

NEW HAMPSHIRE BOARD OF AUCTIONEERS
OFFICE OF THE SECRETARY OF STATE
107 NORTH MAIN STREET
CONCORD NH 03301
(603) 271-3242

Application for a License as an Auctioneer under RSA 311-B

PLEASE PRINT OR TYPE CLEARLY

Applicant's Name _____ Date of Birth _____

ALL ADDRESSES MUST BE FILLED OUT COMPLETELY OR YOUR APPLICATION WILL BE RETURNED

Business Physical Address _____

Business Mailing Address _____

Business Telephone _____ Business Fax _____

Business E-Mail _____ Business Website _____

Resident Physical Address _____

Resident Mailing Address _____

Resident Telephone _____ E-mail Address _____

Place of Employment and Occupations for the Last five (5) years: (Use additional sheet if necessary)

Employer Name, Address & Telephone _____

Job Title / Job Duties _____ Employment Dates _____

Employer Name, Address & Telephone _____

Job Title / Job Duties _____ Employment Dates _____

Employer Name, Address & Telephone _____

Job Title / Job Duties _____ Employment Dates _____

Employer Name, Address & Telephone _____

Job Title / Job Duties _____ Employment Dates _____

Employer Name, Address & Telephone _____

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Employer Name, Address & Telephone _____

Job Title / Job Duties _____ Employment Dates _____

Employer Name, Address & Telephone _____

Job Title / Job Duties _____ Employment Dates _____

PLEASE ANSWER THE FOLLOWING QUESTIONS WITH **YES, NO OR NONE**. (Please do not use N/A)

In which states, if any, and for how long have you been licensed as an auctioneer? (Please attach copies of those licenses). _____

Have you ever been refused or denied an auctioneer's license in any state? _____

If so, when? _____

Reason for the refusal or denial _____

Provide the name of each state licensing authority that refused or denied issuing you a license. _____

In which states, if any, has disciplinary action been taken against you as an auctioneer? _____

Provide the name of each state licensing authority that has issued the disciplinary action. _____

Docket number of the order _____ **Dates of the disciplinary proceedings** _____

Penalties imposed, including, but not limited to, fines, and dates of sanctions _____

Have you ever been convicted of any felony or misdemeanor involving theft, fraud, deceit, misrepresentation or other breaches of fiduciary duties? _____

Type of offense _____ **Date of conviction** _____

Docket or Case Number for each conviction or plea of guilty _____

Name & address of the court issuing the decision _____

Resulting sentence, penalty and/or fine imposed _____

Have all terms of the sentence, penalty or fine been fulfilled? _____

If not, explain why _____

Are there, or have there been any suits in any court involving theft, fraud, deceit, misrepresentation or other breaches of fiduciary duties, to which you were a party? _____

Date of the suit/proceeding _____ **Docket or case number** _____

Type of action(s) _____

Name & address of the court(s) for the proceeding(s) _____

Attach a copies of the documents from these proceedings.

Signature Certification

I Certify that

- I agree to comply with the requirements set forth in RSA 311-B, Auc 100-500, and other auction related laws and rules, and I understand that violations of these laws and rules shall be grounds for disciplinary proceedings against me;
- The information provided by me on or in connection with this application form is, to the best of my knowledge and belief, true, accurate and complete and the documentation provided in support of the application is a true and complete version of the documentation submitted;
- I acknowledge that the information provided on the application form and the documentation provided to support the application is public information except to the extent exempted from public disclosure pursuant to RSA 91-A or court order.
- I also specifically acknowledge that any and all information submitted to the Board may be divulged by the Board to any potential appointing court as well as to such other entities or persons as provided by RSA 311-B or the AUC rules, including the New Hampshire judicial branch family division.
- I further acknowledge that pursuant to RSA 641:3, knowingly making a false representation on the application form is punishable as a misdemeanor.

Signature of Applicant _____ Date _____

Print Name _____

Pursuant to RSA 641:3, false statements made on this form are punishable by law.

State _____ County of _____

On this _____ day of _____ AD _____ personally appeared the above named applicant and made oath that the foregoing statements made by the said applicant are true.

Justice of the Peace / Notary Public

(Notarial Seal)

My Commission Expires

STATE OF NEW HAMPSHIRE
SECRETARY OF STATE
Concord, New Hampshire

THE FOLLOWING POWER-OF-ATTORNEY FORM MUST BE COMPLETED BY ALL NON-RESIDENTS

KNOW ALL MEN BY THESE PRESENTS: that the subscriber, desiring to conduct the business of auctioneering in the State of New Hampshire in conformity with the laws thereof, hereby irrevocably constitutes and appoints the Secretary of State of the State of New Hampshire, for the time being, to be the subscriber's true and lawful attorney in and for said state, in compliance with the provisions of chapter 311-B, Revised Statutes Annotated as inserted by the Laws of 1969, chapter 469, and any amendments thereto, upon whom all lawful processes in any action or proceeding against the subscriber may be served and said subscriber hereby stipulates and agrees that any lawful process which is served on said attorney shall be of the same legal force and validity as if served personally within this State.

Signature of non-resident applicant _____ Date _____

State _____ County of _____

On this _____ day of _____ AD _____ personally appeared the above named applicant and made oath that the foregoing statements made by the said applicant are true.

Justice of the Peace / Notary Public

(Notarial Seal)

My Commission Expires

Please submit with this application:

- Fee of \$200 – made payable to the State of New Hampshire.
- Recommendations from 2 business references, such as but not limited to banker, accountant, attorney, certifying the applicant is trustworthy and competent to auction real, personal and mixed property in such a manner as to safeguard the interests of the public. For any applicant relying on **reciprocity** under RSA 311-B:6, the recommendations shall come from 2 other licensed auctioneers in the state(s) where the applicant is currently licensed, or New Hampshire.
- A copy of a current government issued photo I.D.
- A certified copy of the applicant’s criminal history record issued within 90 days prior to the date of the application. Non-residents shall obtain a criminal history from the state in which they reside.
- For non-resident applicants using reciprocity only **must submit all (a)-(d)**: (a). A certified copy of a valid current auctioneer's license, held by the applicant from any other state whose laws are sufficient for reciprocity under RSA 311-B:6, issued by the appropriate state agency; (b). A letter of good standing from said agency; (c). An affidavit proving licensure for at least 6 months; and (d). Conduct of at least 10 auctions within the 5 year period of time immediately prior to the application. For **(c)&(d)** use the *Licensure and Conduct Affidavit* provided on our website. This form must also be submitted with the application.
- If applicable, proof of completion at a recognized Auctioneering School (for in-state applicants not working as an apprentice or non-residents who are not licensed in a reciprocal state).
- If applicable, test results from the New Hampshire Auctioneers Exam. The examination shall be taken only after the completion of one of the following requirements: (1) An apprenticeship of at least 6 months with a minimum of 10 auctions, as described in Auc 302.01; or (2) Successful completion of an auctioneering school as described in Auc 302.02.
- If applicable, an apprenticeship affidavit - on form as provided by the board. For an affidavit of apprenticeship to be accepted by the board under Auc 301.01(h)(1), an apprenticeship shall be served under one or more auctioneers for a period of not less than 6 months, with participation in all phases of auctioneering, as described in Auc 301.01(j) over the course of not less than 10 auctions under said auctioneers.

DO NOT WRITE BELOW THIS LINE - OFFICIAL USE ONLY

Approved by the State Board of Auctioneers

Check/Cash/Money Order # _____

Amount _____

New Licensee - Newly Assigned License # _____

Reapplying / Lapsed - Previous License # _____

Date: