

### OFFICE OF PROFESSIONAL LICENSURE AND CERTIFICATION STATE OF NEW HAMPSHIRE

121 South Fruit Street Concord, New Hampshire 03301 Telephone 603-271-6766 · Fax 603-271-0597 5A an

Joseph G. Shoemaker Technical Division Director Peter D. Danles Executive Director Sheri Walsh Health Division Director

September 18, 2018

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His Excellency, Governor Christopher T. Sununu and the Honorable Council State House Concord, New Hampshire 03301

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### **REQUESTED ACTION**

The New Hampshire Office of Professional Licensure and Certification (OPLC), respectfully request authorization to enter into a contract with the Council on Licensure, Enforcement and Regulation (CLEAR), 108 Wind Haven Drive, Ste. A, Nicholasville, KY, (vendor code #171859) in an amount not to exceed \$125,015.00 to provide licensing research analysis and subsequently provide recommendations, effective upon Governor & Council approval through April 30, 202, 100% Federal Funds

Funds to support this request are available in the account titled OPLC-Occupational License Review & Reform in SFY 2019 and are anticipated to be available in SFY 2020, upon the availability and continued appropriation of funds in the future operating budget, with the ability to adjust encumbrances between State Fiscal Years through the Budget Office, if needed and justified:

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02-21-21-211010-24250000-072-502645	\$62,507.50	\$62,507.50	
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### **EXPLANATION**

The US Council on Licensing and Enforcement and Regulations (CLEAR), will do occupational licensing review and reform with a focus on five categories of licensure that encompasses 14 occupations and 33 licenses. The five categories of licensure will be: Alcohol and Other Drug Use Professionals, Allied Health Professionals, Barbering, Cosmetology, and Esthetics, Licensed Nursing Assistant, and Pharmacy Technician.

Occupational licensing review and reform is crucially important for the economic vitality of the State of New Hampshire, both in the short- and long-term. If NH does not adequately address our occupational licensure issues within these industries, we believe the state will suffer in three ways. 1. NH will not be able to adequately address or alleviate the opioid issue. No matter how much is available for funds, the lack of staff will leave those in need of treatment less able to obtain it. 2. We will not be filling roles to address the aging population in our state. With NH being the second oldest state in the US, having sufficient medical personnel is critical. The jobs are available and more are becoming available that will both assist and employ this population. We have an opportunity to provide better services and extend the working careers for those that wish to do so, but it is more challenging without occupational licensing reform. 3. We squander the opportunity to evaluate and market underemployed populations, which does a disservice to both employers and potential employees. Alleviating licensure barriers, which could fast-track deserving and qualified populations into careers, helps the state, employers, and potential employees alike.

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His Excellency, Governor Christopher T. Sununu and the Honorable Council September 18, 2018

The Office of Professional Licensure and Certification issued a Request for Proposals (RFP) at the end of June, 2018, with a submission deadline of the proposals at 4:00 P.M., July 9, 2018. OPLC received one bid response from the US Council on Licensing and Enforcement and Regulations (CLEAR). CLEAR is reputable nationally and internationally for being a dynamic forum for improving the quality and understanding of regulation in order to enhance public protection. CLEAR's bid proposal provided an outline of each task and the strategy for implementation based on the RFP criteria. CLEAR has the requisite expertise and experience to perform the services being requested. OPLC respectfully request approval of this contract request.

In the event that Federal Funds become no longer available General and/or Agency Income (OPLC FUNDS) will not be requested to support this program.

Respectfully submitte Peter D. Danles

Executive Director, OPLC

<u>Notice</u>: This agreement and all of its attachments shall become public upon submission to Governor and Executive Council for approval. Any information that is private, confidential or proprietary must be clearly identified to the agency and agreed to in writing prior to signing the contract.

### AGREEMENT

The State of New Hampshire and the Contractor hereby mutually agree as follows:

