



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, N.H. 03305
603-271-2791

ROBERT L. QUINN
COMMISSIONER OF SAFETY

July 22, 2019

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to retroactively accept and expend the amount of \$552,016.00 in Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for DR-4355 October Severe Storms and Flooding for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approval for the period of July 1, 2019 through September 30, 2019. Funding Source: 100% Federal Funds.

Funds are to be budgeted in SFY 2020 in the following account:

02-23-23-236010-29210000 Dept. of Safety		HSEM	Hazard Mitigation Grant Program-FEMA	
<u>Class</u>	<u>Description</u>	<u>SFY20 Current</u>	<u>Requested</u>	<u>SFY20 Revised</u>
		<u>Adjusted</u>	<u>Action</u>	<u>Adjusted</u>
		<u>Authorized</u>		<u>Authorized</u>
000-404805	Federal Funds	(\$0.00)	(\$552,016.00)	(\$552,016.00)
041-500801	Audit Fund Set Aside	\$0.00	\$555.00	\$555.00
072-500574	Grants Federal	\$0.00	\$551,461.00	\$551,461.00
Total		\$0.00	\$552,016.00	\$552,016.00

Explanation

This request is retroactive due to the timing of fiscal year end close-out and the Fiscal Committee meeting schedules. The total amount of \$552,016.00 from FEMA consists of \$551,461.00 from DR-4355 October Severe Storms and Flooding.

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-recipients) for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. Mitigation projects may include, but are not limited to, property acquisitions, property elevations, localized flood risk reduction, and generators. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% federal funds and do not require a matching percentage.

The funds will budgeted as follows.

Funds in class 041, Audit Funds Set Aside, represents the associated amount for this request.

The funds in class 072, Grants-Federal, provide funding for grants to sub-grantees.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
July 22, 2019
Page 2 of 2

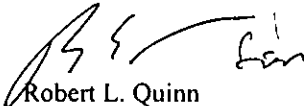
The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded 75% by FEMA; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

Listed below are answers to standard questions required of all Fiscal Committee item requests related to RSA 9:16-a, "Transfers Authorized", RSA 14:30-a, VI, "Expenditure of Funds Over \$100,000 from any Non State Source", or RSA 124:15, "Positions Authorized", and all emergency requests pursuant to "Chapter 145, subparagraph I (a), Laws of 2019, making temporary appropriations for the expenses and encumbrances of the State of New Hampshire":

- 1) *Is the action required of this request a result of the Continuing Resolution for FY 2020?* No.
- 2) *If this request is retroactive, what is the significance and importance of the action being effective from an earlier date?* These funds are not included in the budget due to the nature of disasters (see #5 below). The State currently has five active disasters with ongoing recovery projects. The expenses and reimbursements must be processed timely to meet federal requirements.
- 3) *Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)* The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters.
- 4) *Was funding for this program included in the FY 2018-2019 enacted Budget or requested and denied?* No, it was previously requested and approved through Fiscal Committee action.
- 5) *Is this program, in total or in part, included in the vetoed FY 2020-2021 Operating Budget proposal currently pending for your department, or was it requested and denied?* No, these are pass-through funds to local communities and are not historically budgeted due to the challenges of estimating appropriation amounts.
- 6) *Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)* There are no positions included.
- 7) *What would be the effect should this program be discontinued or not initiated as a result of this request being denied?* The Department of Safety would be unable to process FEMA reimbursements to the State agencies, NH municipalities, and NH non-profit organizations that qualified for disaster assistance from one of the five current declared disasters.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


Robert L. Quinn
Commissioner of Safety

**Homeland Security and Emergency Management
Hazard Mitigation Grant Program**

7/22/2019

Fiscal Situation: Account 02-23-23-236010-29210000

Federal Funds Awarded:

DR4139 July 2013 Rain	\$1,107,723.00
DR4139 July 2013 Rain - Enfield Cost Overrun	\$9,360.00
DR4355 October 2017 Sever Storm and Flooding	\$924,762.00
DR4370 March 2018 Seerve Storm and Flooding	\$394,600.00
DR4371 March 2018 Sever Winter Storm and Snowstorm	<u>\$233,813.00</u>
Total Grant Funds Awarded	<u>\$2,670,258.00</u>

