American Psychiatric Association	Member
2006 - 2010	New Hampshire Psychiatric Society	Member

**EDITORIAL BOARDS:**

<u>DATE</u>	<u>ROLE</u>	<u>BOARD NAME</u>
2008-2009	Resident Editor	American Journal of Psychiatry: Residents' Edition

**AWARDS AND HONORS:**

<u>DATE</u>	<u>AWARD NAME</u>
1997	Notre Dame Scholar, University of Notre Dame – top 25% of Entering Freshman class
1999-2001	Dean's Honor List, University of Notre Dame
2002	Robert Wood Johnson Medical School: Family Medicine Summer Grant Award
2002	Environmental and Community Medicine Proposal Grant Award
2003-2004	Medical School Clerkship Honors: Adult Psychiatry Sub-Internship, Internal Medicine Sub-Internship, Psychiatry, Pediatrics, Internal Medicine, Family Medicine, Neurology, Pain-Medicine and Rehabilitation
2005	Elected to Gold Humanism in Medicine Honor Society
2007	APA/Shire Child and Adolescent Psychiatry Fellowship
2008	Adult Psychiatry Resident Community Psychiatry Award
2009	Chief Resident of Child and Adolescent Psychiatry Residency
2012-2013	Gary Tucker Junior Investigator Award Dartmouth Hitchcock Medical Center Department of Psychiatry

One Medical Center Drive  
Department of Psychiatry  
Dartmouth-Hitchcock Medical Center  
Lebanon, NH 03756  
[jonathan.d.lichtenstein@dartmouth.edu](mailto:jonathan.d.lichtenstein@dartmouth.edu)  
phone: 603.650.5824 fax: 603.650.0404

## POSTDOCTORAL RESIDENCY

Geisel School of Medicine at Dartmouth  
Dartmouth-Hitchcock Medical Center  
Postdoctoral Fellow in Pediatric Neuropsychology  
Supervisor: Arthur C. Maerlender, PhD  
September 2013 – Present

RSM Psychology Center/Sports Concussion Center of New Jersey  
Postdoctoral Resident in Neuropsychology  
Supervisor: Rosemarie Scolaro Moser, PhD, ABN, ABPP  
July 2012 – July 2013

## ACADEMIC POSTS

Adjunct Faculty, Institute for Graduate Clinical Psychology  
Widener University (2012-Present)

## INTERNSHIP

APA Approved Pre-doctoral Internship in Clinical Psychology  
The Institute for Graduate Clinical Psychology  
Widener University, Chester, PA (2010-2012)  
Rotation at: Malamut & Moss, P.C.  
Supervisors: Barbara Malamut, PhD & Edward Moss, PhD

## RESEARCH PUBLICATIONS

**Lichtenstein, J.D.**, Moser, R.S., & Schatz, P. (2014). Age and Test Setting Affect the Prevalence of Invalid Baseline Scores on Neurocognitive Tests. *American Journal of Sports Medicine*, 42(2), 479-84.

Moser, R.S., Schatz, P., & **Lichtenstein, J.D.** (2013). The Importance of Proper Administration and Interpretation of Neuropsychological Baseline and Post-Concussion Computerized Testing. *Applied Neuropsychology: Child*. [Epub ahead of print].

Defina, P.A., Moser, R.S., Glenn, M., **Lichtenstein, J.D.**, & Fellus, J. (2013). Alzheimer's Disease Clinical and Research Update for Health Care Practitioners. *Journal of Aging Research*, vol. 2013, Article ID 207178, 9 pages. doi: 10.1155/2013/207178.

## POSTER PRESENTATIONS

**Lichtenstein, J.D.**, Holcomb, M.J., Erdodi, L.A., Maerlender, A.C., Condiracci, C., Roth, R.M., & Pelletier, C. Reliable digit vs. spatial span in a

clinical pediatric sample: A visual alternative? Poster session to be presented at: Excellence in clinical practice: 12<sup>th</sup> annual Conference of the American Academy of Clinical Neuropsychology; 2014 June 25-28; New York, NY.

Condiracci, C., Holcomb, M.J., Maerlender, A.C., **Lichtenstein, J.D.**, Erdodi, L.A., & Pelletier, C. The Global Assessment of School Functioning Scale (GASF): A measure of cognition, executive functioning, and behavior. Poster session to be presented at: Excellence in clinical practice: 12<sup>th</sup> annual Conference of the American Academy of Clinical Neuropsychology; 2014 June 25-28; New York, NY.

Pelletier, C., Erdodi, L.A., Holcomb, M.J., **Lichtenstein, J.D.**, & Condiracci, C. Repeating Conners' CPT-II within a test battery increases sensitivity to attention deficit in children. Poster session to be presented at: Excellence in clinical practice: 12<sup>th</sup> annual Conference of the American Academy of Clinical Neuropsychology; 2014 June 25-28; New York, NY.

**Lichtenstein, J.D.**, Moser, R.S., Schatz, P., & Glatts, C. Frequency of invalid ImPACT baselines in a private practice setting: the implications of age. Poster session presented at: Excellence in clinical practice. 11<sup>th</sup> Annual Conference of the American Academy of Clinical Neuropsychology; 2013 June 19-22; Chicago, IL.

**Lichtenstein, J.D.**, Lazar, M.F., Goldberg, K.B., Adams-Deutsch, Z., & Fleischer, J.M. The relationship between gender and questionable validity of ImPACT baseline scores in college athletes. Poster session presented at: Translational neuropsychology: bridging science and practice. 31<sup>st</sup> Annual Conference of the National Academy of Neuropsychology; 2011 November 16-19; Marco Island, FL.

**Lichtenstein, J.D.**, Fleischer, J.M., Lazar, M.F., & Goldberg, K.B. Rates of questionably valid ImPACT baseline scores in college athletes. Poster session presented at: Translational neuropsychology: bridging science and practice. 31<sup>st</sup> Annual Conference of the National Academy of Neuropsychology; 2011 November 16-19; Marco Island, FL.

**Lichtenstein, J.D.**, Lazar, M.F., Goldberg, K.B., Adams-Deutsch, Z., & Fleischer, J.M. The relationship between history of concussion and questionable validity of ImPACT baseline scores in college athletes. Poster session presented at: Translational neuropsychology: bridging science and practice. 31<sup>st</sup> Annual Conference of the National Academy of Neuropsychology; 2011 November 16-19; Marco Island, FL.

