



THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT

FIS 15 168 ^{4W} 42

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Seigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301


Approved by Fiscal Committee 8/26/15 Date

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Executive Council
State House
Concord, NH 03301

Requested Action

1. Pursuant to RSA 9:16-c, Transfer of Federal Grant Funds, authorize the Insurance Department to reduce the appropriated funds down by (\$90,406) to the grant fund balance available (Health Insurance Premium Review Grant) and to realign the remaining appropriation for FY 2016; effective upon Fiscal Committee and Governor and Council approval through December 31, 2015. This grant is from the U.S. Department of Health and Human Services ("USDHHS") to improve health insurance rate filing requirements, enhance consumer protection standards related to health insurance premium rate filings, provide additional IT capacity for processing, to review health insurance premium rate filings, and for reporting to the US Secretary of Health and Human Services on health insurance premium rate increase patterns. 100% Federal Funds.

Funds will be budgeted as set forth in the table below.

FY 2015-2017 Health Insurance Premium Review Grant
02-24-24-2400-59780000

Fiscal Year 2016				
Class/Acct	Description	2016 Adjusted Authorized	Requested Action	Revised Appropriation
20-500200	Current Expense	\$2,557	(\$1,543)	\$1,014
27-502799	Transfers to DOIT	\$10,416	(\$10,416)	\$0
30-500311	Equipment New Replacement	\$15,375	(\$15,375)	\$0
41-500801	Audit Set Aside	\$7,996	(\$6,934)	\$1,062
46-500464	Consultants	\$309,568	(\$177,784)	\$131,784
48-500226	Contractual MAINT Build	\$700	(\$700)	\$0
49-500294	Transfers to Other Agencies	\$0	\$155,000	\$155,000
50-500019	Personal Services Part Time Temp	\$4,506	(\$1,006)	\$3,500
60-500611	Benefits	\$268	(\$1)	\$267
62-500537	Workers Compensation	\$10,334	(\$10,334)	\$0
66-500555	Employee Training	\$3,125	(\$3,125)	\$0
69-500567	Promotional Marketing Exp.	\$2,705	(\$2,705)	\$0
70-500709	In State Travel Reimbursement	\$2,983	(\$2,983)	\$0
80-500710	Out Of State Travel Reimbursement	\$12,500	(\$12,500)	\$0
	Total Appropriation	\$383,033	(\$90,406)	\$292,627
	Source of Funds			
0	Federal Funds	\$383,033	(\$90,406)	292,627

EXPLANATION

The New Hampshire Insurance Department has received a federal grant to support improving the health insurance premium rate review process and transparency related to health insurance premiums and medical care costs in New Hampshire. The Health Insurance Premium Rate Review Grant –Cycle II is made available pursuant to Public Law 111-148 (The Patient Protection and Affordable Care Act). The purpose of the grant program is to help make private health insurance more accessible and affordable and increase the transparency of the health insurance system by providing grants to states to help them improve the health insurance rate review process. The Insurance Department currently reviews health insurance premium rates, and has been deemed to be an effective rate review state by HHS. However, the grant will enhance current efforts and will provide greater transparency and public input into the rate approval process.

We are requesting the re-alignment of this grant to allow for the continuation of the efforts to improve our health insurance rate review process during FY2016. The following appropriation authority is being requested for Fiscal Year 2016:

1. Class 020 – Current Expenses – FY16 - \$1,014. These reduced funds are required in order to provide general supplies to the part-time employee, conference call between the department and consultants, and miscellaneous expenses (postage, printing).
2. Class 027 – Transfers to DOIT – FY16 - \$0. Funding eliminated.
3. Class 030 – Equipment New/Replacement – FY16 - \$0. Funding eliminated.
4. Class 041 – Audit set aside – FY16 - \$1,062. These reduced funds are required in order to meet audit costs associated with the grant program.
5. Class 046 – Consultants – FY16 - \$131,784. These reduced funds are required to hire consultants to perform quantitative analysis of various data sources, create futuristic models of the insurance marketplace, improve the transparency of information for consumers, and enhance the HealthCost website as a centralized location for health care price information.
6. Class 048 – Contractual Main Building – FY16 - \$0. Funding eliminated.
7. Class 049 – Transfer to Other State Agencies –FY16 - \$155,000. Appropriation is needed of this class code to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts.
8. Class 050 – Personal Services – PT Temp – FY16 - \$3,500. These reduced funds are set aside in order to pay the budgeted part time employee to administer the grant program.

