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OFFICE OF PROFESSIONAL LICENSURE AND CERTIFICATION

STATE OF NEW HAMPSHIRE

121 South Fruit Street Concord, N.H. 03301-2412

Telephone 603-271-3800 · Fax 603-271-0597

HALLIE A. PENTHENY Director of Finance

David. L. Grosso **Executive Director**



July 18, 2019

His Excellency, Governor Christopher T. Sununu and the Honorable Council State House, Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Office of Professional Licensure and Certification (OPLC) to retroactively amend Governor and Council item#37B (approved on October 3, 2018) by extending the end date from June 30, 2019 to September 30, 2019, for the purpose of continuing work enabled by the U.S. Department of Labor grant. No increase in funding is requested at this time. 100% Federal Funds.

EXPLANATION

The OPLC received a three-year grant award of \$244,260 from the U.S. Department of Labor, on July 1, 2018, to support OPLC with occupational licensing review and reform analysis on five (5) categories of licensure that encompasses 14 occupations and 33 licenses. Item #37B, approved at the October 3, 2018 Governor and Council meeting, accepted \$99,901 in grant funds through June 30, 2019, and a portion of those funds remain. Approval of this end-date extension request will allow the approx. \$31,000 remaining funds to be utilized.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 9:16-a, "Transfers authorized", RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA 124:15,"Positions Authorized", or both, and all emergency requests pursuant to "Chapter 145, subparagraph I, (a), Laws of 2019, making temporary appropriations for the expenses and encumbrances of the State of New Hampshire":

- 1. Is the action required of this request a result of the Continuing Resolution for FY 2020?
 - Yes, this request is a result of the Continuing Resolution.
- 2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date?

Positions and program funding need to be effective and in place as of the start of FY 2020.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end-dates for each action as part of your answer to this question.)

Yes. This item was originally approved by Governor and Council on October 3, 2018 (item #37B). A copy of this is included.

4. Was funding for this program included in the FY 2018-2019 enacted Budget, or requested and denied?

Funding for this program was not included in the FY 2018-2019 enacted Budget. The grant was awarded to the State of New Hampshire on July 1, 2018.

5. Is this program in total or in part, included in the vetoed FY 2020-2021 Operating Budget proposal currently pending for your department, or was it requested and denied?

Years two and three (FY 2020 and FY 2021) of this grant program were included in the vetoed FY 2020-2021 Operating Budget proposal.

6. Does this program include either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

This program includes one part time, temporary, employee position of a Program Specialist II, Labor Grade 21, It is currently unfilled, with interviews in process.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

The bulk of the contract is for an awarded contract (G&C October 17, 2018, Item #15A) to the Council on Licensure, Enforcement and Regulation (CLEAR). If the program is discontinued we would not be able to honor our contract obligations to CLEAR nor partake in the federal Department of Labor's award to the State of New Hampshire.

Respectfully Submitted,

David L. Grosso
Executive Director

Office of Professional Licensure and Certification

OFFICE OF PROFESSIONAL LICENSURE AND CERTIFICATION - DIVISION OF ADMINISTRATION GRANTS FISCAL SITUATION FISCAL YEAR 2019 01-021-021-211010-24250000-OCC LICENSE REVIEW AND REFORM

| Total Authorization Less Expenditures thru 6/30/19 | \$ \$ | 99,901 68,845 |
|--|----------|------------------|
| Remaining Authorization to Budget | \$ | 31,056 |
| Less Current FY20 Budget Authorization Total Available for Budgeting | \$ | 31,056 |
| Available to Budget at Later Date REQUESTED ACTION | \$ \$ | 31,056 |

| Grant Award Number | Award Amount | | Expenses to 06/30/2019 | | Balance | |
|---|--------------|--------|------------------------|--------|---------|--------|
| US Dept of Labor Grant (FY19 Award Amount)* | \$ | 99,901 | \$ | 68,845 | \$ | 31,056 |
| Total | \$ | 99,901 | \$ | 68,845 | \$ | 31,056 |

^{*}This is a total three year grant of \$246,600. All that was accepted at the Governor & Council on 10/03/18 was \$99,901 for Year 1.