## **WORK IN PSYCHOLOGY**

Associate, The Center for Psychological Services, Paoli, PA  
September 2010 – Present

- Evaluating intellectual functioning in pre-kindergarten children for school admissions
- Testing, scoring, and delivering feedback to parents
- Consulting to schools concerning performance and learning styles

Psychometrician, Elan pharmacological study

October 2008 – June 2009

- Blind rater
- Administered Clinical Dementia Rating scales (CDR) and the Disability Assessment for Dementia (DAD)
- Subjects all had diagnosis of Alzheimer's Dementia and were carriers of the APOE-4 allele

## **PRACTICA**

Montgomery School / Center for Psychological Services

September 2009 – May 2010 (2 days/week)

- Intellectual functioning assessments using WPPSI-III and WISC-IV
- Write reports and delivered verbal feedback to parents
- Individual counseling with students
  - Coaching
  - Social skill building
- Attended meetings with school administrators and teachers
- Contributed to social-support teams within the school

Dr. Glen Greenberg, Newark, DE/Media, PA

September 2008 – May 2009 (2 days/week)

- Administration and scoring of a wide range of neuropsychological instruments
- Testing patients with traumatic brain injury, learning disabilities, non-verbal learning disabilities, psychogenic seizures, frontal dementia, Alzheimer's, depression, bipolar disorder, ADHD (children and adults), Asperger's syndrome
- Designed batteries for patients from children to geriatrics
- Discussed case formulations and diagnostic impressions with supervisor
- Observed and participated in intake evaluations
- Wrote initial evaluation reports, which included brief assessments

Carelink STAR Program, Norristown, PA

October 2007 – May 2008 (2 days/week)

- Facilitated and co-facilitated clinical group therapy sessions
- Individual therapy sessions
- Attended interdisciplinary clinical staff meetings
- All patients were adult males with chronic mental illness and sexual problem behaviors

## **EDUCATION**

Institute for Graduate Clinical Psychology

Widener University, Chester, PA

PsyD/MBA, September 2007 – June 2012

- Clinical Neuropsychology Track
- 3.93 GPA
- Dissertation: "Questionably Valid Baseline Scores on ImPACT: Rates and Factors of Influence in a Division III Athletic Population"

Hampshire College, Amherst, MA

Bachelor of Arts, May 2005

- Interdisciplinary concentration in Anthropology and German-Jewish Studies
- Thesis: “Perspectives on the German Jewish Body and Mind: analysis of selected works by Heine, Panizza and Thomas Mann”

**PAST WORK  
EXPERIENCE**

Compliance Officer, Bear Stearns & Co. Inc., New York, NY

June 2006 – October 2006 (full-time)

- Creating daily reports on the actions of equity research analysts
- Assisting the managing director with presentations, research assignments and analysis of situations regarding improper analyst behavior

**AWARDS**

2012 Excellence in Empirical Dissertation Award  
Widener University

## CURRICULUM VITAE

Format Guidelines – The Geisel School of Medicine (Pediatrics)

**Date Prepared: May 30, 2014**

**NAME: Stephen Hamilton Mott**

**ADDRESS:** Office:  
Department of Pediatrics  
Dartmouth-Hitchcock Medical Center  
Lebanon, NH

### DATE AND PLACE OF BIRTH:

May 9, 1956 Syracuse, New York

### EDUCATION:

<u>DATE</u>	<u>INSTITUTION</u>	<u>DEGREE</u>
1982-1987	Faculté Libre De Medecine Université Catholique Lille, FRANCE	MD, Doctor of Medicine
1979-1982	School of General Studies Columbia University New York, New York	Premedical Studies and Chemistry
1974-1978	Grinnell College	A.B., Biology with concentration in Music

### POSTDOCTORAL TRAINING:

<u>DATE</u>	<u>SPECIALTY</u>	<u>INSTITUTION</u>
1993-1994	Post Doctoral Fellow in Developmental Cognitive Neurology	Kennedy-Krieger Institute Johns Hopkins University Medical School

1991-1993	Child Neurology Fellow	Children's National Medical Center George Washington University Medical School
1990-1991	Resident in Neurology	George Washington University George Washington University Hospital
1988-1990	Resident in Pediatrics	Maine Medical Center Portland, Maine
1987-1988	Intern in Pediatrics	Maine Medical Center Portland, Maine

**LICENSURE AND CERTIFICATION:**

<b><u>DATE</u></b>	<b><u>LICENSURE/CERTIFICATION</u></b>
2009	New Hampshire/Board of Medicine
1990	District of Columbia/Board of Medicine
2007	American Board of Pediatrics-Board Second Re-Certification
2007	American Board of Psychiatry and Neurology with special qualification in Child Neurology-Board Re-Certified
2004	American Board of Psychiatry and Neurology; Neurodevelopmental Pediatrics-Board Certified
2000	American Board of Pediatrics-Board Re-Certification
1997	American Board of Psychiatry and Neurology with special qualification in Child Neurology-Board Certified
1993	American Board of Pediatrics-Board Certified
1990	FLEX
1987	FMGEMS[ECFMG]

**ACADEMIC APPOINTMENTS:**

<b><u>DATE</u></b>	<b><u>ACADEMIC TITLE</u></b>	<b><u>INSTITUTION</u></b>
2012	Assistant Professor of Pediatrics and Neurology	The Geisel School of Medicine
2010-2012	Instructor of Neurology	The Geisel School of Medicine
2009-2012	Visiting Associate Professor of Pediatrics	The Geisel School of Medicine
2004-2009	Associate Professor of Pediatrics and Neurology	Georgetown University Medical School
1997-2000	Assistant Professor of Pediatrics, Neurology and Psychiatry	George Washington University Medical School
1994-1997	Assistant Professor of Pediatrics and Neurology	George Washington University Medical School
1993-1994	Instructor of Pediatrics and Neurology	George Washington University Medical School

**HOSPITAL APPOINTMENTS: (Presented in reverse chronological order)**

<b><u>DATE</u></b>	<b><u>HOSPITAL TITLE</u></b>	<b><u>INSTITUTION</u></b>
2009-present	Medical Director of Child Development Section of Child Neurology and Development Department of Pediatrics	Dartmouth-Hitchcock Medical Center
2000-2009	Division Chief, Pediatric Neurology and Neurodevelopmental Pediatrics Department of Pediatrics	Georgetown University Medical Center

2000-2009	Director, Center for Neurocognitive and Neurodevelopmental Services Department of Pediatrics	Georgetown University Medical Center
2000	Co-Director, Neurology Consultative Services District of Columbia Commission on Mental Health	St. Elizabeth's Hospital Washington, DC
1997-2000	Director, Developmental Pediatrics Program	Children's National Medical Center Washington, DC
1996-1997	Director, Neurology Consultative Services	Neuropsychiatric Research Hospital National Institutes of Mental Health St. Elizabeth's Hospital Campus
1995-1997	Director, Developmental Cognitive Neurology Department of Neurology	Children's National Medical Center Washington, DC
1993-1997	Staff Physician	Hospital for Sick Children Washington, DC

