



Lori A. Shibinette  
Commissioner

Tricia M. Tilley  
Director

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STATE OF NEW HAMPSHIRE **FIS 21 365**  
DEPARTMENT OF HEALTH AND HUMAN SERVICES

**DIVISION OF PUBLIC HEALTH SERVICES**  
29 HAZEN DRIVE, CONCORD, NH 03301  
603-271-4501 1-800-852-3345 Ext. 4501  
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www.dhhs.nh.gov

November 22, 2021

The Honorable Karen Umberger, Chairman  
Fiscal Committee of the General Court and

His Excellency, Governor Christopher T. Sununu  
and the Honorable Council  
State House  
Concord, NH 03301

**REQUESTED ACTION**

Pursuant to the provisions of RSA 14:30-a, VI, authorize the Department of Health and Human Services, Division of Public Health Services, to accept and expend federal funds in the amount of \$3,323,142 from the Centers for Disease Control and Prevention (CDC) to fund Data Modernization as mandated by the Coronavirus Aid, Relief and Economic Security Act of 2020 effective upon approval by the Fiscal Committee and Governor and Council through June 30, 2023 and further authorize the funds to be allocated as follows. 100% Federal Funds.

**05-095-090-903010-XXXX0000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH SERVICES, BUREAU OF LABORATORY SERVICES, DATA MODERNIZATION**

Class/Obj	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-400146	Federal Funds	\$0	\$3,323,142	\$3,323,142
	General Funds	\$0	\$0	\$0
	<b>Total Revenue</b>	<b>\$0</b>	<b>\$3,323,142</b>	<b>\$3,323,142</b>
020-500200	Current Expense	\$0	\$14,800	\$14,800
026-500251	Organizational Dues	\$0	\$5,200	\$5,200
038-500175	Technology - Software	\$0	\$12,500	\$12,500
041-500801	Audit Fund Set Aside	\$0	\$3,323	\$3,323
080-500710	Out of State Travel Reimb	\$0	\$14,490	\$14,490
085-588532	Interagency Xfer Out of Fed FN	\$0	\$1,350,000	\$1,350,000
102-500731	Contracts for Program Services	\$0	\$1,922,829	\$1,922,829
	<b>Total Expenses</b>	<b>\$0</b>	<b>\$3,323,142</b>	<b>\$3,323,142</b>

### EXPLANATION

This request is being made to accept additional grant funds available for Data Modernization (CDC). The intention of this funding is to accelerate the Department's implementation of data modernization efforts, including core data modernization infrastructure, implementation of electronic case reporting (CSR) and modernization of the National Vital Statistics System (NVSS) to move towards faster, more automated sharing of vital statistics between the state and national levels. Monitoring the indicators associated with these activities is intended to assist State, local and territorial governments in efforts to achieve data modernization goals, assuring faster and more complete data sharing across the public health ecosystem.

The funds are to be budgeted as follows:

Class 020 – The funds will be used to pay for current expenses such as shipping and program consumable supplies.

Class 026 – The funds will be used to pay two years of an organizational membership to Health Level Seven International (HL7). This organization is a not-for-profit, ANSI-accredited standards developing organization dedicated to providing a comprehensive framework and related standards for the exchange, integration, sharing, and retrieval of electronic health information that supports clinical practice and the management, delivery and evaluation of health services. The membership will allow us to access trainings and get the HL7 standard documents and guidance to revise electronic reporting implementation guide. CDC is promoting the HL7 FHIR–Fast Healthcare Interoperability Resources standard. With this membership, we will get the most updated information.

Class 038 – The funds will be used to pay for RingCentral Licenses. The NH COVID Response team uses RingCentral for receiving and sending case report faxes electronically. The budget request is to renew the existing RingCentral licenses (25 licenses) used by the COVID case investigation team and infectious disease surveillance team for case reporting on COVID-19 and other reportable conditions.

Class 041 - The funds will be used to pay for audit fund set aside per State requirement.

Class 080 – The funds will be used to pay for the Public Health Informatics Conference. Travel funds are requested for the Business Analyst and DPHS IT Lead (TBD) working on data exchange and IT systems to attend the annual Public Health Informatics conference in 2022. The funds will also be used to pay for the CSTE 2022 Conference. Travel funds are requested for the DPHS Informatics Lead and the DPHS IT Lead to attend the annual CSTE conference on June 17 through June 22, 2022 in Louisville, KY. The CSTE Conference provides opportunities for public health specialists to share new methods, key findings, and best practices for Informatics and Surveillance. Both attendees support Informatics activities in NH DHHS and are part of the Data Modernization Team in NH.

Class 085- The funds will be used to pay for a memorandum of understanding (MOU) with NH Division of Vital Records Administration (NHDVRA), the agency that collects, validates, maintains and administers the NH Vital Statistics System.