OFFICE OF PROFESSIONAL LICENSURE AND CERTIFICATION

STATE OF NEW HAMPSHIRE

121 South Fruit Street Concord, New Hampshire 03301 Telephone 603-271-6766 · Fax 603-271-0597

Joseph G. Shoemaker Technical Division Director

Peter D. Danles **Executive Director**

Sheri Walsh Health Division Director

September 12, 2018

His Excellency, Governor Christopher T. Sununu and the Honorable Executive Council State House Concord, New Hampshire 03301

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REQUESTED ACTION

Authorize the Office of Professional Licensure and Certification (OPLC), to accept and expend \$99,901 of federal funds from the US Department of Labor to conduct an occupational licensing review and reform analysis on five (5) categories of licensure that encompasses 14 occupations and 33 licenses. The total grant award for \$244,260 is a three year Department of Labor grant award to support OPLC with the occupational licensing review and reform analysis, this request is for the first year, the second and third years are budgeted in the OPLC, SFY's 2020/2021 biennial budget. Effective upon Governor and Council approval through June 30, 2019. Funding Source: 100% Federal **Funds**

Funds are to be budgeted in SFY2019 in the following account:

02-21-21-211010-24250000 OPLC-Occupational License Review & Reform

| Class | Description | Current Appropriation | Requested Action | SFY 19 Appropriation |
|-------------------|--------------------|--------------------------|---------------------|----------------------|
| 000-400338 | Federal Funds | 0 | 99,901 | 99,901 |
| Total Revenue: | | : | 99,901 | 99,901 |
| 050-500109 | Part-Time Salaries | 0 | 21,523_ | 21,523 |
| 060-500602 | Benefits | 0 | 1,646 | 1,646 |
| 072-502645 | Grants Federal | 0 | 71,842 | 71,842 |
| 040-501587 | Indirect Cost | 0 | 4,809 | 4,809 |
| 041-500801 | Audit Set Aside | 0 | 81 | 81 |
| | Totals: | | 99,901 | 99,901 |

His Excellency, Governor Christopher T. Sununu and the Honorable Executive Council September 12, 2018

EXPLANATION

The federal grant awarded by the US Department of Labor, Employment and Training Administration will allow OPLC to do occupational licensing review and reform with a focus on five categories of licensure that encompasses 14 occupations and 33 licenses. The five categories of licensure will be: Alcohol and Other Drug Use Professionals, Allied Health Professionals, Barbering, Cosmetology, and Esthetics, Licensed Nursing Assistant, and Pharmacy Technician.

Occupational licensing review and reform is crucially important for the economic vitality of the State of New Hampshire, both in the short- and long-term. If NH does not adequately address our occupational licensure issues within these industries, we believe the state will suffer in three ways. 1. NH will not be able to adequately address or alleviate the opioid issue. No matter how much is available for funds, the lack of staff will leave those in need of treatment less able to obtain it. 2. We will not be filling roles to address the aging population in our state. With NH being the second oldest state in the US, having sufficient medical personnel is critical. The jobs are available and more are becoming available that will both assist and employ this population. We have an opportunity to provide better services and extend the working careers for those that wish to do so, but it is more challenging without occupational licensing reform. 3. We squander the opportunity to evaluate and market underemployed populations, which does a disservice to both employers and potential employees. Alleviating licensure barriers, which could fast-track deserving and qualified populations into careers, helps the state, employers, and potential employees alike.

In the event that Federal Funds become no longer available General and/or Agency Income (OPLC FUNDS) will not be requested to support this program.

Respectfully submitted,

Peter D. Danles

Executive Director, OPLC

U.S. Department of Labor

Employment & Training Administration Office of Grants Management 200 Constitution Avenue, N.W. Room N-4716 Washington, D.C. 20210



Dear Grant Authorized Representatives and Program Contacts:

Congratulations on being awarded the State Occupational Licensing Review and Reform grant! The Employment and Training Administration (ETA) looks forward to working with you throughout the life of your grant. The official grant start date is July 1, 2018, and we wanted to share some important information with you about what to expect in the coming weeks.

ETA staff will be completing a thorough review of your documents to ensure that you are in compliance with the requirements outlined in the Federal Funding Opportunity Announcement including, but not limited to:

- · Confirming the DUNS Information,
- Ensuring that you are serving the targeted population
- Ensuring activities are allowable, as defined in the FOA;
- · Verifying all of the budget documents;
- Confirming the licenses chosen; and,
- Clarifying any other programmatic questions or issues deemed appropriate by the Grant Officer.

While you are awaiting your grant award package, you should also know that you should not make any expenditure until you receive your executed grant award. One important thing to note is related to the purchase of equipment. Grantees must receive prior approval from the DOL/ETA Grant Officer for the purchase and/or lease of any equipment with a per-unit acquisition cost of \$5,000 or more, and a useful life of more than one year (this includes the purchases of ADP equipment). The grant award does not provide approval for equipment even if it is specified in a grantee's Statement of Work (SOW) unless specifically approved in the grant award execution letter by the Grant Officer. To obtain approval, the grantee must submit a detailed equipment purchase list with descriptions to the assigned Federal Project Officer (FPO) for review. The equipment purchase list must include the item name as well as a description of the item, item cost (actual or estimated), estimated useful life of the equipment, and the purpose of the acquisition. We strongly encourage grantees to submit equipment purchase requests as early as possible in the grant's period of performance with as many planned pieces of equipment as possible.

