



The State of New Hampshire  
**DEPARTMENT OF ENVIRONMENTAL SERVICES**

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**Thomas S. Burack, Commissioner**

October 27, 2014

The Honorable Mary Jane Wallner, Chairman  
 Fiscal Committee of the General Court  
 State House  
 Concord, NH 03301

*[Signature]*  
 Approved by Fiscal Committee 1/23/15  
 Date

Her Excellency, Governor Margaret Wood Hassan  
 and the Honorable Council  
 State House  
 Concord, New Hampshire 03301

**REQUESTED ACTION**

Pursuant to RSA 14:30-a, VI, authorize the Department of Environmental Services to accept and expend federal funds in the amount of \$20,000,000 for wastewater treatment system low interest loans to municipalities, effective upon Fiscal Committee and Governor & Council approval through June 30, 2015. 100% Clean Water State Revolving Fund (Federal). Funding is to be budgeted as follows:

Clean Water SRF Loan Account  
 03-44-44-441018-2003  
 FY 2015

Object Class	Title	Current Budget	Requested Action	Revised Budget
<b>Income</b>				
000-404839	CW SRF Loans	(\$15,000,000)	(\$20,000,000)	\$ (35,000,000)
<b>Expenditures</b>				
301-500832	CW SRF Loans	\$ 15,000,000	\$ 20,000,000	\$ 35,000,000

**EXPLANATION**

The purpose of this request is to budget additional federal funds in the Clean Water State Revolving Loan Fund (CWSRF) to provide low interest loans to municipalities for wastewater treatment and other water pollution control measures. Federal Capitalization Grants are the source of the funds. The present budgeted amount was estimated to be sufficient for the Fiscal Year; however, an unanticipated increase in disbursement requests has resulted in funds being disbursed at an earlier date than previously anticipated. Currently there is only \$3.6 million available of the original \$15 million appropriation.

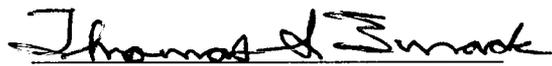
The Honorable Mary Jane Wallner, Chairman  
Fiscal Committee of the General Court, and  
Her Excellency, Governor Margaret Wood Hassan  
and the Honorable Council

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At this time there are multiple large scale wastewater improvement projects underway with estimated costs totaling over \$45 million, including those in Manchester, Newmarket, Lebanon, Keene, Nashua, and Conway that are being funded with CWSRF funds. It is currently anticipated that those municipalities will be requesting approximately \$20 million in disbursements before the end of this fiscal year.

It is anticipated that this action will budget sufficient funds in Class 301 – Loans to process all loan disbursement requests for eligible projects through June 30, 2015.

We respectfully request your approval.



Thomas S. Burack  
Commissioner

**Department of Environmental Services  
Fiscal Situation  
Clean Water SRF Loan Account  
03-44-44-441018-2003  
FY 2015**

Total Federal Awards	\$56,233,000
Less Expenditures thru 6/30/14	<u>\$11,702,673</u>
Remaining Authorization to Budget	\$44,530,327
Less Current FY15 Budget Authorization	<u>\$15,000,000</u>
Total Available for Budgeting	\$29,530,327
REQUESTED ACTION	<u>\$20,000,000</u>
Available to Budget at a Later Date	\$9,530,327

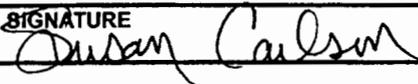
<u>Grant Award Number</u>	<u>Award Amount</u>	<u>Expenses to 6/30/14</u>	<u>Balance</u>
CS330001-11	\$14,757,000	\$11,702,673	\$3,054,327
CS330001-12	\$14,123,000	\$0	\$14,123,000
CS330001-13	\$13,342,000	\$0	\$13,342,000
CS330001-14	<u>\$14,011,000</u>	<u>\$0</u>	<u>\$14,011,000</u>
	\$56,233,000	\$11,702,673	\$44,530,327



	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Grant Agreement</b>	<b>ASSISTANCE ID NO.</b>			<b>DATE OF AWARD</b> 09/21/2011 -	
		PRG	DOC ID	AMEND#		
		CS - 33000111 - 0				
		TYPE OF ACTION New			<b>MAILING DATE</b> 09/28/2011	
<b>PAYMENT METHOD:</b> ASAP			<b>ACH#</b> 10108			
<b>RECIPIENT TYPE:</b> State		<b>Send Payment Request to:</b> U.S. EPA Las Vegas Finance Center P.O. Box 98515 Las Vegas, NV 89193-8515 Tel: (702) 798-2406 Fax: (702) 798-2423 <a href="http://www.epa.gov/ocfo/finservices/payinfo.htm">http://www.epa.gov/ocfo/finservices/payinfo.htm</a>				
<b>RECIPIENT:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 EIN: 02-6000618		<b>PAYEE:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095				
<b>PROJECT MANAGER</b> Paul L. Heirtzler, P.E., Esq 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 E-Mail: paul.heirtzler@des.nh.gov Phone: 603-271-2001		<b>EPA PROJECT OFFICER</b> James Boume 5 Post Office Square, Suite 100, OEP06-3 Boston, MA 02109-3912 E-Mail: Boume.James@epamail.epa.gov Phone: 617-918-1610		<b>EPA GRANT SPECIALIST</b> Brian Tocci Grants Management Office, OARM16-2 E-Mail: Tocci.Brian@epamail.epa.gov Phone: 617-918-1979		
<b>PROJECT TITLE AND DESCRIPTION</b> New Hampshire Water Pollution Control Revolving Loan Fund This is a Federal Fiscal Year 2011 Clean Water Act Revolving Fund (CWSRF) grant to the State of New Hampshire, Department of Environmental Services, under Title VI of the Clean Water Act (CWA). It provides a source of financing for the construction of wastewater treatment facilities and estuary projects throughout the State of New Hampshire. The purpose of the CWSRF and the projects funded is to protect and improve water quality.						
<b>BUDGET PERIOD</b> 09/01/2011 - 09/01/2021	<b>PROJECT PERIOD</b> 09/01/2011 - 09/01/2021	<b>TOTAL BUDGET PERIOD COST</b> \$17,708,400.00	<b>TOTAL PROJECT PERIOD COST</b> \$17,708,400.00			

### NOTICE OF AWARD

Based on your application dated 08/02/2011, including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA), hereby awards \$14,757,000. EPA agrees to cost-share 83.33% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$14,757,000. Such award may be terminated by EPA without further cause if the recipient fails to provide timely affirmation of the award by signing under the Affirmation of Award section and returning all pages of this agreement to the Grants Management Office listed below within 21 days after receipt, or any extension of time, as may be granted by EPA. This agreement is subject to applicable EPA statutory provisions. The applicable regulatory provisions are 40 CFR Chapter 1, Subchapter B, and all terms and conditions of this agreement and any attachments.

<b>ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)</b>		<b>AWARD APPROVAL OFFICE</b>	
<b>ORGANIZATION / ADDRESS</b> EPA New England 5 Post Office Square, Suite 100 Boston, MA 02109-3912		<b>ORGANIZATION / ADDRESS</b> U.S. EPA, EPA New England  5 Post Office Square, Suite 100 Boston, MA 02109-3912	
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>			
<b>SIGNATURE OF AWARD OFFICIAL</b> Digital signature applied by EPA Award Official	<b>TYPED NAME AND TITLE</b> Frederick Weeks, Acting Director, Office of Administration & Resource Management		<b>DATE</b> 09/21/2011
<b>AFFIRMATION OF AWARD</b>			
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>			
<b>SIGNATURE</b> 	<b>TYPED NAME AND TITLE</b> Susan A. Carlson, Chief Operations Officer		<b>DATE</b> 9/20/11