**OTHER PROFESSIONAL POSITIONS:**

<u>DATE</u>	<u>POSITION TITLE</u>	<u>INSTITUTION/ORGANIZATION</u>
1996-1997	Special Volunteer Clinical Brain Disorders Branch	National Institutes of Mental Health St. Elizabeth's Hospital Campus
1994-1997	Guest Researcher Epilepsy Research Branch	National Institute of Neurological Disease and Stroke National Institutes of Health
1994-1997	Coordinator, Child Neurology Fellowship Program Department of Neurology	Children's National Medical Center Washington, DC

**MAJOR COMMITTEE ASSIGNMENTS AND CONSULTATIONS:**

**Curriculum Vitae**  
**Aleksandra C. Stark, MD**

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**Aleksandra.Stark@va.gov**

**ACADEMIC TRAINING:**

- 05/2001 B.A. Williams College, Williamstown MA; Cum Laude, Psychology (major), Neuroscience (concentration)
- 05/2007 M.D. New York Medical College, Valhalla, NY

**POSTDOCTORAL TRAINING:**

- 06/2007-06/2008 Internship, Internal Medicine, University of North Carolina, Chapel Hill, NC
- 06/2008-06/2011 Resident, Neurology, University of North Carolina, Chapel Hill, NC
- 07/2011– 07/2013 Post Doctoral Fellowship, Neurology, Center for Translational Cognitive Neuroscience, Boston VA
- 07/2013-06/2015 Post Doctoral Fellowship, geriatric neurology, Boston University Alzheimer's Disease Center

**HONORS:**

- 2001 Class of 1960's Scholar in Psychology, Williams Gargoyle Club (academic honor society)
- 07/2010-06/2011 Neurology Chief Resident, University of North Carolina, Chapel Hill, NC
- 2009 Peter Jacobson Award for Compassion in Medicine
- 2010 Kaiser Permanente Excellence in Teaching Award in Neurology
- 2011 Kaiser Permanente Excellence in Teaching Award in Neurology

**LICENSES AND CERTIFICATION:**

- 2011-2013 Massachusetts Medical License
- 09/2012 Board certification in Neurology

**DEPARTMENTAL AND UNIVERSITY COMMITTEES:**

- 2010-2011 Medical Student Educational Committee
- 2011-2013 Dementia Steering Committee, VA Boston Healthcare System

**TEACHING EXPERIENCE AND RESPONSIBILITIES:**

- 2008-2011 Education of house staff and medical students, as well as supervision of medical students, interns, and residents in neurology

**OTHER PROFESSIONAL ACTIVITIES:**

**PROFESSIONAL SOCIETIES: MEMBERSHIPS, OFFICES, AND COMMITTEE ASSIGNMENTS**

- 2008-2012 American Academy of Neurology

**PUBLICATIONS:**

Keith Crawford, Aleksandra Stark, Betsy Kitchens, Kerry Sternheim, Vassilios Pantazopoulos, Ellen Triantafellow, Zhigang Wang, Baldev Vasir, Charles E. Larsen, Dana Gabuzda, Ellis Reinherz, Chester A.

Alper (2003). CD2 engagement induces dendritic cell activation: implications for immune surveillance and T-cell activation. *Blood* Vol. 102, No. 5, pp. 1745-1752

Betty Zimmerberg, Abigail Rosenthal, Aleksandra Stark (2003). Neonatal Social Isolation Alters Both Maternal and Pup Behaviors in Rats. *Developmental Psychobiology* vol. 42, pp 52-63

Aleksandra Stark, Andrew Budson (2012). Managing Memory Impairment in Alzheimer's Disease. *Neurodegenerative Disease Management*, Vol. 2, No. 5.

Andrew Budson, Aleksandra Stark, "Dementia" in *The Brigham Intensive Review of Internal Medicine*, A. K. Singh, and J. Loscalzo, revised edition in publication.

### **PRESENTATIONS, LECTURES:**

American Academy of Neurology, Toronto, April 2010: Diagnostic Serendipity in Evaluating Normal-Pressure Hydrocephalus

Lecturer, "Neuropsychiatric Masquerades: Diagnoses not to miss," October 2011 and November 2012.

Memory Disorders Clinical Case Conference, every Monday morning from September 2011 through June 2013. We present, discuss, and analyzed interesting memory disorders/dementia cases and neuroimaging studies.

# Curriculum Vitae

**Lisa M. Plotnik, MD, FAAP**

## Work:

100 Hitchcock Way  
Manchester, NH 03104  
603-695-2690

## Personal:

Date of Birth: January 3, 1969  
Citizenship: United States  
Social Security: 123-58-9199  
Email: [Lisakiddoc@yahoo.com](mailto:Lisakiddoc@yahoo.com)

## Education:

Undergraduate: Union College June 1991  
Schenectady, NY  
B.S., Biology, Cum Laude

Medical School: University of Rochester School of Medicine and Dentistry May 1996  
Rochester, NY  
M.D.

Residency: Christiana Care Health System 1996-2000  
Newark, DE  
Combined Internal Medicine and Pediatrics

Fellowship: Student Fellowship in Pathology 1993-1994  
Department of Pathology and Laboratory Medicine  
University of Rochester  
Rochester, NY

## Licensure:

New Hampshire 12437 June 2004

## Board Certification:

United States Medical Licensure Exam May 1998  
American Board of Internal Medicine Diplomate 196846 August 2000  
American Board of Pediatrics Diplomate 073772 October 2001

## Honors/Activities:

2001-2004 Member of AAP-CT Committee on Breastfeeding  
2001-2004 Member of Community Health Center's Pharmacy and Therapeutics Committee  
2001-2004 Supervised University of Connecticut medical students in SCP program  
2003-2004 Chairperson AAP-CT Daycare Committee  
2003-2004 Chairperson Community Health Center Patient Education Committee  
2003-2004 Member-at-large, CT Chapter of the AAP Executive Committee  
2004-2013 Member of DHM Diabetes Oversight Committee and Planned Care Committee  
2005-2008 Assistant Medical Director for Planned Care and Disease Management,  
Dartmouth Hitchcock Clinic  
2005-present Member of NH Health Care Transition Coalition  
2006-present Medical Director for Camp Allen, Bedford, NH. Screening camp counselors for health risks