Class 102 - The funds will be used to contract for several data specific positions to include: Data Modernization Initiative (DMI) IT Specialist, Electronic Case Reporting (eCR) Coordinator, Health Level Seven International/Fast Healthcare Interoperability Resources (HL7/FHIR) Expert, Electronic Disease Surveillance System Information Technology (EDSSIT) Specialist, and Rhapsody Developer as well as a Data Modernization contract.

- The DMI Specialist will lead health informatics data modernization efforts and supplement existing staff working on cross-cutting initiatives. This position will provide a gap analysis, needs assessment, and workforce development plan. Focus will include, but not limited to, NH's Health Data Integration Engine Assessment Initiative and enhancement, NHEdSS System evaluation for replacement, LIMS move to cloud-based from in-house, as well as other health data systems.

- The eCR Coordinator will participate with healthcare facilities, providers and the stakeholders. Activities include assessment of capacity to improve data sharing with a focus on eCR processes within the Bureau of Infectious Disease Control, workplace staff and trainings needed to improve NH's capability to use shared services and other in data modernization activities. This position will validate and implement data quality related to completeness and timeliness of health data received. This position will be a key member for communicating with healthcare facilities and providers to ensure awareness and compliance with case reporting for all conditions, including COVID-19.
- The HL7/FHIR expert will provide technical assistance to the healthcare facilities, providers and the State. This position will assist health informatics on converting and validating the electronic case reporting message submitted to the state in HL7 and FHIR standards. This position will work with IT staff from Association of Public Health Laboratories (APHL) on the messages coming from the healthcare facilities.
- The EDSS IT specialist will participate in activities with healthcare facilities and IT staff. This position will validate and implement data quality related to completeness and timeliness of health data received. This position will be a key member with validating the NH EDSS data and messages to ensure case reporting quality for all conditions, including COVID-19.
- The Rhapsody Developer collaborates with IT resources, Infectious Disease Programs, Informatics and on delivering eCR solutions on time. This position will contribute to completion of all eCR-related work assigned, adhering to the current SDLC process, as well as design and review detailed functional specifications, system specifications, and program specific actions to be taken by the software to meet requirements and business needs. This position will work with the HL7/FHIR Expert and APHL Informatics Messaging Services (AIMS) on electronic case reporting integration. The person is also expected to prepare estimates for maintenance and enhancement of existing applications and development of new applications.
- The Data Modernization Training contract will be to support workforce enhancement in Informatics and health system workforce, establishing a contract with a training provider focusing on data modernization courses or lessons. The vendor is expected to provide a quarterly training for 4 sessions.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: The funds are provided for a specified purpose by the CDC as defined in the Notice of Grant Award and cannot be used to offset general funds.

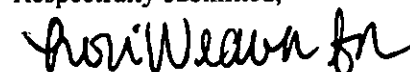
Area Served: Statewide

Source of funds: 100% Federal from the Centers for Disease Control and Prevention (CDC) to fund Data Modernization as mandated by the Coronavirus Aid, Relief and Economic Security Act of 2020

Attached is the Notice of Grant Award, dated August 5, 2021. These funds were not added to the FY22-FY23 operating budget because these are new funds recently granted to the State to assist in the public health Coronavirus response and were not anticipated at the time the budget was developed.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Lori A. Shibinette  
Commissioner