9. Class 060 – Benefits – FY16 - \$267. These reduced funds are necessary for the payroll contributions for the part-time employee.
10. Class 062 – Workers Compensation – FY16 - \$0. Funding eliminated.
11. Class 066 – Employee Training – FY16 - \$0. Funding eliminated.
12. Class 069 – Promotional Marketing Exp. – FY16 - \$0. Funding eliminated.
13. Class 070 – In State Travel Reimbursement – FY16 - \$0. Funding eliminated.
14. Class 080 – Out of State Travel Reimbursement – FY16 - \$0. Funding eliminated.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of personnel involved: This federal grant will continue to fund a part time temporary position of Grants and Contracts Technician (Labor Grade 15). We are using the Supplemental Job Description that was approved by the Director of Personnel on December 1, 2010. This position is currently funded by the "Grant to Support States in Health Insurance Rate Review" Grant Cycle II which ends 09/30/2015, Rate Review Grant Cycle III which ends 09/30/2015, Rate Review Cycle IV which ends 9/18/2016, and CY2014 Level I Establishment Grant which ends 12/31/2015.

The Insurance Department will continue funding existing vendors and pay them from the Consultants line item.

2. Nature, need, and duration: The position of Grants and Contracts Technician will be serving as the financial records assistant, maintaining all financial records and creating requisite financial reports for the grant. The Department will also employ various contractors to work on this project. Funding for this position and various consultant contracts will be good through December 31, 2015.

3. Relationship to existing agency program: The funds from this federal grant will be used to enhance Insurance Department functions related to our existing health insurance premium rate review process. Funds will be used to improve the transparency of cost drivers in the New Hampshire health insurance system by providing the means to improve current data sources and in turn the health insurance rate review process.

4. Has a similar program been requested of the legislature and denied? The nature of the work to be completed under the grant has never been requested and denied by the General Court.

5. Why wasn't funding included in the agency's budget request? This request is due to changes in the project.

6. Can portions of the grant funds be utilized? 100% of federal grant funds can be used to fund the personnel costs and all program costs associated with this request.

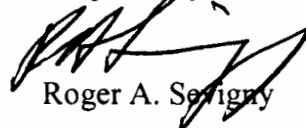
7. Estimate the funds required to continue this position(s): There will be no cost to the State of New Hampshire associated with this position or any consultants as all will terminate at the end of the grant period.

FISCAL SITUATION

Grant Award Cycle I (Net)	\$520,196
Cycle I Amount Spent (Fiscal Year 2012)	(\$520,196)
Grant Award Cycle II	\$3,564,938
Less Amount Spent Fiscal Year 2012	\$146,105
Less Amount Spent Fiscal Year 2013	\$1,537,591
Less Amount Spent Fiscal Year 2014	\$819,930
Less Amount Spent Fiscal Year 2015	<u>\$768,685</u>
Grant Balance Remaining	<u>\$292,627</u>
FY2016 Approved Appropriation	\$383,033
FY2016 This Request	<u>(\$90,406)</u>
Total FY2016 Appropriation	<u>\$292,627</u>

In the event that federal funds become no longer available, General Funds will not be requested to support this program. Thank you for your consideration.

Respectfully submitted,



Roger A. Sevigny

Enclosures



**THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT**

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

April 26, 2012

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

His Excellency, Governor John H. Lynch
And the Honorable Executive Council
State House
Concord, NH 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Insurance Department to amend a Fiscal Committee and Governor and Council approved insurance FY 2012 rate review grant by extending the end date from June 30, 2012 to June 30, 2013, transferring funds in the amount of \$301,707 and establishing one class 049, Transfer to Other State Agencies. This grant was originally approved by the Fiscal Committee on Oct. 28, 2011 (Item FIS 11-305) and by the Governor and Council on Nov. 9, 2011 (Item #47). This action is to be effective upon Fiscal Committee and Governor and Council approval through June 30, 2013.
2. Pursuant to RSA 14:30-a, VI, authorize the Insurance Department to accept and expend federal grant funds in the amount of \$1,029,934.00 for FY 2013 from the U.S. Department of Health and Human Services for the purpose of improving health insurance rate filing requirements, enhancing consumer protection standards related to health insurance premium rate filings, provide additional IT capacity for processing and reviewing health insurance premium rate filings, and for reporting to the US Secretary of Health and Human Services on health insurance premium rate increase patterns. Approval is sought for the period of July 1, 2012 through June 30, 2013. Source of Funds is 100% Federal Funds.
3. Pursuant to RSA 124:15 authorize the Insurance Department to continue a Class 050 (Personal Service – Part Time Temporary) position for one Grants & Contracts Technician in Account No. 02-24-24-2400-59780000 for the period of July 1, 2012 through June 30, 2013.

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

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4. Pursuant to RSA 124:15 authorize the Insurance Department to continue a Class 046 (Consultants) to enter into contracts for consulting services with various vendors in Account Number 02-24-24-2400-59780000 in an amount not to exceed \$623,834 for the period of July 1, 2012 through June 30, 2013.

Funds will be budgeted as set forth in the table below. Source of funds: 100% Federal Funds.

FY 2012-2013 Health Insurance Premium Review Grant
02-24-24-2400-59780000

Class	Description	Fiscal Year 2012			Fiscal Year 2013
		Current Appropriation	Requested Change	Revised Appropriation	New Appropriation
020	Current Expense	\$5,483	\$0.00	\$5,483.00	\$2,483
022	Rents Leases	\$1,750	(\$1,750)	\$0	\$0
027	Information Systems	\$10,416	\$0	\$10,416	\$0
030	Equipment	\$16,400	\$0	\$16,400	\$5,000
041	Audit Set Aside	\$3,500	\$0	\$3,500	\$3,500
046	Consultants	\$917,599	(\$291,457)	\$626,142	\$623,834
048	Janitorial Services	\$700	\$0	\$700	\$0
049	Transfer To Other State Agencies	\$0	\$301,707	\$301,707	\$350,000
050	Personal Services Part Time Temp	\$18,974	\$0	\$18,974	\$23,000
060	Benefits	\$9,761	\$0	\$9,761	\$2,200
062	Workers Comp	\$2,917	\$0	\$2,917	\$2,917
069	Promotional Expense	\$7,000	(\$7,000)	\$0	\$10,000
070	In-State Travel	\$1,500	(\$1,500)	\$0	\$2,000
080	Out-of-State Travel	\$4,000	\$0	\$4,000	\$5,000
	Total Appropriation	\$1,000,000	\$0	\$1,000,000	\$1,029,934
	Source of Funds				
000	Federal Funds	\$1,000,000	\$0	\$1,000,000	\$1,029,934

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

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EXPLANATION

The New Hampshire Insurance Department has received a federal grant to support improving the health insurance premium rate review process and transparency related to health insurance premiums and medical care costs in New Hampshire. The Health Insurance Premium Rate Review Grant –Cycle II is made available pursuant to Public Law 111-148 (The Patient Protection and Affordable Care Act). The purpose of the grant program is to help make private health insurance more accessible and affordable and increase the transparency of the health insurance system by providing grants to states to help them improve the health insurance rate review process. The Insurance Department currently reviews health insurance premium rates, and has been deemed to be an effective rate review state by HHS. However, the grant will enhance current efforts and will provide greater transparency and public input into the rate approval process.

The Fiscal Committee and Governor and Council approved the acceptance of \$1,000,000 in funding for this grant at the Fiscal Committee meeting on Oct. 28, 2011 (Item FIS 11-305) and at the Governor and Council meeting on Nov. 9, 2011 (Item #47).

The actions requested by this item are for the continuance of the grant initiative by extending the original end date to June 30, 2013 and for better utilization of the funding by making adjustments to specific operating expense authorizations.

The acceptance of additional funding, in the amount of \$1,029,934, is requested for the continuation of the grant to improve the health insurance rate review process and continue the support of a part-time grant manager and consultant class for vendor services during FY 2013.

The following changes in appropriation authority are being requested to maximize the use federal funds that had been budgeted in Fiscal Year 2012:

1. Class 022 – Rent Lease Other than State – FY12 - \$0. Anticipated funds for scanning and copying equipment beyond current resources not required.