### **GENERAL PROVISIONS**

	1.1 State Agency Name     1.2 State Agency Address		
Office of Professnial Licensure and Certification		121 South Fruit Street	
		Concord, NH 03301	
1.3 Contractor Name	<u> </u>	1.4 Contractor Address	·····
Council on Licensue, Enforcem	ent and Regulation (CLEAR)	108 Wind Haven Drive, SuiteA	
Could on Electistic, Entereent		Nicholas, KY 40356, USA	
1.5 Contractor Phone	1.6 Account Number	1.7 Completion Date	1.8 Price Limitation
Number			
859-687-0262	02-21-21-211010-24250000	4-30-2020	\$125,015
	072-502645		
1.9 Contracting Officer for Sta		1.10 State Agency Telephone Number	
Peter Danles, OPLC Executive I		603-271-6766	
Doreen Wittenberg, OPLC Acco		603-271-0930	
1.11 Contractor Signature		1.12 Name and Title of Contractor Signatory	
-	1		÷ -
Jodie Martin		Judie Markey	Senior Program Coordinator
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1.13 Acknowledgement: State	of KU, County of	Boone	
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	e the undersigned officer, persona		
	ame is signed in block 1.11, and a	acknowledged that s/he executed	d this document in the capacity
indicated in block 1.12			······································
1.13.1 Signature of Notary Pub			
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1.13.2 Name and Title of Nota			
	ry or Justice of the Peace		
(	<b>A</b>	erman	
(	TY or Justice of the Peace MRISTING EVE		to Acazon Signatory
1.14 State Agency Signature	<b>A</b>	21 Mach 1.15 Name and Title of Sta	
1.14 State Agency Signature	Christina Eve		
Alla	Date: 10/2/18	1.15 Name and Title of Sta	te Agency Signatory
Alla	Christina Eve	1.15 Name and Title of Sta	
1.16 Approval by the N.H. Dep	Date: 10/2/18	1.15 Name and Title of Sta	
Alla	Date: 10/2/18	1.15 Name and Title of Sta	
1.16 Approval by the N.H. Dep By:	<u>Date: 10/2/18</u> Date: 10/2/18	1.15 Name and Title of Sta ele Con (e) ion of Personnel (if applicable) Director, On:	
1.16 Approval by the N.H. Dep By:	Date: 10/2/18	1.15 Name and Title of Sta ele Con (e) ion of Personnel (if applicable) Director, On:	
1.16 Approval by the N.H. Dep By: 1.17 Approval by the Attorney	<u>Date: 10/2/18</u> Date: 10/2/18	1.15 Name and Title of Sta 1.15 Name and Title of Sta 1.	
1.16 Approval by the N.H. Dep By:	<u>Date: 10/2/18</u> Date: 10/2/18	1.15 Name and Title of Sta ele Con (e) ion of Personnel (if applicable) Director, On:	
1.16 Approval by the N.H. Dep By: 1.17 Approval by the Attorney By: Sleney	Date: 10/2/18 Date: 10/2/18 Date: 10/2/18 Deartment of Administration, Division General (Form, Substance and Es	1.15 Name and Title of Sta ion of Personnel ( <i>if applicable</i> ) Director, On: ( <i>if applicable</i> ) On: $ O/H /P$	
1.16 Approval by the N.H. Dep By: 1.17 Approval by the Attorney By: Sleney	<u>Date: 10/2/18</u> Date: 10/2/18	1.15 Name and Title of Sta ion of Personnel ( <i>if applicable</i> ) Director, On: (f applicable) On: $(O/H/I)$ (cable)	
1.16 Approval by the N.H. Dep By: 1.17 Approval by the Attorney By: Sleney	Date: 10/2/18 Date: 10/2/18 Date: 10/2/18 Deartment of Administration, Division General (Form, Substance and Es	1.15 Name and Title of Sta ion of Personnel ( <i>if applicable</i> ) Director, On: ( <i>if applicable</i> ) On: $ O/H /P$	
1.16 Approval by the N.H. Dep         By:         1.17 Approval by the Attorney         By:         J.18 Approval by the Governor	Date: 10/2/18 Date: 10/2/18 Date: 10/2/18 Deartment of Administration, Division General (Form, Substance and Es	1.15 Name and Title of Sta ion of Personnel ( <i>if applicable</i> ) Director, On: (f applicable) On: $(O/H/I)$ (cable)	

### 2. EMPLOYMENT OF CONTRACTOR/SERVICES TO

**BE PERFORMED.** The State of New Hampshire, acting through the agency identified in block 1.1 ("State"), engages contractor identified in block 1.3 ("Contractor") to perform, and the Contractor shall perform, the work or sale of goods, or both, identified and more particularly described in the attached EXHIBIT A which is incorporated herein by reference ("Services").

### 3. EFFECTIVE DATE/COMPLETION OF SERVICES.

3.1 Notwithstanding any provision of this Agreement to the contrary, and subject to the approval of the Governor and Executive Council of the State of New Hampshire, if applicable, this Agreement, and all obligations of the parties hereunder, shall become effective on the date the Governor and Executive Council approve this Agreement as indicated in block 1.18, unless no such approval is required, in which case the Agreement shall become effective on the date the Agreement is signed by the State Agency as shown in block 1.14 ("Effective Date").

3.2 If the Contractor commences the Services prior to the Effective Date, all Services performed by the Contractor prior to the Effective Date shall be performed at the sole risk of the Contractor, and in the event that this Agreement does not become effective, the State shall have no liability to the Contractor, including without limitation, any obligation to pay the Contractor for any costs incurred or Services performed. Contractor must complete all Services by the Completion Date specified in block 1.7.

### 4. CONDITIONAL NATURE OF AGREEMENT.

Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including, without limitation, the continuance of payments hereunder, are contingent upon the availability and continued appropriation of funds, and in no event shall the State be liable for any payments hereunder in excess of such available appropriated funds. In the event of a reduction or termination of appropriated funds, the State shall have the right to withhold payment until such funds become available, if ever, and shall have the right to terminate this Agreement immediately upon giving the Contractor notice of such termination. The State shall not be required to transfer funds from any other account to the Account identified in block 1.6 in the event funds in that Account are reduced or unavailable.