2007-2008	Planning NHPS 2008 Spring meeting on Health Care Transition with NHPS and Transition Coalition members
2007-present 2008-2013	Board of Trustees, Camp Allen, Bedford, NH supervise 3 <sup>rd</sup> year medical students (Geisel School of Medicine) during pediatrics rotation
2013	Supervise APRN students from GW University School of Nursing and Rivier College
<b>Publications:</b>	Plotnik, L; R DeVore, MSW; JS Lambert, MD; "Barriers to Care: Assessment of Parental Satisfaction in the Pediatric HIV Clinic," Pediatric AIDS and HIV Infection, 4(3), 1993.
<b>Presentations:</b>	A (Not-so) New Etiology for Altered Mental Status: Toxic GHB Ingestion; February 27, 2000; Delaware Chapter of the American College of Physicians, Newark, DE.
<b>Work Experience:</b>	
1998-2000	Consulting Internist, Wilmington Hospital, Wilmington, DE. Night and Weekend call responsibilities as house physician in 350 bed community hospital.
7/2000-8/2002	Staff physician at Community Health Center of Meriden. Attending physician in inner-city clinic. Full admitting privileges at Midstate Medical Center, Meriden, departments of Medicine and Pediatrics.
8/2002-7/2004	Staff physician at Community Health Center of New Britain. Attending physician in inner-city clinic. Full admitting privileges at New Britain General Hospital, departments of Pediatrics and Medicine. Provider for Ryan White III program.
7/2004-8/2004	Locum tenens physician, Hudson Headwaters Health Network, Glens Falls, NY Worked in Warrensburg Urgent Care, Schroom Lake Health Center, Glens Falls Urgent Care.
9/2004-present	Staff physician at Dartmouth-Hitchcock Clinic, Manchester, NH. Member of departments of Pediatrics, Internal Medicine. Internal Medicine-Pediatrics department. Active Ambulatory privileges at Catholic Medical Center and Elliot Hospital.
<b>Memberships:</b>	American Academy of Pediatrics, Fellow American College of Physicians/American Society of Internal Medicine
<b>Certifications:</b>	CPR Expires 5/2015

# CURRICULUM VITAE

**Date Prepared:** June 7, 2011

**NAME:** Laura Anne Flashman, Ph.D., ABPP

**ADDRESS:** Office:  
Department of Psychiatry  
Dartmouth Hitchcock Medical Center  
One Medical Center Drive  
Lebanon, NH 03753  
Phone (603) 650-5824  
Fax (603) 630-0404

Neuropsychology Laboratory  
New Hampshire Hospital  
36 Clinton Street  
Concord, NH 03301  
Phone (603) 271-5369  
Fax (603) 271-5395

## I. EDUCATION

<u>DATES</u>	<u>INSTITUTION</u>	<u>DEGREE</u>
1990-1993	Emory University, Atlanta, Georgia	Ph.D., Clinical Psychology
1986-1989	Emory University, Atlanta, Georgia	M.A. Psychology
1980-1984	Middlebury College, Middlebury, VT	B.A., Spanish B.A., Psychology

## II. POSTDOCTORAL TRAINING

<u>DATES</u>	<u>INSTITUTION</u>	<u>SPECIALTY</u>
1993-1994	Mental Health Clinical Research Center University of Iowa Hospitals and Clinics Iowa City, Iowa	Postdoctoral Fellowship in Neuropsychology
1990-1992	University of California, San Diego/V.A. Medical Center and Sharp Rehabilitation Center San Diego, CA	Clinical Internship, Specialization in Clinical Neuropsychology

### III. PROFESSIONAL DEVELOPMENT ACTIVITIES (since 2012)

<u>DATES</u>	<u>INSTITUTION</u>	<u>TITLE</u>	<u>CREDITS</u>
5/16/12	Brain Injury Association of New Hampshire (APA)	29 <sup>th</sup> Annual Brain Injury & Stroke Conference	5.5
10/9/12	Brain Injury Association of Vermont (APA)	24 <sup>th</sup> Annual Brain Injury Conference	2.0 3.75 contact hrs
11/9/2	Brattleboro Retreat (AMA)	Clinical/Legal Issues In Work with Persons With Violent Behaviors	6.0 1.5 Ethics
2012	Geisel School of Medicine at Dartmouth (AMA)	Dept of Psychiatry Grand Rounds	8.0
5/3/13	NH Psychological Assn (APA)	Ethical Challenges in Serving Diverse Populations	3.0 Ethics
5/3/13	NH Psychological Assn (APA)	Neuroethics for Clinicians	3.0 Ethics
5/15/13	Brain Injury Association of New Hampshire (APA)	30 <sup>th</sup> Annual Brain Injury & Stroke Conference	5.0
10/8-9/13	Mayo Clinic (AMA)	Ice Hockey Summit II: Action on Concussions	11.75
1/14	Geisel School of Medicine at Dartmouth/Togus VAMC/WRJ VAMC	CITI Training	

### IV. ACADEMIC APPOINTMENTS

<u>DATES</u>	<u>INSTITUTION</u>	<u>ACADEMIC TITLE</u>
8/13-Present	Geisel School of Medicine at Dartmouth, Hanover, NH	Neuroscience Graduate Committee, PEMM
4/11-Present	Geisel School of Medicine at Dartmouth, Hanover, NH	Professor of Psychiatry
3/11-Present	Dartmouth Medical School Hanover, NH	Faculty, Program in Experimental and Molecular Medicine (PEMM)
9/06-Present	Dartmouth Medical School Hanover, NH	Director of Training, Neuropsychology Fellowship Program
6/02-4/11	Dartmouth Medical School Hanover, NH	Associate Professor of Psychiatry

Summer 2001, Spring 2002 12/01-9/06	New England College Henniker, NH Dartmouth Medical School Hanover, NH	Adjunct Faculty, Masters Program in Health Counseling Associate Director, Neuropsychology Fellowship Program
Spring 1998	New England College, Henniker, NH	Instructor
10/97-9/99	Antioch New England Graduate School, Keene, NH	Adjunct Faculty, Dept of Clinical Psychology
1/97-6/99	Dartmouth College Hanover, NH	Faculty, Program in Cognitive Neuroscience
8/94-6/02	Dartmouth Medical School Hanover, NH	Assistant Professor of Psychiatry
6/92-1/93	Emory University School of Medicine, Atlanta, GA	Project Director, Schizophrenia Disorders Program

#### V. DARTMOUTH INSTITUTIONAL LEADERSHIP ROLES

<u>DATES</u>	<u>INSTITUTION</u>	<u>TITLE</u>
2013	Dartmouth College	PEMM Neuroscience Board
2013	Geisel School of Medicine at Dartmouth	Department of Psychiatry Executive Committee
2011	Geisel School of Medicine at Dartmouth	Search Committee Member, Director of Sleep Program
2010	Geisel School of Medicine at Dartmouth	Dept of Psychiatry eD-H (EPIC) Planning Representative
2010-Present	Geisel School of Medicine at Dartmouth	Department of Psychiatry, Award for Clinical Excellent Nomination/Selection Committee

#### VI. LICENSURE AND CERTIFICATION

<u>DATE</u>	<u>LICENSURE/CERTIFICATION</u>
1994-	Licensed Psychologist, State of New Hampshire (#725)
2003-	Licensed Psychologist – Doctorate Level, State of Vermont (#822)
2001-	Diplomate in Clinical Neuropsychology, American Board of Professional Psychology (#5511)