Less expenses in FY 2016	\$5,093.62
Less expenses in FY 2017	\$164,969.80
Less expenses in FY 2018	\$64,709.21
Less expenses in FY 2019	<u>\$53,433.86</u>
Total Prior Fiscal Year Actual Expenses	<u>\$288,206.49</u>

Net Grant Funds Remaining as of July 1, 2019 (SFY2020) **\$2,382,051.51**

Less SFY 2020 Appropriation including prior year encumbrances **\$0.00**
Account 02-23-23-236010-29210000

Excess grant funds available to appropriate **\$2,382,051.51**

This Request **\$552,016.00**



FEMA

August 7, 2018

Perry Plummer	222,913• +
Director	10,900• +
Homeland Security and Emergency Management	002••••••••••
New Hampshire Department of Public Safety	233,813• *
33 Hazen Drive	
Concord, NH 03305	

Re: FEMA-4371-DR – Initial Estimate of the Hazard Mitigation Grant Program Lock-in Ceiling and Preliminary Lock-ins for Public Assistance and Hazard Mitigation Grant Program Management Costs

Dear Director Plummer:

The primary purpose of this letter is to provide the New Hampshire Department of Public Safety, Division of Homeland Security and Emergency Management (“Recipient”) with an initial estimate of the Hazard Mitigation Grant Program (“HMGP”) lock-in ceiling, the preliminary lock-in for Public Assistance management costs, and the preliminary lock-in for HMGP management costs for major disaster declaration FEMA-4371-DR.¹ The following initial estimate and preliminary lock-ins are based on the 30-day estimate of Public Assistance and HMGP costs:

<u>Description</u>	<u>Initial Estimate</u>	
HMGP Lock-in Ceiling	\$222,913	
<u>Description</u>	<u>Preliminary Lock-In</u>	<u>25 Percent</u>
HMGP Management Cost Lock-in	\$10,900	\$2,725
Public Assistance Management Cost Lock-in	\$49,635	\$12,409

The secondary purpose of this letter is to remind the Recipient of the process for requesting initial management cost funding and submitting management cost request documentation as detailed in 44 C.F.R. pt. 207 and FEMA policy.² If the Recipient

¹ 44 C.F.R. § 206.432.

² See Federal Emergency Management Agency, *Hazard Mitigation Assistance Guidance* (2015); FEMA Policy No. 104-009-2, *Public Assistance Program and Policy Guide*, v.3 (2018).

wishes to request initial management cost funding for either or both Public Assistance and HMGP, it must submit a request pursuant to 44 C.F.R. § 207.7 to the Recovery Division Director (for Public Assistance) and the Hazard Mitigation Assistance Branch Chief (for HMGP) via email or regular mail to the following:

Public Assistance Management Costs**HMGP Management Costs**Regular Mail:

Robert Grimley
Recovery Division Director
FEMA Region I
99 High Street, 5th floor
Boston, MA 02110

Email: robert.grimley@fema.dhs.gov

Regular Mail:

Richard Verville
Hazard Mitigation Assistance Branch
Chief
FEMA Region I
99 High Street, 6th Floor
Boston, MA 02110

Email: richard.verville@fema.dhs.gov

As part of its request, the Recipient should provide any necessary updates to its Public Assistance Administrative Plan pursuant to 44 C.F.R. § 206.207(b) and its HMGP Administrative Plan pursuant to 44 C.F.R. § 206.437(d). FEMA will, upon review of the initial funding request, typically award 25% of the estimated management cost lock-in for Public Assistance and/or HMGP pursuant to 44 C.F.R. § 207.7(c).

Irrespective of whether the Recipient requests initial Public Assistance and/or HMGP management cost funding, the Recipient must submit no later than 120 days after the date of the major disaster declaration to the respective named individual above all documentation to support costs and activities for which the projected Public Assistance and HMGP lock-in for management cost funding will be used. This documentation must include the information detailed at 44 C.F.R. § 207.7(d) and, for the HMGP, the program specific information detailed in the *Hazard Mitigation Assistance Guidance*.³

In extraordinary circumstances, the Recipient may request to submit the required documentation for either or both the HMGP and Public Assistance management costs after 120 days and must make any such requests to the appropriate named individuals above within the 120-day period. FEMA will not consider any documentation submitted after the 120-day period (or any approved extended period).

The Recovery Division Director and the Hazard Mitigation Branch Chief will work with the Recipient to approve or reject within 30 days the documentation to support costs and activities for which the projected Public Assistance and HMGP lock-in for management cost funding will be used. If either rejects the documentation for his respective grant program, the Recipient will have 30 days from the notice of the rejection to resubmit it for reconsideration and approval. FEMA will not award any additional management costs until it approves the Recipient's documentation.

³ Federal Emergency Management Agency, *Hazard Mitigation Assistance Guidance* (2015).

We look forward to receiving your initial funding request and support documentation in the coming months and working with you in the various recovery efforts in New Hampshire.

Sincerely,

DOUGLAS F
WOLCOTT JR

Digitally signed by DOUGLAS F
WOLCOTT JR
Date: 2018.08.07 12:03:54 -04'00'

Doug Wolcott
Acting Deputy Regional Administrator
FEMA Region I

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Recovery Division Director
FEMA Region I
99 High Street, 5th floor
Boston, MA 02110

Email: robert.grimley@fema.dhs.gov

Regular Mail:

Richard Verville
Hazard Mitigation Assistance Branch
Chief
FEMA Region I
99 High Street, 6th Floor
Boston, MA 02110

Email: richard.verville@fema.dhs.gov

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³ Federal Emergency Management Agency, *Hazard Mitigation Assistance Guidance* (2015).

We look forward to receiving your initial funding request and support documentation in the coming months and working with you in the various recovery efforts in New Hampshire.

Sincerely,

DOUGLAS F
WOLCOTT JR

Digitally signed by DOUGLAS F
WOLCOTT JR
Date: 2018.08.07 12:01:52 -04'00'

Doug Wolcott
Acting Deputy Regional Administrator
FEMA Region I



FEMA

August 28, 2014

Perry Plummer, Director
Homeland Security and Emergency Management
33 Hazen Dr.
Concord, NH 03305

Re: Section 324 Management Costs Final (12-Month) Lock-ins and
HMGP Final Lock-in Ceiling, FEMA-4139-DR-NH

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$1,056,081 in Federal funding is available for the HMGP under FEMA-4139-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 52,804
7% PROJECTS	\$ 73,926
REGULAR PROJECTS	\$ 929,351
12-MONTH LOCK-IN	\$ 1,056,081
STATE MANAGEMENT COST PROJECTS	\$ 51,642
	<u>\$ 1,107,723</u>

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4139-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Assistance Branch by August 2, 2014. Please forward a SF-424 to cover the funding request. If you have any questions, please contact Richard Verville, FEMA Region I Hazard Mitigation Assistance Branch Chief, at (617) 956-7524.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110-2132



FEMA

December 13, 2017

Perry Plummer, Director
Homeland Security and Emergency Management
33 Hazen Dr.
Concord, NH 03305

Re: FEMA-4139-DR-NH
Hazard Mitigation Grant Program (HMGP) Project # 5-R
Lovejoy Road Culvert, Enfield, NH

Dear Director Plummer:

Enclosed please find the obligation reports, for a cost overrun, for the following HMGP project:

4139-5-R	Town of Enfield, New Hampshire Lovejoy Road Culvert	\$ 9,360
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Total:		\$ 9,360 *
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The grant period of performance shall remain unchanged. If you have any questions, please do not hesitate to call Ana Kerr with the FEMA Region I Mitigation Division at (617) 832-4714.

Sincerely,

A handwritten signature in cursive script that reads "Mark F. Gallagher for".