### 5. CONTRACT PRICE/PRICE LIMITATION/ PAYMENT.

5.1 The contract price, method of payment, and terms of payment are identified and more particularly described in EXHIBIT B which is incorporated herein by reference.
5.2 The payment by the State of the contract price shall be the only and the complete reimbursement to the Contractor for all expenses, of whatever nature incurred by the Contractor in the performance hereof, and shall be the only and the complete compensation to the Contractor for the Services. The State shall have no liability to the Contractor other than the contract price.

5.3 The State reserves the right to offset from any amounts otherwise payable to the Contractor under this Agreement those liquidated amounts required or permitted by N.H. RSA 80:7 through RSA 80:7-c or any other provision of law. 5.4 Notwithstanding any provision in this Agreement to the contrary, and notwithstanding unexpected circumstances, in no event shall the total of all payments authorized, or actually made hereunder, exceed the Price Limitation set forth in block 1.8.

### 6. COMPLIANCE BY CONTRACTOR WITH LAWS AND REGULATIONS/ EQUAL EMPLOYMENT OPPORTUNITY.

6.1 In connection with the performance of the Services, the Contractor shall comply with all statutes, laws, regulations, and orders of federal, state, county or municipal authorities which impose any obligation or duty upon the Contractor, including, but not limited to, civil rights and equal opportunity laws. This may include the requirement to utilize auxiliary aids and services to ensure that persons with communication disabilities, including vision, hearing and speech, can communicate with, receive information from, and convey information to the Contractor. In addition, the Contractor shall comply with all applicable copyright laws. 6.2 During the term of this Agreement, the Contractor shall not discriminate against employees or applicants for employment because of race, color, religion, creed, age, sex, handicap, sexual orientation, or national origin and will take affirmative action to prevent such discrimination. 6.3 If this Agreement is funded in any part by monies of the United States, the Contractor shall comply with all the provisions of Executive Order No. 11246 ("Equal Employment Opportunity"), as supplemented by the regulations of the United States Department of Labor (41 C.F.R. Part 60), and with any rules, regulations and guidelines as the State of New Hampshire or the United States issue to implement these regulations. The Contractor further agrees to permit the State or United States access to any of the Contractor's books, records and accounts for the purpose of ascertaining compliance with all rules, regulations and orders, and the covenants, terms and conditions of this Agreement.

### 7. PERSONNEL.

7.1 The Contractor shall at its own expense provide all personnel necessary to perform the Services. The Contractor warrants that all personnel engaged in the Services shall be qualified to perform the Services, and shall be properly licensed and otherwise authorized to do so under all applicable laws.

7.2 Unless otherwise authorized in writing, during the term of this Agreement, and for a period of six (6) months after the Completion Date in block 1.7, the Contractor shall not hire, and shall not permit any subcontractor or other person, firm or corporation with whom it is engaged in a combined effort to perform the Services to hire, any person who is a State employee or official, who is materially involved in the procurement, administration or performance of this

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Contractor Initials <u>5M</u> Date 924/18

Agreement. This provision shall survive termination of this Agreement.

7.3 The Contracting Officer specified in block 1.9, or his or her successor, shall be the State's representative. In the event of any dispute concerning the interpretation of this Agreement, the Contracting Officer's decision shall be final for the State.

### 8. EVENT OF DEFAULT/REMEDIES.

8.1 Any one or more of the following acts or omissions of the Contractor shall constitute an event of default hereunder ("Event of Default"):

8.1.1 failure to perform the Services satisfactorily or on schedule;

8.1.2 failure to submit any report required hereunder; and/or 8.1.3 failure to perform any other covenant, term or condition of this Agreement.

8.2 Upon the occurrence of any Event of Default, the State may take any one, or more, or all, of the following actions: 8.2.1 give the Contractor a written notice specifying the Event of Default and requiring it to be remedied within, in the absence of a greater or lesser specification of time, thirty (30) days from the date of the notice; and if the Event of Default is not timely remedied, terminate this Agreement, effective two (2) days after giving the Contractor notice of termination; 8.2.2 give the Contractor a written notice specifying the Event of Default and suspending all payments to be made under this Agreement and ordering that the portion of the contract price which would otherwise accrue to the Contractor during the period from the date of such notice until such time as the State determines that the Contractor has cured the Event of Default shall never be paid to the Contractor;

8.2.3 set off against any other obligations the State may owe to the Contractor any damages the State suffers by reason of any Event of Default; and/or

8.2.4 treat the Agreement as breached and pursue any of its remedies at law or in equity, or both.

### 9. DATA/ACCESS/CONFIDENTIALITY/ PRESERVATION.

9.1 As used in this Agreement, the word "data" shall mean all information and things developed or obtained during the performance of, or acquired or developed by reason of, this Agreement, including, but not limited to, all studies, reports, files, formulae, surveys, maps, charts, sound recordings, video recordings, pictorial reproductions, drawings, analyses, graphic representations, computer programs, computer printouts, notes, letters, memoranda, papers, and documents, all whether finished or unfinished.

9.2 All data and any property which has been received from the State or purchased with funds provided for that purpose under this Agreement, shall be the property of the State, and shall be returned to the State upon demand or upon termination of this Agreement for any reason.

9.3 Confidentiality of data shall be governed by N.H. RSA chapter 91-A or other existing law. Disclosure of data requires prior written approval of the State.