# Meagan D. Todd

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## **EDUCATION:**

**Master of Science in Occupational Therapy**, September 2008  
University of New Hampshire; Durham, New Hampshire

**Bachelor of Science in Occupational Therapy**, May 2007  
University of New Hampshire; Durham, New Hampshire

## ***Honors & Achievements:***

- Graduated Summa Cum Laude with a 3.96 GPA on a 4.0 scale
- Member of the Golden Key International Honor Society
- Dean's List 2004-2007

## **PROFESSIONAL EXPERIENCE:**

**Occupational Therapist**, July 2013-Present

**Dartmouth-Hitchcock Medical Center Outpatient Rehabilitation**; Lebanon, New Hampshire

- Evaluate and treat patients with a variety of conditions including CVA, TBI, SCI, and various neurological conditions in an outpatient rehabilitation setting.
- Administer and interpret results of various standardized assessments.
- Provide clear, professional communication to patients, families and colleagues.
- Serve as an Ergonomic Super-User for the Department of Rehabilitation. Complete work site ergonomics assessments for Dartmouth-Hitchcock Medical Center employees and provide recommendations.
- Provide group educational sessions for Dartmouth-Hitchcock Medical Center's Parkinson's Wellness Program.
- Conduct eligibility determination assessments for Advance Transit paratransit services.
- Provide OT consultations for Dartmouth-Hitchcock Medical Center's monthly ALS Clinic.
- Provide OT consultations for Dartmouth-Hitchcock Medical Center's quarterly Adult Muscular Dystrophy Clinic.
- Served as fieldwork educator to a level II fieldwork OT student, September 2013-December 2013.

**Occupational Therapist**, September 2008-June 2013

**Fletcher Allen Health Care Inpatient Rehabilitation**; Colchester, Vermont

- Evaluate and treat patients with a variety of conditions including CVA, TBI, SCI, multiple trauma, joint replacement, cardiac surgery, amputation, burns, and various neurological conditions in a 35-bed inpatient acute rehabilitation unit.
- Administer and interpret results of various standardized assessments.
- Participate in interdisciplinary team rounds and provide clear, professional communication to rehabilitation team members, patients, and families.
- Provide orientation and training to new staff members.
- Serve as a member of the Brain Injury Facilitation Team participating in program development and staff training.
  - Serve as a member of the TBI Patient and Family Education Committee.
  - Participated in a TBI education quality improvement project by collecting data, developing an education algorithm, and presenting the project at the Rehabilitation of the Adult and Child with TBI national conference in Williamsburg, Virginia, May 2012.
- Completed training at Fletcher Allen Health Care acute care setting to provide cross-site coverage.
- Served as fieldwork educator to a level II fieldwork OT student, June 2011-September 2011.

- Presented OT staff inservices on assistive technology and graded motor imagery/mirror box therapy.
- Completed training to provide neuromuscular electrical stimulation (NMES) and task-specific training for the upper extremity, December 2010.

### **FIELDWORK EXPERIENCE:**

**Level II Fieldwork, June 2008-August 2008**

**Fletcher Allen Health Care Rehabilitation Outpatient Center; Colchester, Vermont**

- Evaluated and treated patients recovering from various conditions in an outpatient setting.

**Level II Fieldwork, October 2007-December 2007**

**Barre Town Middle & Elementary School; Barre, Vermont**

**Family, Infant and Toddler Program of Vermont; Central Vermont**

- Screened, evaluated, and treated individual and small groups of children at home and in school.

### **OTHER RELATED EXPERIENCE:**

**Student Intern, January 2007-May 2007**

**SteppingStones Day Program; Portsmouth, New Hampshire**

- Facilitated group interventions for adults with acquired brain injury due to trauma, tumor, or stroke.

**Research Assistant, May 2007-August 2008**

**University of Vermont Psychology Department; Burlington, Vermont**

- Participated as a staff member on a NIMH funded research project examining self-concept and adjustment in children with and without ADHD.

### **PROFESSIONAL ORGANIZATIONS:**

- **American Occupational Therapy Association** – Member, 2006-present
- **Vermont Occupational Therapy Association** – Member, 2008-present
  - Board Member and Newsletter Co-Editor, 2009-2012

### **CERTIFICATIONS:**

- **National Board for Certification in Occupational Therapy** – Occupational Therapist Registered, December 2008-present
- **Management of Aggressive Behavior (MOAB)** – MOAB Certified, November 2012-November 2014
- **Back School of Atlanta** – Certified Ergonomics Assessment Specialist, September 2013
- **American Heart Association** – Basic Life Support for Healthcare Providers Certified (CPR/AED), 2007-present

**References available upon request**



## Dartmouth College

*Office of Sponsored Projects  
11 Rope Ferry Road #6210  
Hanover, NH 03755-1404*

TELEPHONE: (603) 646-3007  
FAX: (603) 646-3670  
EMAIL: [sponsored.projects@dartmouth.edu](mailto:sponsored.projects@dartmouth.edu)

### Dartmouth College Mission

Dartmouth College educates the most promising students and prepares them for a lifetime of learning and of responsible leadership, through a faculty dedicated to teaching and the creation of knowledge.

Since its founding in 1769 to educate Native students, English youth, and others, Dartmouth has provided an intimate and inspirational setting where talented faculty, students, and staff - diverse in background but united in purpose - contribute to the strength of an exciting academic community that cuts easily across disciplines.

Dartmouth is committed to providing the best undergraduate liberal arts experience and to providing outstanding graduate programs in the Geisel School of Medicine (founded 1797), the Thayer School of Engineering (1867), the Tuck School of Business (1900), and the graduate programs in the Arts and Sciences. Together they constitute an exceptional and rich learning environment. Dartmouth faculty and student research contributes substantially to the expansion of human understanding.

The College provides a comprehensive out-of-classroom experience, including service opportunities, engagement in the arts, and competitive athletic, recreational, and outdoor programs. Pioneering programs in computation and international education are hallmarks of the College. Dartmouth graduates are marked by an understanding of the importance of teamwork, a capacity for leadership, and their keen enjoyment of a vibrant community. Their loyalty to Dartmouth and to each other is legendary and is a sustaining quality of the College.



# State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857

603-271-4688 FAX: 603-271-4912 TDD ACCESS: 1-800-735-2964

NICHOLAS A. TOUMPAS  
COMMISSIONER

May 18, 2011

1016566

His Excellency, Governor John H. Lynch  
and the Honorable Executive Council  
State House  
Concord, N.H. 03301

APPROVED BY \_\_\_\_\_

DATE 6/22/11

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ITEM # 111

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### REQUESTED ACTION

1) Authorize the Department of Health and Human Services (DHHS), Office of the Commissioner, to enter into an Agreement with the Trustees of Dartmouth College, 11 Rope Ferry Road, Hanover, NH 03755-1404 (Vendor # 90598), to provide the services of physicians and professional technical staff to meet the State's needs to service patients and consumers in the total amount of \$36,975,891.27, effective July 1, 2011 or date of Governor and Council approval, whichever is later, through June 30, 2016.