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Whitney Welch, State Hazard Mitigation Officer, NH HSEM

Enclosures



FEMA

July 19, 2018

Perry Plummer
Director
Homeland Security and Emergency Management
New Hampshire Department of Public Safety
33 Hazen Drive
Concord, NH 03305

881,649• +
43,113• +
002.....
924,762• *

Re: *FEMA-4355-DR-NH – Six-Month Estimate for the Hazard Mitigation Grant Program Lock-in Ceiling and Six-Month Lock-ins for Public Assistance and Hazard Mitigation Grant Program Management Costs*

Dear Director Plummer:

The purpose of this letter is to provide the New Hampshire Division of Homeland Security and Emergency Management, Department of Public Safety (“Recipient”) with the 6-month estimate of the Hazard Mitigation Grant Program (“HMGP”) lock-in ceiling, the 6-month lock-in for Public Assistance (“PA”) management costs, and the 6-month lock-in for HMGP management costs for major disaster declaration FEMA-4355-DR-NH. FEMA provides this information primarily for planning purposes pursuant to 44 C.F.R. § 207.5(b) and the *Hazard Mitigation Assistance Guidance*.

<u>Description</u>	<u>6-Month Estimate</u>
HMGP Lock-in Ceiling	\$881,649

<u>Description</u>	<u>6-Month Lock-in</u>
Public Assistance Management Cost	\$196,314
HMGP Management Cost	\$43,113

The Recipient previously submitted a request for initial PA management cost funding on May 31, 2018, and submitted a request for initial HMGP management cost funding on March 13, 2018. FEMA approved the Public Assistance management cost request on June 28, 2018 and the HMGP management cost request on July 12, 2018.

If you would like to submit an interim funding request for additional management cost funding pursuant to 44 C.F.R. § 207.7(e), please submit your request via email or regular mail to the following:

Public Assistance Management Costs

HMGP Management Costs

Regular Mail:

Regular Mail:

Robert Grimley
Recovery Division Director
FEMA Region I
99 High Street, 5th Floor
Boston, MA 02110
Email: robert.grimley@fema.dhs.gov

Richard Verville
Hazard Mitigation Branch Chief
FEMA Region I
99 High Street, 6th Floor
Boston, MA 02110
Email: richard.verville@fema.dhs.gov

If you have any questions or need additional information, you may contact either Messrs. Grimley or Verville at the contact information above.

Sincerely,

DOUGLAS F WOLCOTT, Digitally signed by DOUGLAS F
JR WOLCOTT JR
Date: 2018.07.19 13:00:06 -04'00'

Douglas F. Wolcott Jr.
Acting Deputy Regional Administrator
FEMA Region I



FEMA

August 7, 2018

Perry Plummer
 Director
 Homeland Security and Emergency Management
 New Hampshire Department of Public Safety
 33 Hazen Drive
 Concord, NH 03305

376,204 +
 18,396 +
 002.....
 394,600 *~~X~~

Re: FEMA-4370-DR – Initial Estimate of the Hazard Mitigation Grant Program Lock-in Ceiling and Preliminary Lock-ins for Public Assistance and Hazard Mitigation Grant Program Management Costs

Dear Director Plummer:

The primary purpose of this letter is to provide the New Hampshire Department of Public Safety, Division of Homeland Security and Emergency Management (“Recipient”) with an initial estimate of the Hazard Mitigation Grant Program (“HMGP”) lock-in ceiling, the preliminary lock-in for Public Assistance management costs, and the preliminary lock-in for HMGP management costs for major disaster declaration FEMA-4370-DR.¹ The following initial estimate and preliminary lock-ins are based on the 30-day estimate of Public Assistance and HMGP costs:

<u>Description</u>	<u>Initial Estimate</u>	
HMGP Lock-in Ceiling	\$376,204	
<u>Description</u>	<u>Preliminary Lock-In</u>	<u>25 Percent</u>
HMGP Management Cost Lock-in	\$18,396	\$4,599
Public Assistance Management Cost Lock-in	\$83,768	\$20,942

The secondary purpose of this letter is to remind the Recipient of the process for requesting initial management cost funding and submitting management cost request documentation as detailed in 44 C.F.R. pt. 207 and FEMA policy.² If the Recipient

¹ 44 C.F.R. § 206.432.

² See Federal Emergency Management Agency, *Hazard Mitigation Assistance Guidance* (2015); FEMA Policy No. 104-009-2, *Public Assistance Program and Policy Guide*, v.3 (2018).