10. TERMINATION. In the event of an early termination of this Agreement for any reason other than the completion of the Services, the Contractor shall deliver to the Contracting Officer, not later than fifteen (15) days after the date of termination, a report ("Termination Report") describing in detail all Services performed, and the contract price earned, to and including the date of termination. The form, subject matter, content, and number of copies of the Termination Report shall be identical to those of any Final Report described in the attached EXHIBIT A.

### 11. CONTRACTOR'S RELATION TO THE STATE. In

the performance of this Agreement the Contractor is in all respects an independent contractor, and is neither an agent nor an employee of the State. Neither the Contractor nor any of its officers, employees, agents or members shall have authority to bind the State or receive any benefits, workers' compensation or other emoluments provided by the State to its employees.

### 12. ASSIGNMENT/DELEGATION/SUBCONTRACTS.

The Contractor shall not assign, or otherwise transfer any interest in this Agreement without the prior written notice and consent of the State. None of the Services shall be subcontracted by the Contractor without the prior written notice and consent of the State.

13. INDEMNIFICATION. The Contractor shall defend, indemnify and hold harmless the State, its officers and employees, from and against any and all losses suffered by the State, its officers and employees, and any and all claims, liabilities or penalties asserted against the State, its officers and employees, by or on behalf of any person, on account of, based or resulting from, arising out of (or which may be claimed to arise out of) the acts or omissions of the Contractor. Notwithstanding the foregoing, nothing herein contained shall be deemed to constitute a waiver of the sovereign immunity of the State, which immunity is hereby reserved to the State. This covenant in paragraph 13 shall survive the termination of this Agreement.

### **14. INSURANCE.**

14.1 The Contractor shall, at its sole expense, obtain and maintain in force, and shall require any subcontractor or assignee to obtain and maintain in force, the following insurance:

14.1.1 comprehensive general liability insurance against all claims of bodily injury, death or property damage, in amounts of not less than \$1,000,000per occurrence and \$2,000,000 aggregate ; and

14.1.2 special cause of loss coverage form covering all property subject to subparagraph 9.2 herein, in an amount not less than 80% of the whole replacement value of the property. 14.2 The policies described in subparagraph 14.1 herein shall be on policy forms and endorsements approved for use in the State of New Hampshire by the N.H. Department of Insurance, and issued by insurers licensed in the State of New Hampshire.

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Contractor Initials <u>5M</u>

14.3 The Contractor shall furnish to the Contracting Officer identified in block 1.9, or his or her successor, a certificate(s) of insurance for all insurance required under this Agreement. Contractor shall also furnish to the Contracting Officer identified in block 1.9, or his or her successor, certificate(s) of insurance for all renewal(s) of insurance required under this Agreement no later than thirty (30) days prior to the expiration date of each of the insurance policies. The certificate(s) of insurance and any renewals thereof shall be attached and are incorporated herein by reference. Each certificate(s) of insurance shall contain a clause requiring the insurer to provide the Contracting Officer identified in block 1.9, or his or her successor, no less than thirty (30) days prior written notice of cancellation or modification of the policy.

### 15. WORKERS' COMPENSATION.

15.1 By signing this agreement, the Contractor agrees, certifies and warrants that the Contractor is in compliance with or exempt from, the requirements of N.H. RSA chapter 281-A ("Workers' Compensation").

15.2 To the extent the Contractor is subject to the requirements of N.H. RSA chapter 281-A, Contractor shall maintain, and require any subcontractor or assignee to secure and maintain, payment of Workers' Compensation in connection with activities which the person proposes to undertake pursuant to this Agreement. Contractor shall furnish the Contracting Officer identified in block 1.9, or his or her successor, proof of Workers' Compensation in the manner described in N.H. RSA chapter 281-A and any applicable renewal(s) thereof, which shall be attached and are incorporated herein by reference. The State shall not be responsible for payment of any Workers' Compensation premiums or for any other claim or benefit for Contractor, or any subcontractor or employee of Contractor, which might arise under applicable State of New Hampshire Workers' Compensation laws in connection with the performance of the Services under this Agreement.

16. WAIVER OF BREACH. No failure by the State to enforce any provisions hereof after any Event of Default shall be deemed a waiver of its rights with regard to that Event of Default, or any subsequent Event of Default. No express failure to enforce any Event of Default shall be deemed a waiver of the right of the State to enforce each and all of the provisions hereof upon any further or other Event of Default on the part of the Contractor.

17. NOTICE. Any notice by a party hereto to the other party shall be deemed to have been duly delivered or given at the time of mailing by certified mail, postage prepaid, in a United States Post Office addressed to the parties at the addresses given in blocks 1.2 and 1.4, herein.

18. AMENDMENT. This Agreement may be amended, waived or discharged only by an instrument in writing signed by the parties hereto and only after approval of such amendment, waiver or discharge by the Governor and Executive Council of the State of New Hampshire unless no such approval is required under the circumstances pursuant to State law, rule or policy.