Funds are anticipated to be available in following accounts for SFY 2012, SFY 2013, SFY 2014, SFY 2015, and SFY 2016 upon the availability and continued appropriation of funds in future operating budgets, with authority to adjust amounts between fiscal years if needed and justified.

05-95-48-481010-8925 HEALTH AND SOCIAL SERVICES, DEPT. OF HEALTH AND HUMAN SVCS,  
HHS: ELDERLY - ADULT SERVICES, GRANTS TO LOCALS, MEDICAID SERVICE GRANTS

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$12,562.00	\$12,562.00
SFY 2013	102-500731	Contracts for Program Services	\$0	\$13,013.00	\$13,013.00
SFY 2014	102-500731	Contracts for Program Services	\$0	\$13,480.25	\$13,480.25
SFY 2015	102-500731	Contracts for Program Services	\$0	\$13,964.32	\$13,964.32
SFY 2016	102-500731	Contracts for Program Services	\$0	\$14,465.79	\$14,465.79
		Subtotal:	\$0	\$67,485.36	\$67,485.36

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05-95-95-956010-6126 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,  
HHS: COMMISSIONER, OFF MEDICAID & BUSINESS POLICY, MEDICAID ADMINISTRATION

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$299,097.88	\$299,097.88
SFY 2013	102-500731	Contracts for Program Services	\$0	\$301,285.05	\$301,285.05
SFY 2014	102-500731	Contracts for Program Services	\$0	\$451,056.52	\$451,056.52
SFY 2015	102-500731	Contracts for Program Services	\$0	\$463,276.77	\$463,276.77
SFY 2016	102-500731	Contracts for Program Services	\$0	\$475,826.35	\$475,826.35
		Subtotal:	\$0	\$1,990,542.58	\$1,990,542.58

05-95-41-4120010-5813 HEALTH AND SOCIAL SERVICES, DEPT. OF HEALTH AND HUMAN SVCS,  
HHS: JUVENILE JUSTICE SERV, OFFICE OF THE DIRECTOR, HEALTH SERVICES

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	101-500730	Medical Payments to Provider	\$0	\$307,747.00	\$307,747.00
SFY 2013	101-500730	Medical Payments to Provider	\$0	\$330,074.25	\$330,074.25
SFY 2014	101-500730	Medical Payments to Provider	\$0	\$341,808.62	\$341,808.62
SFY 2015	101-500730	Medical Payments to Provider	\$0	\$353,964.34	\$353,964.34
SFY 2016	101-500730	Medical Payments to Provider	\$0	\$366,556.12	\$366,556.12
		Subtotal:	\$0	\$1,700,150.33	\$1,700,150.33

05-95-94-940010-8750 HEALTH AND SOCIAL SERVICES, DEPT. OF HEALTH AND HUMAN SERVICES,  
HHS: NEW HAMPSHIRE HOSPITAL, NEW HAMPSHIRE HOSPITAL, NHH - ACUTE PSYCHIATRIC SERVICES

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$5,551,545.83	\$5,551,545.83
SFY 2013	102-500731	Contracts for Program Services	\$0	\$5,968,070.31	\$5,968,070.31
SFY 2014	102-500731	Contracts for Program Services	\$0	\$6,757,388.26	\$6,757,388.26
SFY 2015	102-500731	Contracts for Program Services	\$0	\$7,004,916.71	\$7,004,916.71
SFY 2016	102-500731	Contracts for Program Services	\$0	\$7,261,279.54	\$7,261,279.54
		Subtotal:	\$0	\$32,543,200.65	\$32,543,200.65

05-95-93-930010-5191 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,  
 HHS:DEVELOPMENTAL SERV-DIV OF, DIV OF DEVELOPMENTAL SERVICES, SPECIAL MEDICAL  
 SERVICES

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	561-500911	Contracts for Program Services	\$0	\$28,499.00	\$28,499.00
SFY 2013	561-500911	Contracts for Program Services	\$0	\$28,499.00	\$28,499.00
SFY 2014	561-500911	Contracts for Program Services	\$0	\$30,000.00	\$30,000.00
SFY 2015	561-500911	Contracts for Program Services	\$0	\$30,000.00	\$30,000.00
SFY 2016	561-500911	Contracts for Program Services	\$0	\$30,000.00	\$30,000.00
		Subtotal:	\$0	\$146,998.00	\$146,998.00

05-95-93-930010-5947 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,  
 HHS:DEVELOPMENTAL SERV-DIV OF, DIV OF DEVELOPMENTAL SERVICES, PROGRAM  
 SUPPORT

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$82,129.80	\$82,129.80
SFY 2013	102-500731	Contracts for Program Services	\$0	\$20,661.20	\$20,661.20
SFY 2014	102-500731	Contracts for Program Services	\$0	\$106,394.34	\$106,394.34
SFY 2015	102-500731	Contracts for Program Services	\$0	\$110,944.58	\$110,944.58
SFY 2016	102-500731	Contracts for Program Services	\$0	\$115,658.43	\$115,658.43
		Subtotal:	\$0	\$435,788.35	\$435,788.35

05-95-93-930010-7014 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,  
 HHS:DEVELOPMENTAL SERV-DIV OF, DIV OF DEVELOPMENTAL SERVICES, EARLY  
 INTERVENTION

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
SFY 2013	102-500731	Contracts for Program Services	\$0	\$17,564.00	\$17,564.00
SFY 2014	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
SFY 2015	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
SFY 2016	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
		Subtotal:	\$0	\$17,564.00	\$17,564.00

05-95-93-930010-7016 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVCS,  
 HHS:DEVELOPMENTAL SERV-DIV OF, DIV OF DEVELOPMENTAL SERVICES, ACQUIRED BRAIN  
 DISORDER SERVICE

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$8,884.00	\$8,884.00
SFY 2013	102-500731	Contracts for Program Services	\$0	\$65,278.00	\$65,278.00
SFY 2014	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
SFY 2015	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
SFY 2016	102-500731	Contracts for Program Services	\$0	\$0.00	\$0.00
		Subtotal:	\$0	\$74,162.00	\$74,162.00

2) Authorize the Department of Health and Human Services, Glencliff Home, to enter into an Agreement with the Trustees of Dartmouth College, 11 Rope Ferry Road, Hanover, NH 03755-1404 (Vendor # 90598), to provide psychiatric services in the total amount of \$718,175.97, effective July 1, 2011 or date of Governor and Council approval, whichever is later, through June 30, 2016.

Funds are anticipated to be available in following accounts for SFY 2012, SFY 2013, SFY 2014, SFY 2015, and SFY 2016 upon the availability and continued appropriation of funds in future operating budgets, with authority to adjust amounts between fiscal years if needed and justified.

05-95-94-940010-8750 HEALTH AND SOCIAL SERVICES, DEPT. OF HEALTH AND HUMAN SERVICES, HHS: NEW HAMPSHIRE HOSPITAL, NEW HAMPSHIRE HOSPITAL, NHH - ACUTE PSYCHIATRIC SERVICES

Fiscal Year	Class/Object Code	Class Title	Current Modified Budget	Increase /Decrease	Revised Modified Budget
SFY 2012	102-500731	Contracts for Program Services	\$0	\$138,547.00	\$138,547.00
SFY 2013	102-500731	Contracts for Program Services	\$0	\$138,547.00	\$138,547.00
SFY 2014	102-500731	Contracts for Program Services	\$0	\$142,703.41	\$142,703.41
SFY 2015	102-500731	Contracts for Program Services	\$0	\$146,984.51	\$146,984.51
SFY 2016	102-500731	Contracts for Program Services	\$0	\$151,394.05	\$151,394.05
		Subtotal:	\$0	\$718,175.97	\$718,175.97

### EXPLANATION

Requested Action 1. The Department of Health and Human Services, Office of the Commissioner, requires clinical services that include the provision of psychiatric care at the State's only public psychiatric hospital, clinical and administrative leadership to the State's Medicaid Program, physician consultation services overseen by the Bureau of Behavioral Health (BBH), physician consultation in the area of elderly services within the Bureau of Elderly and Adult Services (BEAS), services for juveniles cared for by the Division for Juvenile Justice Services (DJJS), services of a Medicaid medical director for the Office of Medicaid Business and Policy (OMBP), and, services for children and adults cared for by the Bureau of Developmental Services (BDS).

This Agreement was awarded to the Trustees of Dartmouth College through a competitive bid process. The Request for Proposals (RFP) was posted on the DHHS' website August 2, 2010 and notification of its release was sent to potential bidders known to the Department and to those who expressed an interest in providing these services, one bid was received. The proposal covers State Fiscal Years 2012, 2013, 2014, 2015 and 2016. The proposal was reviewed and scored by eight (8) reviewers as follows: Bureau of Elderly and Adult Services - Acting Director, New Hampshire Hospital - Chief Executive Officer, New Hampshire Hospital - Financial Manager, Office of Medicaid Business and Policy - Director, Office of Medicaid Business and Policy - Director of Medicaid Finance, Bureau of Behavioral Health - Administrator of CMHS, Bureau of Developmental Services - Administrator of Brain Injury Services, and, Division of Juvenile Justice Services - Manager of Clinical Services. Each of the reviewers have multiple years of experience in managing grants and agreements with vendors for various public health/services/education programs. See attached RFP Scoring Detail.

The services requested per the Agreement with the Trustees of Dartmouth College will involve separate scopes of services, performance metrics and financial terms established by New Hampshire Hospital (NHH), the Office of Medicaid Business & Policy (OMBP), the Division for Juvenile Justice Services (DJJS), the Bureau of Behavioral Health (BBH), the Bureau of Elderly and Adult Services (BEAS), and the Bureau of Developmental Services (BDS).

Dartmouth has had a long and successful collaboration with the State. Dartmouth has partnered with the State of New Hampshire for nearly 24 years to provide medical leadership and clinical service at New Hampshire Hospital. Over the years, Dartmouth has responded to State requests to provide an increasing number of services and has succeeded in consistently supplying highly skilled and effective faculty members to provide these services. The State and Dartmouth, working together, have essentially created the State system of care for people with severe mental illness, one that provides high-quality care to the citizens of New Hampshire who need such services. Moreover, Dartmouth employs highly trained and experienced faculty members who are committed to continuing to provide the services required by the State.

The accompanying Exhibit A (Scope of Work) details the services that Dartmouth will provide under this Agreement for the five-year period of July 1, 2011 through June 30, 2016. The Agreement service costs identified for years one and two correspond with the budget appropriations that have been identified here-to-date within the Governor's Budget and the House Budget. Special language is contained within the contract, Section C-1, Special Provisions, which states the following:

*Subparagraph 4 of the General Provisions of this contract, Conditional Nature of Agreement, is amended as follows:*

*Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including without limitation, the continuance of payments, in whole or in part, under this Agreement are contingent upon continued appropriation or availability of funds, including any subsequent changes to the appropriation or*

*availability of funds affected by any state or federal legislative or executive action that reduces, eliminates, or otherwise modifies the appropriation or availability of funding for this Agreement and the Scope of Services provided in Exhibit A, Scope of Services, in whole or in part. In no event shall the State be liable for any payments hereunder in excess of appropriated or available funds. In the event of a reduction, termination or modification of appropriated or available funds, the State shall have the right to withhold payment until such funds become available, if ever. The State shall have the right to reduce, terminate or modify services under this Agreement immediately upon giving the Contractor notice of such reduction, termination or modification. The State shall not be required to transfer funds from any other source or account into the Account identified in block 1.6, or any other account, in the event funds are reduced or unavailable.*

New Hampshire Hospital, the Office of Medicaid Business and Policy, the Bureau of Elderly and Adult Services, the Bureau of Behavioral Health, the Bureau of Developmental Services and the Division of Juvenile Justice Services are dependent on these Agreement services for the ongoing medical and clinical operations of the respective Bureaus. The following information describes the essential services provided for each Bureau and the consequences if the contract is not renewed:

**New Hampshire Hospital (NHH):** The Agreement with the Trustees of Dartmouth College provides for a Hospital Chief Medical Officer and a staff of psychiatrists who are responsible for psychiatric evaluations, mental status examinations, clinical and laboratory testing, treatment orders, including psychopharmacology, emergency and special treatment procedures, discharge orders and necessary legal activities. The psychiatrist directs the treatment intervention of the other clinical personnel as required by the US Department of Health and Human Services Center for Medicaid and Medicare Services. There are special staffing requirements as a condition of Medicaid and Medicare program participation for psychiatric hospitals. "The hospital must have adequate numbers of qualified and supportive staff to evaluate patients, formulate written, individualized comprehensive treatment plans, provide active treatment measures and engage in discharge planning". Without a sufficient number of qualified psychiatrists, the hospital would have to reduce or close services until psychiatrists could be recruited from a highly competitive market. Given the demand for credentialed psychiatrists, effective, efficient and adequate service capability is coordinated through this single agreement.

**Office of Medicaid Business and Policy (OMBP):** The Agreement provides a medical director for the State's Medicaid program. Dr. Lotz has 10 years of valuable experience serving in this capacity and is responsible for providing the overall medical and clinical leadership to the Office and for assuring the quality and cost-effectiveness of medical care provided to Medicaid beneficiaries. Without these needed services, the department will not have the necessary clinical leadership to properly implement the upcoming managed care initiative. Current cost containment programs, such as the pharmacy benefit management program, and the prior authorization program, will be unmanaged, resulting in reduced cost containment in Medicaid expenditures. Development of Medicaid quality reporting and the Medicaid Report Card will not occur, resulting in an inability to identify policy changes necessary to properly manage Medicaid health outcomes and Medicaid expenditures.