Once our review of documents is completed, the grant award, also known as the Notice of Award (NOA), will be e-mailed to you. When you receive it, please review it carefully. This document includes vital information such as the regulations and cost principles, Statement of Work, and operating budget under which this grant will be required to comply. In addition, your project narrative is incorporated as the Statement of Work (SOW) in your grant award. If there is a discrepancy between the project narrative or other elements of the awarded application and any DOL guidance or cost principle, the DOL guidance or cost principle will prevail. ETA staff will also include any conditions for grant implementation based on our compliance review. You as the grant recipient have the responsibility to confirm that all costs are allowable before expenditure.

If an Indirect Cost Rate (ICR) or Cost Allocation Plan (CAP) is required, your organization must have or obtain a current approved Agreement/Plan. This Agreement/Plan must remain current during the life of your grant. Grantees must comply with this requirement as outlined in the grant award or no indirect costs will be allowed for the grant. If this provision applies to your organization, please check your package immediately and follow the instructions, outlined in your grant award, titled "Indirect Cost and Cost Allocation Plan" to begin the process of understanding your responsibility in this regard.

Additionally, the grant award contains essential information on how to access funds and create an ETA Payment Management System Account, and contact information for the Federal Project Officer (FPO) assigned to your grant. This assigned person will be your primary contact throughout the entire period of performance. Your FPO will assist you with any questions or issues that you may encounter. In addition to monitoring and oversight, your FPO will initiate modifications to your grant as needed.

If you have any questions about your grant award, please contact Samantha Stowers via email at stowers samantha.a@dol.gov. Again, congratulations on your State Occupational Licensing Review and Reform grant award.

Signed,

Melissa Abdullah Grant Officer

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U.S. DEPARTMENT OF LABOR EMPLOYMENT AND TRAINING ADMINISTRATION (DOL/ETA)

NOTICE OF AWARD (NOA)

Under the authority of the Workforce Innovation and Opportunity Act, P.L. 113-28, this grant or agreement is entered into between the above named Grantor Agency and the following named Awardee, for a project entitled - STATE OCCUPATIONAL LICENSING REVIEW AND REFORM.

Name & Address of Awardee: State NH Office of Professional Licensure & Certifications 121 South Fruit Street Concord, NEW HAMPSHIRE 03301-2412 Federal Award Id. No. (FAIN): MI-32231-18-60-A-33 CFDA #: 17.207- Employment Service / Wagner-Peyser Funded Activities Amount:\$244,260.00

EIN: 026000618 DUNS #: 081212684

Accounting Code: 1630-2018-0501791718BD201801790012175OS006A0000AOFAM0AOFAM0-A90310-410010-ETA-DEFAULT TASK-

Payment Management System DOC#: MI322319Z1

The Period of Performance shall be from July 01, 2018 thru June 30, 2021.

Total Government's Financial Obligation is \$244,260.00 (unless other wise amended).

Payments will be made under the Payments Management System, and can be automatically drawn down by the awardee on an as needed basis covering a forty-eight (48) hour period.

In performing its responsibilities under this grant agreement, the awardee hereby certifies and assures that it will fully comply with all applicable Statute(s), and the following regulations and cost principles, including any subsequent amendments:

<u>Uniform Administrative Requirements, Cost Principles, and Audit Requirements:</u>
2 CFR Part 200; Uniform Administrative Requirements, Cost Principles, and Audit Requirements; Final Rule
2 CFR Part 2900; DOL Exceptions to 2 CFR Part 200;

Other Requirements (Included within this NOA):

Condition(s) of Award (if applicable) Federal Award Terms, including attachments

Contact Information

The Federal Project Officer (FPO) assigned to this grant is Trevor Capon. Trevor Capon will serve as your first line point of contact and can be contacted via e-mail - capon.trevor@dol.gov. If your FPO is not available, please call your Regional Office at 617-788-0170 for assistance.

The awardee's signature below certifies full compliance with all terms and conditions as well as all applicable Statues(s), grant regulations, guidance, and certifications.

Signature of Approving Official - AWARDEE

Signature of Approving Official - DOL / ETA

See SF-424 for Signature
No Additional Signature Required

MELISSA ABDULLAH, June 27, 2018 GRANT Officer