### **19. CONSTRUCTION OF AGREEMENT AND TERMS.**

This Agreement shall be construed in accordance with the laws of the State of New Hampshire, and is binding upon and inures to the benefit of the parties and their respective successors and assigns. The wording used in this Agreement is the wording chosen by the parties to express their mutual intent, and no rule of construction shall be applied against or in favor of any party.

20. THIRD PARTIES. The parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.

21. HEADINGS. The headings throughout the Agreement are for reference purposes only, and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.

22. SPECIAL PROVISIONS. Additional provisions set forth in the attached EXHIBIT C are incorporated herein by reference.

23. SEVERABILITY. In the event any of the provisions of this Agreement are held by a court of competent jurisdiction to be contrary to any state or federal law, the remaining provisions of this Agreement will remain in full force and effect.

24. ENTIRE AGREEMENT. This Agreement, which may be executed in a number of counterparts, each of which shall be deemed an original, constitutes the entire Agreement and understanding between the parties, and supersedes all prior Agreements and understandings relating hereto.

### EXHIBIT A

### SCOPE OF SERVICES

The Office of Professional Licensure and Certification (OPLC) will contract with the Council on Licensure, Enforcement and Regulation (CLEAR) for (18) months to perform licensing research analysis and subsequently provide recommendations. CLEAR will specifically perform the following duties:

- Conduct a comparative analysis of requirements within each US jurisdiction for the occupations OPLC has selected and determine how New Hampshire's standards line up with standards across the US.
- Review existing or emerging interstate licensing compacts for the selected occupations and develop a report on what it would take for New Hampshire to be able to join.
- Develop a report on the potential benefits and detriments to joining said compacts.
- Analyze the current barriers to entry/mobility within the selected professions and the rationale for each barrier.
- Conduct a review of the selected occupational categories and identify all subspecialties. Using stakeholder feedback, a comparative analysis, and current research and best practices for each field, develop a set of recommendations on sub-specialties that could receive exemptions.
- Review national certifications for identified occupations and compare certification requirements to existing state licensing requirements. Identify areas of overlap and potential gaps between national certification and licensure.
- Conduct a literature review of existing research and findings on the costs and benefits of occupational licensing.
- Conduct a review of occupations that currently use an alternate method of entry to practice, noting similarities and differences to licensing as well as any positive or negative outcomes associated with an alternative approach. Develop a report comparing the time and financial commitment for entry to practice for licensees with other regulatory alternatives.
- Conduct a series of five in-person meetings with stakeholders to collect feedback and contribute to the set of reports associated with this project. In consultation with the state, prepare a list of questions and conduct pre and post meeting surveys as necessary.
- Utilizing the report addressing licensing compacts with other states and feedback from stakeholders; develop a plan for the state of New Hampshire to join interstate licensing compacts where applicable.
- Based on stakeholder feedback and meetings with military organizations/representatives, determine the best methods for communications. Conduct a review of best practices employed by other states in communications with military spouses. Develop and execute the communications plan and make the information readily available on the state website and other channels identified within the plan.

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> Vendor Initials <u>5M</u> Date <u>924/</u>8

### EXHIBIT A

### SCOPE OF SERVICES

- Based on report addressing unjustified barriers, stakeholder input, data from other states and current best practices, develop a set of recommendations on which barriers to former convicted offenders could be removed or lessened.
- Compile a set of recommendations and rationale based on the research conducted, reports developed and stakeholder feedback. Research legislation and best practices in other states and obtain model legislation when possible for New Hampshire to utilize in the process of drafting legislation. Provide input, review and comment during the process of drafting legislation.
- Assist NH staff with grant and reporting requirements.

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> Vendor Initials <u>J</u>M Date <u>V</u>24/19

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Vendor Initials <u>5</u> Date <u>724/1</u>8

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Total consulting hours and cost (655) X 154.96\$101,500Travel for (9) round trips to New Hampshire\$12,150Administration, supplies and overhead\$11,365Total cost for contract services\$125,015

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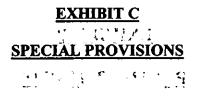
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CLEAR will submit seven invoices on a quarterly basis, with the first invoice being issued on December 31, 2018 and the last invoice being issued upon completion of the contract on April 30, 2020. Each invoice will include the number of hours worked for that quarter, the travel expenses and supporting documentation for any consultant trips to New Hampshire and one seventh of the administration, supplies and overhead line item.

# <u>EXHIBIT B</u> <u>PAYMENT TERMS</u>

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### There are no modifications, additions and/or deletions to Form P-37, General Provisions.

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### EXHIBIT C

### SPECIAL PROVISIONS

There are no modifications, additions and/or deletions to Form P-37, General Provisions.

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Vendor Initials <u>5M</u> Date <u>V2V1</u>8

### **Commonwealth of Kentucky** Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes Secretary of State P. O. Box 718 Frankfort, KY 40602-0718 (502) 564-3490 http://www.sos.ky.gov

### Certificate of Existence

Authentication number: 206634 Visit https://app.sos.ky.gov/ftshow/certyalidate.aspx.to-authenticate this certificate.

