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State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

December 9, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Retroactive

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to **retroactively** amend a federal pass-through grant received from the NH Department of Justice for the purpose of purchasing software enhancements to the existing Automated Fingerprint Identification System (AFIS) by extending the end-date only with no increase in funding from September 30, 2013 to September 30, 2014, effective upon Fiscal Committee and Governor and Council.. The grant was originally approved by the Fiscal Committee (FIS 13-052) and Governor and Council (item #70) on March 8, 2013 and March 20, 2013, respectively. And subsequently amended and approved by the Fiscal Committee (FIS 13-134) and Governor and Council (item #96) on July 10, 2013. Funding Source: 100% Agency Income.

Funds are budgeted in the following account:

02-23-23-234010-33450000 Dept. of Safety – Division of State Police – NHDOJ Grants
RSRC: 407085

Explanation

This request is to amend the end date only for the Federal grant, received through NHDOJ, which ended on September 30, 2013, and as such is not budgeted in the 2014/2015 biennium budget. The Division received the grant after the budget was prepared; as this is a solitary award, the Division does not foresee continuing to receive this award. This item is **retroactive** as the Division did not receive the approved extension from NH Department of Justice until after it was expired. NH Department of Justice had to take the grant extension to Governor and Council for approval before granting the extension to the Department of Safety. The NHDOJ extension request was approved on October 2, 2013, Item #25, therefore requiring this item to be retroactive for the Department of Safety.

In accordance with the 1983 Interstate Identification Index compliance granted by the Federal Bureau of Investigation, the New Hampshire State Police, specifically the Criminal Records Unit, is the sole submitting agency for all criminal and applicant tenprint impressions to the FBI. All tenprint impressions, submitted via inked or electronically, by the state, county, local law enforcement agencies, and every correctional facility, are forwarded to the state police Criminal Records Unit for processing and submission to the FBI.

The Department of Safety's Automated Fingerprint Identification System (AFIS) is part of a tri-state system, comprised of the states of New Hampshire, Maine, and Vermont (Tri-State). New Hampshire is the host state for the system, housing the back end of the AFIS. Each state uses its own independent workflow.

The current OmniTrak version of the Tri-State AFIS stores Tenprint, Unsolved Latent File (ULF), Palmprint File, and Unsolved Latent Palmprint File data and has the capability of unilaterally updating the state's Central Criminal History (CCH) database with an arrestee's demographic information when tenprint data is entered into the AFIS either electronically by livescan capture or by inked tenprint impressions digitally scanned into the system.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 19, 2013
Page 2 of 2

New Hampshire's AFIS interfaced with its CCH in March of 2011. Shortly thereafter, it was discovered that when scanning an inked tenprint card into AFIS, a new State Identification number (SID) was assigned to the images without checking the CCH for an existing SID. This required a manual check of the CCH for an existing SID and removing the new SID if one had already been assigned. This added step actually slowed the process beyond the normal data entry into criminal history before the interface. This workflow problem was not within the original interface design and to correct it requires the added expense of an additional workflow change. This grant will be used to fund this workflow change.

The FBI will soon no longer accept hard-copy (inked) tenprint cards or hard-copy biometrics such as palmprints. The FBI has suggested that agencies update their current processing of inked tenprint submittals. In 2011 New Hampshire received 13,583 inked criminal tenprint cards. Whereas mailing the inked hard-copy tenprint cards to the FBI assures timely submission, the FBI by ceasing the acceptance of inked hard-copy tenprint cards prevents New Hampshire's limited resources and current AFIS capability from the timely scanning and forwarding of tenprint data to the FBI for inclusion into their criminal history record information database. This seriously jeopardizes officer safety and potentially fosters an air of false security with respect to hiring, housing, and licensing on the civil side.

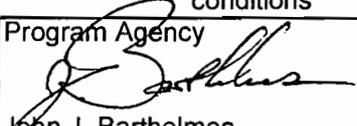
This plan is also consistent with another Department of Safety initiative, J-One, which will substantially improve our ability to track a particular offender from arrest/summons through completion of all requirements of a resulting sentence.

The 20% match requirement in the grant will be met with in-kind expenditures from Criminal Records.

In the event that Agency Income is no longer available, General and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: Department of Safety		Vendor No.: 177878B001
Program Name: AFIS Equipment		Amount: \$ 129,450.00
Grant Start Date: 12/5/2012	State Grant Number: 2012RU01	
Grant End Date: 9/30/2014	Federal Grant Number: 2012-RU-BX-K003	
Appropriation No.: 02-20-20-201510-2909-072-500576		
Head of Agency	Project Director	Fiscal Officer
John J. Barthelmes Commissioner 33 Hazen Drive Concord, NH 03305 271-2791	Jeffrey Kellett Chief Administrator 271-2791	John T. Beardmore Director of Administration 271-2589
Federal Grant Name:	Bureau of Justice Statistics	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.554	
Purpose of Grant:	Purchase of AFIS Technology Grant Period End Date Extension	
Financial Requirements:	See signed Program Conditions and Guidelines.	
Match Requirements:	20% match requirement Match must be spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports Annual performance report and audit Adherence to State and Federal guidelines and conditions	
Approval Name	Program Agency  John J. Barthelmes	NH Department of Justice  Rosemary Faretra
Title	Commissioner	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

*Fiscal # FIS 13-134
07-10-2013*

June 17, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*gr #96
07-10-2013*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police,, to amend Fiscal Item #FIS13-052, approved on March 08, 2013, and Item #70, approved by Governor and Council on March 20, 2013, by changing the end date only, with no increase in funding, **retroactive** from June 30, 2013, to a new end date of September 30, 2013, to align with the Federal Grant end date. Effective upon Fiscal Committee and Governor and Council approval for the period of July 1, 2013, through September 30, 2013. Funding Source: 100% Agency Income

Funds are budgeted in the following account:

02-23-23-234010-33450000 Dept. of Safety – Division of State Police – NHDOJ Grants
RSRC: 407085

Explanation

This requested action to amend the end date only is for two Highway Safety Federal grants that end on September 30, 2013, and as such are not budgeted in the 2014/2015 biennium budget. The Division received the grant after the budget was prepared, as this is a solitary award we do not foresee continuing to receive this award. This item is **retroactive** as the first scheduled Fiscal Committee meeting for the new biennium occurs after the original requested end date for this grant.

In accordance to the 1983 Interstate Identification Index compliance granted by the Federal Bureau of Investigation, the New Hampshire State Police, specifically the Criminal Records Unit, is the sole submitting agency for all criminal and applicant tenprint impressions to the FBI. All tenprint impressions, submitted via inked or electronically by the state, county, local law enforcement agencies, and every correctional facility, are forwarded to the state police Criminal Records Unit for processing and submission to the FBI.

The Department of Safety's Automated Fingerprint Identification System (AFIS) is part of a tri-state system, comprised of the states of New Hampshire, Maine, and Vermont (Tri-State). New Hampshire is the host state for the system, housing the back end of the AFIS. Each state uses its own independent workflow.

The current OmniTrak version of the Tri-State AFIS stores tenprint, Unsolved Latent File (ULF), Palmprint File, and Unsolved Latent Palmprint File data and has the capability of unilaterally updating the state's Central Criminal

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of The General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

June 17, 2013

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History (CCH) database with an arrestee's demographic information when tenprint data is entered into the AFIS either electronically by livescan capture or by inked tenprint impressions digitally scanned into the system.

New Hampshire's AFIS interfaced with its CCH in March of 2011. Shortly thereafter, it was discovered that when scanning an inked tenprint card into AFIS, a new State Identification number (SID) was assigned to the images without checking the CCH for an existing SID. This required a manual check of the CCH for an existing SID and removing the new SID if one had already been assigned. This added step actually slowed the process beyond the normal data entry into criminal history before the interface. This workflow problem was not within the original interface design, and to correct it requires the added expense of an additional workflow change. This grant will be used to fund this workflow change.

The FBI will soon no longer accept hard-copy (inked) tenprint cards or hard-copy biometrics such as palmprints. The FBI has suggested that agencies update their current processing of inked tenprint submittals. In 2011 New Hampshire received 13,583 inked criminal tenprint cards. Whereas mailing the inked hard-copy tenprint cards to the FBI assures timely submission, the FBI by ceasing the acceptance of inked hard-copy tenprint cards prevents New Hampshire's limited resources and current AFIS capability from the timely scanning and forwarding of tenprint data to the FBI for inclusion into their criminal history record information database. This seriously jeopardizes officer safety and potentially fosters an air of false security with respect to hiring, housing, and licensing on the civil side.

This plan is also consistent with another Department of Safety initiative, J-One, which will substantially improve our ability to track a particular offender from arrest/summons through completion of all requirements of a resulting sentence.

The 20% match requirement in the grant will be met with in-kind expenditures from Criminal Records.

In the event that Agency Income is no longer available, General and/or Highway funds will not be requested to support this program.

Respectfully,


John J. Barthelmes
Commissioner

SP-CRU-01-2013-01



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

January 14, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*Fiscal # FIS 13-052
03-08-2013*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

*GHC #70
03-20-2013*

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to accept and expend a sub-grant from the New Hampshire Department of Justice in the amount of \$129,450.00 to purchase software enhancements to the existing Automated Fingerprint Identification System (AFIS). Effective upon Fiscal Committee and Governor and Council approval through June 30, 2013. Funding Source: 100% Agency Income.

Funds are to be budgeted in the following account:

02-23-23-234010-33450000 Dept. of Safety – Division of State Police – NHDOJ Grants
RSRC: 407085
Amount: \$129,450.00

<u>Class</u>	<u>Description</u>	<u>Current</u> <u>Appropriation</u>	<u>Requested Action</u>	<u>Revised Appropriation</u>
009	Agency Income	\$ -	\$ (129,450.00)	\$ (129,450.00)
030-500312	Equipment	\$ -	\$ 129,450.00	\$ 129,450.00
	Total	\$ -	\$ 129,450.00	\$ 129,450.00

Explanation

In accordance to the 1983 Interstate Identification Index compliance granted by the Federal Bureau of Investigation, the New Hampshire State Police, specifically the Criminal Records Unit, is the sole submitting agency for all criminal and applicant tenprint impressions to the FBI. All tenprint impressions, submitted via inked or electronically by the state, county, local law enforcement agencies, and every correctional facility, are forwarded to the state police Criminal Records Unit for processing and submission to the FBI.

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The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of The General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

January 14, 2013

Page 2 of 2

The current OmniTrak version of the Tri-State AFIS stores Tenprint, Unsolved Latent File (ULF), Palmprint File, and Unsolved Latent Palmprint File data and has the capability of unilaterally updating the state's Central Criminal History (CCH) database with an arrestee's demographic information when tenprint data is entered into the AFIS either electronically by livescan capture or by inked tenprint impressions digitally scanned into the system.

New Hampshire's AFIS interfaced with its CCH in March of 2011. Shortly thereafter, it was discovered that when scanning an inked tenprint card into AFIS, a new State Identification number (SID) was assigned to the images without checking the CCH for an existing SID. This required a manual check of the CCH for an existing SID and removing the new SID if one had already been assigned. This added step actually slowed the process beyond the normal data entry into criminal history before the interface. This workflow problem was not within the original interface design, and to correct it requires the added expense of an additional workflow change. This grant will be used to fund this workflow change.

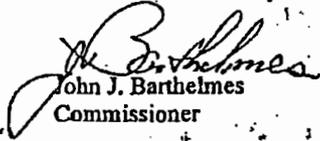
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This plan is also consistent with another Department of Safety initiative, J-One, which will substantially improve our ability to track a particular offender from arrest/summons through completion of all requirements of a resulting sentence.

The 20% match requirement in the grant will be met with in-kind expenditures from Criminal Records.

In the event that funds are no longer available, General and/or Highway funds will not be requested to support this program.

Respectfully,


John J. Barthelmes
Commissioner

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD			
Agency Name:	Department of Safety	Vendor No.:	177878B001
Program Name:	AFIS Equipment	Amount:	\$ 129,450.00
Grant Start Date:	12/5/2012	State Grant Number:	2012RU01
Grant End Date:	9/30/2013	Federal Grant Number:	2012-RU-BX-K003
Appropriation No.:	02-20-20-201510-2909-072-500576		
Head of Agency	Project Director	Fiscal Officer	
John J. Barthelmes Commissioner 33 Hazen Drive Concord, NH 03305 271-2791	Jeffrey Kellett Chief Administrator 271-2791	John T. Beardmore Director of Administration 271-2589	
Federal Grant Name:	Bureau of Justice Statistics		
Federal Agency:	United States Department of Justice		
Bureau/Office:	Office of Justice Programs		
CFDA Number:	16.554		
Purpose of Grant:	Purchase of AFIS Technology.		
Financial Requirements:	See signed Program Conditions and Guidelines.		
Match Requirements:	20% match requirement Match must be spent on program allowable activities.		
Reporting Requirements:	Monthly or quarterly Financial reports Annual performance report and audit Adherence to State and Federal guidelines and conditions		
Approval	Program Agency	NH Department of Justice	
Name	<i>John J. Barthelmes</i> John J. Barthelmes	<i>Rosemary Faretta</i> Rosemary Faretta	
Title	Commissioner	Director of Administration	
Date			
All terms of this grant award are not valid unless signed by both authorized parties.			

TITLE I THE STATE AND ITS GOVERNMENT

CHAPTER 14 LEGISLATIVE OFFICERS AND PROCEEDINGS

Legislative Budget Assistant

Section 14:30-a

14:30-a Fiscal Committee. —

I. There is hereby established a fiscal committee of the general court. Said committee shall consist of 10 members. Five shall be members of the house as follows: the chairperson of the finance committee and 2 other members of the committee, appointed by the chairperson; and 2 other house members appointed by the speaker of the house. Five members shall be members of the senate as follows: the chairperson of the finance committee and 2 other members of that committee, appointed by the chairperson; and 2 other senators appointed by the senate president. The chairperson of the house finance committee shall be the chairperson of the fiscal committee.

II. The committee shall, while the general court is in session and during the interim, consult with, assist, advise, and supervise the work of the legislative budget assistant, and may at its discretion investigate and consider any matter relative to the appropriations, expenditures, finances, revenues or any of the fiscal matters of the state. The members shall be paid the regular legislative mileage during the interim while engaged in their work as members of the committee.

III. The fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee unless the fiscal committee refuses by unanimous vote to adopt such recommendations.

IV. [Repealed.]

V. [Repealed.]

VI. Any non-state funds in excess of \$50,000, whether public or private, including refunds of expenditures, federal aid, local funds, gifts, bequests, grants, and funds from any other non-state source, which under state law require the approval of governor and council for acceptance and expenditure, may be accepted and expended by the proper persons or agencies in the state government only with the prior approval of the fiscal committee.

Source. 1965, 239:19. 1987, 416:7. 1989, 396:13; 408:2. 1991, 346:18, I. 1995, 9:8, eff. June 11, 1995. 2005, 177:11, eff. July 1, 2005. 2006, 290:21, eff. June 15, 2006.