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Grant Agreement</b>	<b>GRANT NUMBER (FAIN):</b> 33000112 <b>MODIFICATION NUMBER:</b> 0 <b>PROGRAM CODE:</b> CS	<b>DATE OF AWARD</b> 06/05/2012
		<b>TYPE OF ACTION</b> New	<b>MAILING DATE</b> 06/12/2012
		<b>PAYMENT METHOD:</b> ASAP	<b>ACH#</b> 10108
		<b>RECIPIENT TYPE:</b> State	
<b>RECIPIENT:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 EIN: 02-6000618		<b>PAYEE:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095	
<b>PROJECT MANAGER</b> Paul L. Heirtzler 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 E-Mail: paul.heirtzler@des.nh.gov Phone: 603-271-2001		<b>EPA PROJECT OFFICER</b> James Bourne 5 Post Office Square, Suite 100, OEP06-3 Boston, MA 02109-3912 E-Mail: Bourne.James@epamail.epa.gov Phone: 617-918-1610	
<b>EPA GRANT SPECIALIST</b> Brian Tocci Grants Management Office, OARM16-2 E-Mail: Tocci.Brian@epamail.epa.gov Phone: 617-918-1979			
<b>PROJECT TITLE AND DESCRIPTION</b> Fiscal Year 2012 New Hampshire Clean Water State Revolving Fund Cap Grant This is a Federal Fiscal Year 2012 Clean Water Act Revolving Fund (CWSRF) grant to the State of New Hampshire, Department of Environmental Services, under Title VI of the Clean Water Act (CWA). It provides a source of financing for the construction of wastewater treatment facilities and estuary projects throughout the State of New Hampshire. The purpose of the CWSRF and the projects funded is to protect and improve water quality.			
<b>BUDGET PERIOD</b> 06/01/2012 - 06/01/2019	<b>PROJECT PERIOD</b> 06/01/2012 - 06/01/2019	<b>TOTAL BUDGET PERIOD COST</b> \$16,947,600.00	<b>TOTAL PROJECT PERIOD COST</b> \$16,947,600.00
<b>NOTICE OF AWARD</b>			
Based on your application dated 05/09/2012, including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA), hereby awards \$14,123,000. EPA agrees to cost-share 83.33% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$14,123,000. Such award may be terminated by EPA without further cause if the recipient fails to provide timely affirmation of the award by signing under the Affirmation of Award section and returning all pages of this agreement to the Grants Management Office listed below within 21 days after receipt, or any extension of time, as may be granted by EPA. This agreement is subject to applicable EPA statutory provisions. The applicable regulatory provisions are 40 CFR Chapter 1, Subchapter B, and all terms and conditions of this agreement and any attachments.			
<b>ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)</b>		<b>AWARD APPROVAL OFFICE</b>	
<b>ORGANIZATION / ADDRESS</b> EPA New England 5 Post Office Square, Suite 100 Boston, MA 02109-3912		<b>ORGANIZATION / ADDRESS</b> U.S. EPA, Region 1  5 Post Office Square, Suite 100 Boston, MA 02109-3912	
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>			
Digital signature applied by EPA Award Official Michael Kenyon - Director, Office of Administration & Resource Management			<b>DATE</b> 06/05/2012
<b>AFFIRMATION OF AWARD</b>			
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>			
<b>SIGNATURE</b> 	<b>TYPED NAME AND TITLE</b> Susan A. Carlson, Chief Operations Officer		<b>DATE</b> 6-18-12

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Grant Agreement</b>	<b>GRANT NUMBER (FAIN):</b> 33000113 <b>MODIFICATION NUMBER:</b> 0 <b>PROGRAM CODE:</b> CS	<b>DATE OF AWARD</b> 08/14/2013
		<b>TYPE OF ACTION</b> New	<b>MAILING DATE</b> 08/21/2013
		<b>PAYMENT METHOD:</b> ASAP	<b>ACH#</b> 10108
		<b>RECIPIENT TYPE:</b> State	
<b>RECIPIENT:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 EIN: 02-6000618		<b>PAYEE:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095	
<b>PROJECT MANAGER</b> Paul Heirtzler 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 E-Mail: paul.heirtzler@des.nh.gov Phone: 603-271-2001	<b>EPA PROJECT OFFICER</b> James Bourne 5 Post Office Square, Suite 100, OEP06-3 Boston, MA 02109-3912 E-Mail: Bourne.James@epamail.epa.gov Phone: 617-918-1610	<b>EPA GRANT SPECIALIST</b> Brian Tocci Grants Management Office, OARM16-2 E-Mail: Tocci.Brian@epamail.epa.gov Phone: 617-918-1979	
<b>PROJECT TITLE AND DESCRIPTION</b> Fiscal Year 2013 New Hampshire Clean Water State Revolving Fund Capitalization Grant This is a Federal Fiscal Year 2013 Clean Water Act Revolving Fund (CWSRF) grant to the State of New Hampshire, Department of Environmental Services, under Title VI of the Clean Water Act (CWA). It provides a source of financing for the construction of wastewater treatment facilities and eligible nonpoint source and estuary projects throughout the State of New Hampshire. The purpose of the CWSRF and the projects funded is to protect and improve water quality.			
<b>BUDGET PERIOD</b> 10/01/2013 - 09/30/2020	<b>PROJECT PERIOD</b> 10/01/2013 - 09/30/2020	<b>TOTAL BUDGET PERIOD COST</b> \$16,010,400.00	<b>TOTAL PROJECT PERIOD COST</b> \$16,010,400.00
<b>NOTICE OF AWARD</b>			
Based on your Application dated 07/26/2013 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$13,342,000. EPA agrees to cost-share 83.33% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$13,342,000. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA statutory provisions. The applicable regulatory provisions are 40 CFR Chapter 1, Subchapter B, and all terms and conditions of this agreement and any attachments.			
<b>ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)</b>		<b>AWARD APPROVAL OFFICE</b>	
<b>ORGANIZATION / ADDRESS</b> EPA New England 5 Post Office Square, Suite 100 Boston, MA 02109-3912		<b>ORGANIZATION / ADDRESS</b> U.S. EPA, Region 1  5 Post Office Square, Suite 100 Boston, MA 02109-3912	
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>			
Digital signature applied by EPA Award Official for Michael Kenyon - Director, Office of Administration & Resource Management Fred Weeks - Award Official delegate			<b>DATE</b> 08/14/2013