I, Alison Lundergan Grimes, Secretary of State of the Commonwealth of Kentucky. do hereby certify that according to the records in the Office of the Secretary of State,

COUNCIL ON LICENSURE, ENFORCEMENT AND REGULATIONS, IAA,

is a corporation duly incorporated and existing under KRS Chapter 14A and KRS Chapter 273, whose date of incorporation is September 20, 1993 and whose period of duration is perpetual

I further certify that all fees and penalties owed to the Secretary of State have been paid; that Articles of Dissolution have not been filed; and that the most recent annual report required by/KRS 14A.6-010 has been delivered to the Secretary of State.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 7<sup>th</sup> day of September, 2018, in the 227<sup>th</sup> year of the 'VIDED Commonwealth.

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Alison Lundergan Grimes Secretary of State Commonwealth of Kentucky 206634/0320436



### **Council on Licensure, Enforcement & Regulation**

PRESIDENT Cory Everett Colorado Latino Coalition for Community Leadership

PRESIDENT-ELECT Michael Salvatori Ontario College of Teachers

PAST PRESIDENT Steve Hart Kentucky Board of Pharmacy

BOARD OF DIRECTORS Kym Ayscough Australian Health Practitioner Regulation Agency

Ida Darragh North American Registry of Midwives

Sandy Greenberg ACT

Ginny Hanrahan CORU – Health and Social Care Professionals Council

Staci Mason District of Columbia Occupational and Professional Licensing Administration

Micah Matthews Washington State Medical Commission

Sean McKinley Veterinary Council of New Zealand

Marc Spector College of Early Childhood Educators of Ontario September 20, 2018

New Hampshire Office of Professional Licensure and Certification Directors 121 S Fruit St Concord, NH 03301

Dear Sir or Madame,

I am the Executive Director of the Council on Licensure, Enforcement and Regulation (CLEAR), and in this capacity, I am authorized to appoint staff to act on behalf of the Council on Licensure Enforcement and Regulation (CLEAR).

This letter confirms that I have appointed Jodie Markey, whose correct signature sample appears below, to act on behalf of the Council on Licensure Enforcement and Regulation (CLEAR) in executing State of New Hampshire P-37 contract.

Sincerely yours,

Adam Parfitt Executive Director

Signature Sample of Jodie Markey:

Jodie Markey Senior Program Coordinator

EXECUTIVE DIRECTOR Adam Parfitt

**Promoting Regulatory Excellence** 

#### Client#: 119882 41COUNCILONL1 DATE (MM/DD/YYYY) ACORD. CERTIFICATE OF LIABILITY INSURANCE 9/07/2018 THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer any rights to the certificate holder in lieu of such endorsement(s). CONTACT PRODUCER J Smith Lanier & Co-Lexington PHONE (AC, No, Ext): 800 796-3567 E-MAIL ADDRESS: FAX (A/C No): 859 254-8020 Marsh & McLennan Agency, LLC P O Box 2030 INSURER(S) AFFORDING COVERAGE NAIC # Lexington, KY 40588 10677 INSURER A : Cincinnati Insurance Co. INSURED 19682 INSURER B ; Hartford Fire Insurance Co. **Council on Licensure Enforcement** INSURER C : and Regulation, Inc. INSURER D : 108 Wind Haven Dr. INSURER E : Nicholasville, KY 40356 **INSURER F** : COVERAGES **CERTIFICATE NUMBER: REVISION NUMBER:** THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS. EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. ADDL SUBR POLICY EFF POLICY EXP (MM/DD/YYYY) (MM/DD/YYYY) INSR LTR TYPE OF INSURANCE POLICY NUMBER LIMITS COMMERCIAL GENERAL LIABILITY A X ECP0251873 07/01/2018 07/01/2019 EACH OCCURRENCE \$2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) CLAIMS-MADE X OCCUR s2,000,000 s5.000 MED EXP (Any one person) s2.000.000 PERSONAL & ADV INJURY GEN'L AGGREGATE LIMIT APPLIES PER: s4.000.000 GENERAL AGGREGATE PRO-\$4,000,000 POLICY PRODUCTS - COMP/OP AGG LOC OTHER 07/01/2018 07/01/2019 COMBINED SINGLE LIMIT AUTOMOBILE LIABILITY A EBA0251873 \$1,000,000 BODILY INJURY (Per person) ANY AUTO \$ OWNED AUTOS ONLY SCHEDULED BODILY INJURY (Per accident) \$ AUTOS NON-OWNED AUTOS ONLY HIRED AUTOS ONLY PROPERTY DAMAGE Х х (Per accident) s UMBRELLA LIAB OCCUR EACH OCCURRENCE \$ EXCESS LIAB CLAIMS-MADE AGGREGATE s DED RETENTION \$ s WORKERS COMPENSATION OTH в 33WECPA8012 07/01/2018 07/01/2019 X PER STATUTE AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? E.L. EACH ACCIDENT s1,000,000 Y N / A E.L. DISEASE - EA EMPLOYEE \$1,000,000 (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below E.L. DISEASE - POLICY LIMIT | \$1,000,000 DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) Certificate holder is included as additional insured when required by written contract but only with respects to the general liability insurance and subject to the provisions and limitations of the policy. CERTIFICATE HOLDER CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE **Office of Professional Licensure** THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. and Certification **121 South Fruit Street** AUTHORIZED REPRESENTATIVE Concord, NH 03301-0200