Recipient Information	Federal Award Information
<p><b>1. Recipient Name</b> NH Department of HHS 129 Pleasant St Concord, NH 03301-3852 603-271-4586</p> <p><b>2. Congressional District of Recipient</b> 02</p> <p><b>3. Payment System Identifier (ID)</b> 1026000618B3</p> <p><b>4. Employer Identification Number (EIN)</b> 026000618</p> <p><b>5. Data Universal Numbering System (DUNS)</b> 011040545</p> <p><b>6. Recipient's Unique Entity Identifier</b></p> <p><b>7. Project Director or Principal Investigator</b>  CHRISTINE LOUISE BEAN christine.bean@dhhs.nh.gov 6032714657</p> <p><b>8. Authorized Official</b>  Ms. Richelle Swanson AO / Financial Administrator Richelle.Swanson@dhhs.nh.gov 603-271-4613</p>	<p><b>11. Award Number</b> 6 NU50CK000522-03-01</p> <p><b>12. Unique Federal Award Identification Number (FAIN)</b> NU50CK000522</p> <p><b>13. Statutory Authority</b> 301(A)AND317(K)(2)PHS42USC241(A)247B(K)2</p> <p><b>14. Federal Award Project Title</b> Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases (ELC)</p> <p><b>15. Assistance Listing Number</b> 93.323</p> <p><b>16. Assistance Listing Program Title</b> Epidemiology and Laboratory Capacity for Infectious Diseases (ELC)</p> <p><b>17. Award Action Type</b> Supplement</p> <p><b>18. Is the Award R&amp;D?</b> No</p>
<p><b>Federal Agency Information</b> CDC Office of Financial Resources</p> <p><b>9. Awarding Agency Contact Information</b> Cathleen Franklin Grants Management Specialist qtm0@cdc.gov 678-475-4552</p> <p><b>10. Program Official Contact Information</b> Mr. Fred Maxineau PHA hyx2@cdc.gov 404.639.0869</p>	<p style="text-align: center;"><b>Summary Federal Award Financial Information</b></p> <p><b>19. Budget Period Start Date</b> 08/01/2021 - <b>End Date</b> 07/31/2022</p> <p><b>20. Total Amount of Federal Funds Obligated by this Action</b> <span style="float: right;">\$4,852,411.00</span></p> <p style="padding-left: 20px;"><b>20a. Direct Cost Amount</b> <span style="float: right;">\$4,835,924.00</span></p> <p style="padding-left: 20px;"><b>20b. Indirect Cost Amount</b> <span style="float: right;">\$16,487.00</span></p> <p><b>21. Authorized Carryover</b> <span style="float: right;">\$0.00</span></p> <p><b>22. Offset</b> <span style="float: right;">\$0.00</span></p> <p><b>23. Total Amount of Federal Funds Obligated this budget period</b> <span style="float: right;">\$2,982,159.00</span></p> <p><b>24. Total Approved Cost Sharing or Matching, where applicable</b> <span style="float: right;">\$0.00</span></p> <p><b>25. Total Federal and Non-Federal Approved this Budget Period</b> <span style="float: right;">\$7,834,570.00</span></p> <p><b>26. Project Period Start Date</b> 08/01/2019 - <b>End Date</b> 07/31/2024</p> <p><b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b> <span style="float: right;">Not Available</span></p> <p><b>28. Authorized Treatment of Program Income</b> ADDITIONAL COSTS</p> <p><b>29. Grants Management Officer - Signature</b> Ms. Freda Johnson Grants Management Officer</p>
<p><b>30. Remarks</b></p>	



**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

Centers for Disease Control and Prevention

**Notice of Award**

Award# 6 NU50CK000522-03-01

FAIN# NU50CK000522

Federal Award Date: 08/05/2021

<b>Recipient Information</b>	
<b>Recipient Name</b>	
NH Department of HHS 129 Pleasant St Concord, NH 03301-3852 603-271-4586	
<b>Congressional District of Recipient</b>	
02	
<b>Payment Account Number and Type</b>	
1026000618B3	
<b>Employer Identification Number (EIN) Data</b>	
026000618	
<b>Universal Numbering System (DUNS)</b>	
011040545	
<b>Recipient's Unique Entity Identifier</b>	
Not Available	
<b>31. Assistance Type</b>	
Cooperative Agreement	
<b>32. Type of Award</b>	
Demonstration	

<b>33. Approved Budget</b> (Excludes Direct Assistance)	
i. Financial Assistance from the Federal Awarding Agency Only	
ii. Total project costs including grant funds and all other financial participation	
a. Salaries and Wages	\$1,199,463.00
b. Fringe Benefits	\$697,543.00
c. Total Personnel Costs	\$1,897,006.00
d. Equipment	\$222,527.00
e. Supplies	\$145,663.00
f. Travel	\$69,720.00
g. Construction	\$0.00
h. Other	\$4,876,725.00
i. Contractual	\$208,735.00
<b>j. TOTAL DIRECT COSTS</b>	<b>\$7,420,376.00</b>
<b>k. INDIRECT COSTS</b>	<b>\$414,194.00</b>
<b>l. TOTAL APPROVED BUDGET</b>	<b>\$7,834,570.00</b>
m. Federal Share	\$7,834,570.00
n. Non-Federal Share	\$0.00

<b>34. Accounting Classification Codes</b>					
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
1-93904SH	19NU50CK000522	CK	41.51	\$59,269.00	75-21-0959
1-9390EWQ	19NU50CK000522DMODC3	CK	41.51	\$3,323,142.00	75-2024-0943
1-9390H9L	19NU50CK000522DOJC6	CK	41.51	\$1,470,000.00	75-X-0140



**DEPARTMENT OF HEALTH AND HUMAN SERVICES Notice of Award**

Centers for Disease Control and Prevention

Award# 6 NU50CK000522-03-01

FAIN# NU50CK000522

Federal Award Date: 08/05/2021

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# AWARD ATTACHMENTS

NH Department of HHS

6 NU50CK000522-03-01

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1. Terms and Conditions

**ADDITIONAL AWARD INFORMATION**

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number CK19-1904, titled *Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases(ELC)*, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NOA).

**Supplemental Funding:** Additional funding in the amount \$4,852,411 is approved for the Year 03 budget period, which is August 1, 2021 through July 31, 2022. The availability of funds is shown below.