**Bureau of Elderly and Adult Services (BEAS):** The BEAS Medical Director, employed by the Trustees of Dartmouth College, will assist in the planning and direction of the organization's medical policies and programs, and working with the BEAS administrator will be responsible for strategically developing public/private partnerships with community providers, academic institutions and state/federal agencies with a focus on quality improvement. The BEAS Medical Director will serve as a resource for chronic disease self-management and other wellness/prevention initiatives to improve the lives of individuals served by BEAS. He or she will perform a variety of complex tasks that include the provision of medical consultation, clinical oversight, educational instruction, benefits management and quality assurance within the BEAS. The Medical Director will be well versed in the regulations governing the federal Title XIX Medicaid program, including requirements for the

operation of waiver and State Plan services, and Title XX, the Social Service Block Program and services provided under the Older American's Act.

Bureau of Behavioral Health (BBH): The Agreement with the Trustees of Dartmouth College will employ a Medical Consultant who will be responsible for the clinical oversight of the community mental health system. As the chief psychiatrist overseeing clinical services, the medical consultant provides consultation and clinical decisions on complex cases and complaint investigations, oversees the review of untimely deaths and suicides in the community, provides consultation and input on policy decisions within BBH and the Department of Health and Human Services, including the development and implementation of administrative rule changes, oversees a number of integrated health care initiatives in the community that specifically reduce the mortality of individuals with severe mental illness and reduce expenditures for polypharmacy, and works directly with each of the community mental health medical directors to improve care and outcomes for consumers of the community mental health centers.

Bureau of Developmental Services (BDS): This Agreement continues the provision of psychiatric care for assessment, consultation, second opinions and time-limited medication management to adults and children with developmental disabilities and acquired brain disorders supported by the Bureau of Developmental Services and medical oversight/consultation to the Bureau of Developmental Services. Should Governor and Executive Council determine not to approve this request, approximately 35 children with special health care needs and/or developmental delays and 35 adults with intellectual disabilities or acquired brain disorders served by area agencies, Special Medical Services and Child Development Clinics will have limited or no access to timely specialty medical care necessary to maintain their health, safety, and welfare. Without these needed services, the individuals may experience significant crises and as a result will require the intervention of other elements of the services system including hospitals, law enforcement, or adult and child protective services. Additionally, as Chair of the Bureau of Developmental Services Medication Committee, the psychiatrist's absence will violate the He-M1201 regulation requirements for having a medical director and result in the committee no longer having the authority and medical expertise to request pertinent information regarding medication practices.

Division of Juvenile Justice Services: This Agreement provides for one full-time psychiatrist who provides direct clinical evaluation and psychiatric care to over 100 children committed to the Sununu Youth Services Center per year and for emergency support to approximately 184 youth detained at the Center per year. This psychiatrist provides psychiatric evaluation and medication management for all committed youth, which is required to provide appropriate medical and clinical services to youth. This position provides for clinical supervision to nursing and treatment staff at the facility and consultation to juvenile probation and parole officers throughout the State. This has allowed for medical oversight of treatment plans, consultation statewide for complex cases with NH Hospital and community mental health centers, minimizing the use of acute psychiatric hospital beds. This psychiatrist, provided by Dartmouth is the primary consultant to the Stabilization Unit at SYSC in which the most seriously challenged youth reside in order to prevent long term hospitalization at NHH, provides on-call psychiatric services after hours and review of medical processes and cost containment efforts regarding psychopharmacology formulary. This contract brings the affiliation with the Dartmouth Trauma Interventions Research Center to the youth center and this psychiatrist serves as the primary leader to implement trauma-informed services throughout the state Juvenile Justice System. It is requested by the Division for Juvenile Justice Services that the Agreement with Dartmouth be continued to avoid dire consequences to youth and families served in Juvenile Justice and the Sununu Youth Services Center. The immediate impact of not renewing this contract would be the elimination of all above services and place in jeopardy the health and welfare of children in the juvenile justice system and youth committed to the Sununu Youth Services Center who have severe mental health issues requiring psychiatric care and medical follow up with a child psychiatrist.

Requested Action 2, Glencliff Home is a state owned and operated nursing home facility that cares for geriatric-psychiatric residents, usually after transferring from New Hampshire Hospital. Dartmouth College-Department of Psychiatry has provided Glencliff Home's psychiatric needs for the past seventeen years and has established an amicable and therapeutic relationship with Glencliff Home's residents as their primary care provider.

Glencliff Home is considered an atypical facility specializing in providing services to individuals with Mental Illness and/or Developmental Disabilities, and as such must provide Psychiatric services as needed by our residents. Physicians from this Agreement provide two (2) days per week of psychiatric services, 24-hour on call emergency service, and serve as the facility Medical Director for all medical services, aiding Glencliff Home in complying with federal regulations for the Medicaid and Medicare reimbursement programs. Obtaining professional services to provide part time psychiatric services for Glencliff Home residents is very difficult due in part to our northern New Hampshire location and is not in the scope of our current staff qualifications.

A Request For Proposals was advertised in the New Hampshire Union Leader for three consecutive days from February 8, 2011 through February 10, 2011 and on the DHHS web site, with this contractor as the only response.

This Agreement for Glencliff Home represents an increase over the prior agreement. The previous agreement was negotiated four years ago utilizing a semi-retired doctor who was willing to work below industry standard compensation due to personal investment in this facility. The doctor is no longer available to Glencliff Home for this Agreement.

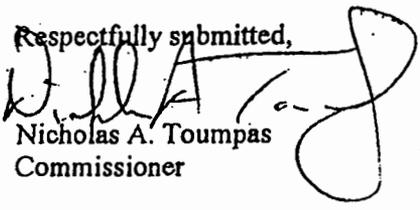
Area served: statewide.

The source of funds average 71% General Funds and 29% Federal Funds for this agreement. Specific division funding amounts are detailed as follows:

The source of funds for all appropriations is as follows: 8925 (Bureau of Elderly & Adult Services) is 50% General Funds/50% Federal Funds; 6126 (Office of Medicaid & Business Policy) is 50% General Funds/50% Federal Funds; 5813 (Division of Juvenile Justice Services) is 100% General Funds; 8750 (New Hampshire Hospital) is 70% General Funds/30% Federal Funds; 5191 (Bureau of Developmental Services/Special Medical Services) is 70% General Funds/30% Federal Funds; 5947 (Bureau of Developmental Services) is 88% General Funds/12% Federal Funds; 7014 (Bureau of Developmental Services) is 100% General Funds; and, 7016 (Bureau of Developmental Services) is 100% General Funds.

In the event that Federal Funds become no longer available, General Funds will not be requested to support these programs.

Respectfully submitted,

  
Nicholas A. Toumpas  
Commissioner