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## State of New Hampshire Department of State

### CERTIFICATE

1, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that COUNCIL ON LICENSURE ENFORCEMENT AND REGULATION is a Kentucky Nonprofit Corporation registered to transact business in New Hampshire on October 02, 2018. 1 further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 804461 Certificate Number: 0004192724



### IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 3rd day of October A.D. 2018.

William M. Gardner Secretary of State

### NEW HAMPSHIRE OFFICE OF PROFESSIONAL LICENSURE AND CERTIFICATION

### LEGAL NOTICE REQUEST FOR PROPOSAL

The NH Office of Professional Licensure and Certification (OPLC) is seeking an individual or organization to analyze the licensing requirements for specific occupations and providing recommendations to aid in reforming licensure and promote reciprocity between states.

Organizations interested in entering into a contract should contact Peter Danles, Executive Director, Office of Professional Licensure and Certification, 121 South Fruit Street, Concord, NH, 03301, (603) 271-6766, <u>Peter.Danles@OPLC.nh.gov</u>, for a copy of the Request for Proposal.

Deadline for submission of the Proposal is 4:00 P.M., July 9, 2018.

### **REQUEST FOR PROPOSAL**

## Licensing Reform Research, Analysis and Recommendation's Project

### I. INTRODUCTION AND PROJECT TASKS

The principal mission of the Office of Professional Licensure and Certification (OPLC) is to safeguard the public health, safety, welfare, environment and the public trust of the citizens of the State of New Hampshire. The Boards, Commissions and Councils administratively supported by OPLC qualify and license, certify or register individuals and businesses, establish and enforce administrative rules and laws and provide information so the public may make informed decisions.

Federal grant funding from the United States Department of Labor will be appropriated for the provision of analyzing the licensing requirements for specific occupations served by OPLC Boards, and providing recommendations that could assist in streamlining occupational licensing and promote portability of state licenses to and from other states. The selected vendor will be awarded an (18) month contract to complete this project, and will have the assistance of a Grant Coordinator employed by OPLC.

OPLC is seeking vendors with the following knowledge, skills and abilities:

- Knowledge of interstate and international professional licensing standards.
- Knowledge and Skills of coordination and facilitation of information exchange between licensing stakeholders.
- Knowledge and skills related to analytics, communication, decision making, and problem solving.
- Ability to plan the necessary processes to facilitate the licensing research structure.
- Ability to assist in providing the necessary documentation to support federal grant funding.
- Ability to provide licensing reform recommendations to OPLC.

The OPLC has identified a set of tasks that will be required. The successful contractor will be asked to provide deliverables to assess progress towards these tasks and develop a timeline for project completion:

- Reviewing the extent of alignment with similar requirements in other states.
- Exploring and identifying the extent of and which changes might be needed to permit New Hampshire to join one or more existing or emerging interstate licensing compacts.
- Considering intended purpose, how well the requirements achieve that purpose and any potential unintended consequences.
- Identifying potential unjustified barriers to entry or mobility (including provisions regarding the treatment of persons with criminal records or convicted offenders).
- Identifying sub-specialties that might be excepted from full licensing.
- Identifying ways to align with national industry-recognized certifications that would permit a certification to be adopted as a multi-state standard, either as part of state licensing requirements or in lieu of licensing.

- Exploring factors involved in balancing possible beneficial aspects of existing occupational licensing frameworks, such as protecting the health and safety of consumers and adequate training of practitioners, with possible negative aspects of current frameworks such as barriers to labor market entry and worker relocation.
- Determining how best to regulate particular occupations. Evaluate possible alternatives to the current licensing framework, as well as other regulatory alternatives to licensing such as, state or private industry-recognized certification, mandatory or voluntary bonding or insurance, and government registration.
- Examining particular labor market licensing barriers for veterans, and transitioning service members and persons with criminal records/convicted offenders, as well as low-income, unemployed, and dislocated workers.
- Engage and consult with stakeholders, such as representatives of industry, small businesses, and other affected individuals.
- Develop recommendations and implementation plans to join one or more existing or emerging interstate licensing compacts.
- Develop approaches to better publicize the availability of military spouse licensing solutions already authorized, such as licensure by endorsement, temporary licensing, or expedited processing.
- Develop recommendations to remove unnecessary licensing barriers preventing former convicted offenders from gaining meaningful employment and reintegration to society.
- Develop a set of recommendations on which barriers to former convicted offenders could be removed or lessened to limit denials based on criminal history to those situations where conviction is relevant to the occupational license sought, and public health and safety would be potentially at risk by granting license.
- Develop recommendations, document rationale, and propose revisions to state occupational regulation and licensure requirements based on the state's review and analysis, and stakeholder input.

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### II. ELIGIBILITY

Proposals may be submitted by any established nonprofit corporation, public agency (agency or department of municipal, county, or state government) or by private proprietorships, partnerships or corporations, or by a consortium of public, nonprofit, and private entities. In the case of collaborative proposals, one corporation shall be designated as the lead and fiscal administrator for the program.