<b>COVID Funded Component</b>	<b>Amount</b>	<b>End of Funding Availability</b>	<b>Suffix Document Number in PMS</b>
Data Modernization	\$3,323,142	July 31, 2023	DMODC3
Detection & Mitigation of COVID in Confinement Facilities	\$1,470,000	July 31, 2024	DOJC6
Core	\$ 59,269	July 31, 2022	None

**Coronavirus Disease 2019 (COVID-19) Funds:** A recipient of a grant or cooperative agreement awarded by the Department of Health and Human Services (HHS) with funds made available under the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123); the Coronavirus Aid, Relief, and Economic Security Act, 2020 (the "CARES Act") (P.L. 116-136); the Paycheck Protection Program and Health Care Enhancement Act (P.L. 116-139); the Consolidated Appropriations Act and the Coronavirus Response and Relief Supplement Appropriations Act, 2021 (P.L. 116-260) and/or the American Rescue Plan of 2021 [P.L. 117-2] agrees, as applicable to the award, to: 1) comply with existing and/or future directives and guidance from the Secretary regarding control of the spread of COVID-19; 2) in consultation and coordination with HHS, provide, commensurate with the condition of the individual, COVID-19 patient care regardless of the individual's home jurisdiction and/or appropriate public health measures (e.g., social distancing, home isolation); and 3) assist the United States Government in the implementation and enforcement of federal orders related to quarantine and isolation.

In addition, to the extent applicable, Recipient will comply with Section 18115 of the CARES Act, with respect to the reporting to the HHS Secretary of results of tests intended to detect SARS-CoV-2 or to diagnose a possible case of COVID-19. Such reporting shall be in accordance with guidance and direction from HHS and/or CDC. HHS laboratory reporting guidance is posted at: <https://www.hhs.gov/sites/default/files/covid-19-laboratory-data-reporting-guidance.pdf>.

Further, consistent with the full scope of applicable grant regulations (45 C.F.R. 75.322), the purpose of this award, and the underlying funding, the recipient is expected to provide to CDC copies of and/or access to COVID-19 data collected with these funds, including but not limited to data related to COVID-19 testing. CDC will specify in further guidance and directives what is encompassed by this requirement.



This award is contingent upon agreement by the recipient to comply with existing and future guidance from the HHS Secretary regarding control of the spread of COVID-19. In addition, recipient is expected to flow down these terms to any subaward, to the extent applicable to activities set out in such subaward.

To achieve the public health objectives of ensuring the health, safety, and welfare of all Americans, Recipient must distribute or administer vaccine without discriminating on non-public-health grounds within a prioritized group.

**Unallowable Costs:**

1. Research
2. Clinical care
3. Construction
4. Publicity and propaganda (lobbying):
5. Other than for normal and recognized executive-legislative relationships, no funds may be used for:
  - a. publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
  - b. the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
  - c. See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients:  
[https://www.cdc.gov/grants/documents/AntiLobbying\\_Restrictions\\_for\\_CDC\\_Graantees\\_July\\_2012.pdf](https://www.cdc.gov/grants/documents/AntiLobbying_Restrictions_for_CDC_Graantees_July_2012.pdf)
6. All unallowable costs cited in CDC-RFA-CK19-1904 remain in effect, unless specifically amended in this guidance, in accordance with 45 CFR Part 75 – Uniform Administrative Requirements, Cost Principles, And Audit Requirements for HHS Awards.

**COVID-19 Funding Budget Revision Requirement:** The recipient must submit a revised budget with a narrative justification, using the ELC budget workbook provided in the Grant Note associated with this award, within 90 days of receipt of the Notice of Award. Submission must be made as a budget revision amendment and not as a Grant Note. If the date falls on a weekend or holiday, the submission will be due the following business day. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the Awarding Agency Contact Information section on the first page before the due date.

**REPORTING REQUIREMENTS**

**Reporting:** Please reference the applicable guidance for reporting requirements related to Data Modernization and Detection & Mitigation of COVID in Confinement Facilities activities.

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector

General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Cathleen Franklin, Grants Management Specialist  
Contractor: Cherokee Nation Operational Solution  
Centers for Disease Control and Prevention  
Branch 1  
2939 Flowers Road, MS-TV-2 Atlanta, GA 30341  
Email: qtm0@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

**AND**

U.S. Department of Health and Human Services Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator 3301 Independence Avenue, SW  
Cohen Building, Room 5527 Washington, DC 20201  
Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email:  
[MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

**PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P

**Account<sup>n</sup>.** Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of **Page 2** of the Notice of Award must be known to draw down funds.

**Stewardship:** The recipient must exercise proper stewardship over Federal funds by ensuring that all costs charged to your cooperative agreement are allowable, allocable, and reasonable and that they address the highest priority needs as they relate to this program.

All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.