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Grant Agreement</b>	<b>GRANT NUMBER (FAIN):</b> 33000114 <b>MODIFICATION NUMBER:</b> 0 <b>PROGRAM CODE:</b> CS	<b>DATE OF AWARD</b> 08/11/2014
		<b>TYPE OF ACTION</b> New	<b>MAILING DATE</b> 08/18/2014
		<b>PAYMENT METHOD:</b> ASAP	<b>ACH#</b> 10108
		<b>RECIPIENT TYPE:</b> State	
<b>RECIPIENT:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 EIN: 02-6000618		<b>PAYEE:</b> New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095	
<b>PROJECT MANAGER</b> Paul Heirtzler 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 <b>E-Mail:</b> paul.heirtzler@des.nh.gov <b>Phone:</b> 603-271-2001		<b>EPA PROJECT OFFICER</b> James Bourne 5 Post Office Square, Suite 100, OEP06-2 Boston, MA 02109-3912 <b>E-Mail:</b> Bourne.James@epamail.epa.gov <b>Phone:</b> 617-918-1610	
<b>PROJECT TITLE AND DESCRIPTION</b> Fiscal Year 2014 New Hampshire Clean Water State Revolving Fund Capitalization Grant This is a Federal Fiscal Year 2014 Clean Water Act Revolving Fund (CWSRF) grant to the State of New Hampshire, Department of Environmental Services, under Title VI of the Clean Water Act (CWA). It provides a source of financing for the construction of wastewater treatment facilities and eligible nonpoint source and estuary projects throughout the State of New Hampshire. The purpose of the CWSRF and the projects funded is to protect and improve water quality.		<b>EPA GRANT SPECIALIST</b> Brian Tocci Grants Management Office, OARM16-2 <b>E-Mail:</b> Tocci.Brian@epa.gov <b>Phone:</b> 617-918-1979	
<b>BUDGET PERIOD</b> 10/01/2014 - 09/30/2021	<b>PROJECT PERIOD</b> 10/01/2014 - 09/30/2021	<b>TOTAL BUDGET PERIOD COST</b> \$16,813,200.00	<b>TOTAL PROJECT PERIOD COST</b> \$16,813,200.00
<b>NOTICE OF AWARD</b>			
Based on your Application dated 07/24/2014 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$14,011,000. EPA agrees to cost-share 83.33% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$14,011,000. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA statutory provisions. The applicable regulatory provisions are 40 CFR Chapter 1, Subchapter B, and all terms and conditions of this agreement and any attachments.			
<b>ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)</b>		<b>AWARD APPROVAL OFFICE</b>	
<b>ORGANIZATION / ADDRESS</b> EPA New England 5 Post Office Square, Suite 100 Boston, MA 02109-3912		<b>ORGANIZATION / ADDRESS</b> U.S. EPA, Region 1  5 Post Office Square, Suite 100 Boston, MA 02109-3912	
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>			
Digital signature applied by EPA Award Official for Michael Kenyon - Director, Office of Administration & Resource Management Fred Weeks - Award Official delegate			<b>DATE</b> 08/11/2014