### III PROCUREMENT TIMETABLE

July 9, 2018	Proposals due to OPLC
July 12, 2018	Notification sent by OPLC to all applicants regarding contract award decisions. The OPLC will send contract documents to the successful applicant for review and signature(s).
August 15, 2018	Contracts returned to OPLC from selected applicant.
September 19, 2018	Effective date of contract pending Governor & Council approval.

### IV APPROPRIATE USE OF FUNDS AND OTHER REQUIREMENTS

Proposals shall explain how the bidder will achieve performance measure targets and provide assurance that the bidder will accomplish the tasks identified in Section I.

Contractor will be held accountable for meeting their programmatic projections. Failure to make progress as projected or to revise projections in conjunction with OPLC staff may jeopardize the contractor's current and/or future funding. Corrective action may include actions such as contract amendment and/or termination of contract. The contracted organization will prepare progress and financial reports, as required by the OPLC.

Contractor shall be required to coordinate activities with a Grant Manager employed by OPLC.

### V. PROPOSAL INSTRUCTIONS

### A. Required Materials

The following required materials shall be submitted to the OPLC in order for a proposal to be complete:

- 1. Original proposal and 13 copies.
- 2. Current resumes of key personnel (current resume shall include the present position within the applying entity; they must be typed, and no more than 3 pages in length). If a key personnel position is not currently filled, include a job description of the
  - vacant position.
- 3. Budget Summary Sheet

### **B.** Proposal Timeline

The original, typewritten proposal and 13 copies shall be received (not simply postmarked) by the OPLC by 4:00 p.m. on July 9th, 2018. No extensions will be granted. FAX copies will not be accepted. The responsibility for submitting a response to this RFP on or before the stated time and date will rest solely and strictly with the applicant. The OPLC will in no way be responsible for delays in delivery caused by the United States Mail Service or other couriers or caused by any other occurrence. Proposals shall contain a Table of Contents, be double-spaced, in no less than 11-point font, and the pages shall be numbered following the Table of Contents. All acronyms shall be spelled out the first time that they are used. The source of all data cited shall be noted. All proposals become the property of the State of New Hampshire and will be a matter of public record. Proposals shall not be bound, but stapled or clipped in the upper left corner. Submit proposals to:

Peter Danles, Executive Director Office of Professional Licensure and Certification 121 S. Fruit Street Concord, NH 03301

### C. Proposal Outline

Proposals shall follow the outline presented in this section and are required to contain all listed components as follows:

- 1. <u>Executive Summary (not to exceed 1 page)</u>
  - a. Briefly summarize the proposal following the proposal outline. Provide an overview of the entity (include any networks or subcontractors to be involved), the proposal, the population(s) to be provided services, and the estimated total number of people to be served by these funds. The Executive Summary is an integral component in the proposal and review process and must be prepared as a stand-alone component.

- 2. <u>Entity Description (not to exceed 2 pages)</u>
  - a. This section shall describe the overall mission, program, and services of the organization and how they relate to the performance measures and priorities described in Section I of the RFP.
- 3. Describe experience and capacity to meet the goals, objectives and priorities of the licensing reform project.
- 4. Program Structure/Plan of Operation (not to exceed 5 pages)
  - i. This section shall describe the applicant's proposed program and detailed activities that address the accomplishments of specific performance measures.
- 5. Budget and Justification
  - a. This section shall include:
    - i. Summary of the budget.
    - ii. Budget Narrative (not to exceed 2 pages), which describes each personnel position and expense item for which funding is requested, linking each to the services to be provided.

### D. Proposal Review and Evaluation Criteria

The OPLC will conduct an objective review of proposals received in response to this RFP process. The evaluation will be based on the demonstrated capabilities of the prospective contractor in relation to the needs of this agency as set forth in this RFP. The merits of each proposal will be evaluated individually according to the objective scoring criteria described below. The OPLC reserves the right to accept or reject any proposal, and to waive any minor irregularities in the proposals. The OPLC reserves the right to make final funding selections based on the availability of funds, geographic distribution of services, prior contract performance (if applicable), and other Agency priorities.

- 1. Table of Contents (not rated)
- 2. Executive Summary (not rated): A clear executive summary will assist reviewers in evaluating the proposal, and as such, proposals not having an executive summary will not be reviewed.
- 3. Contractor Description (40 points)

The extent to which:

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- The overall mission, program, and services of the organization relate to professional licensing reform.
- The organization demonstrates the experience and capacity necessary to meet the tasks and deliverables proposed. This includes:
  - Resources to perform identified tasks to include qualified staff and networking ability.
  - Experience providing licensing reform recommendations
- 4. Program Structure/Plan of Operation (40 points)

The extent to which:

- The proposal is relevant to the overall goals of the project as described in the RFP.
- An action plan is detailed for all tasks outlined in this project and deliverables.
- The proposal presents a timeline that describes when tasks will be completed and deliverables provided.
- 5. Budget (20 points)

The extent to which:

• The budget is appropriate in relation to the proposed activities; is reasonable, clearly justified, and consistent with the intended use of federal funds.