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Fiscal Committee of the General Court

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2. Class 046 – Consultants – FY12 - \$626,142. These funds are required to hire consultants to perform quantitative analysis of various data sources, create macroeconomic models to predict migration of insured among markets, and study of New Hampshire insurance laws adversely impacting premiums.
3. Class 049 – Transfer to Other State Agencies –FY12 - \$301,707. Addition appropriation is needed of this class code to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts. .
4. Class 069 – Promotional and Marketing Expenses – FY12 - \$0. Promotional efforts to help keep the public informed about their role in the new Rate review process being developed has been delayed until FY2013.
5. Class 070 – In State Travel – FY12 - \$0. Anticipated travel to grant administration within the state has been delayed until FY2013.

The following changes in appropriation authority are being requested for additional grant funds available for expenditure during Fiscal Year 2013:

1. Class 020 – Current Expenses – FY13 - \$2,483. These funds are required in order to provide general supplies to the part-time employee.
2. Class 030 – Equipment (new/replacement) – FY13 - \$5,000. These funds are required to purchase necessary computer systems, software, and technical support for the part-time employee, the contracted project manager and internal Department support personnel who will be overseeing and/or supplementing the contractors' efforts.
4. Class 041 – Audit set aside – FY13 - \$3,500. These funds are required in order to meet audit costs associated with the grant program.
5. Class 046 – Consultants – FY13 - \$623,834. These funds are required to hire consultants to perform quantitative analysis of various data sources, create macroeconomic models to predict migration of insured among markets, and study of New Hampshire insurance laws adversely impacting premiums.
6. Class 049 – Transfers to Other State Agencies – FY13 - \$350,000. These funds required to allow for the transfer of funds to DHHS to support NHCHIS data consolidation efforts.

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Fiscal Committee of the General Court

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7. Class 050 – Personal Services – PT Temp – FY13 - \$23,000. These funds are set aside in order to pay the part time employee to administer the grant program.
8. Class 060 – Benefits – FY13 - \$2,200. These funds are necessary for the payroll contributions for of the part-time employee.
9. Class 062 – Workers Compensation – FY13 - \$2,917. These funds are necessary for the insurance support of the new employee.
10. Class 069 – Promotional and Marketing Expenses – FY13 - \$10,000. These funds are necessary for creating a consumer information platform to help keep the public informed about their role in the new Rate review process being developed.
11. Class 070 – In State Travel – FY13 - \$2,000. These funds are required for travel related to grant administration within the state.
12. Class 080 – Out of State Travel – FY13 - \$5,000. These funds are required for out of state travel related to grant administration.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of personnel involved: This federal grant creates a part time temporary position of Grants and Contracts Technician (Labor Grade 15) approved by the Director of Personnel on October 6, 2011. A copy of the director's approval is attached. Upon Fiscal Committee approval, Insurance Department will grant some contract to various vendors and pay them from the Consultants line item.
2. Nature, need, and duration: The position of Grants and Contracts Technician will be serving as the financial records assistant, maintaining all financial records and creating requisite financial reports for the grant. Department will also employ various contractors to work on this project. Funding for this position and various consultant contracts will be good through June 30, 2013.

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

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3. Relationship to existing agency program: This grant will provide enhancements to current Insurance Department functions that include increased public accessibility to the health insurance premium rate review process. In addition, the federal grant funds will improve the transparency of cost drivers in the New Hampshire health insurance system by providing the means to improve current data sources and in turn the health insurance rate review process.

4. Has a similar program been requested of the legislature and denied? The nature of the work to be completed under the grant has never been requested and denied by the General Court.

5. Why wasn't funding included in the agency's budget request? The September 20, 2011 notification of the federal government's funding of this grant has prompted this Insurance Department request, and is the reason for not including funding for this activity in the department's budget request for FY 2013.

6. Can portions of the grant funds be utilized? 100% of federal grant funds can be used to fund the personnel costs and all program costs associated with this request.

7. Estimate the funds required to continue this position(s): There will be no cost to the State of New Hampshire associated with this position or any consultants as it will terminate at the end of the grant period.

FISCAL SITUATION

U.S. Department of Health and Human Services Appropriation	\$3,564,938.00
FY2012 Approved Appropriation	\$1,000,000.00
FY2013 Requested Appropriation	\$1,029,934.00
FY2014 Reserved Funds	<u>\$1,535,004.00</u>
Total Appropriations	\$3,564,938.00

Representative Ken Weyler, Chairman
Fiscal Committee of the General Court

His Excellency, Governor John H. Lynch
And the Honorable Council

April 26, 2012

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In the event that federal funds become no longer available, General Funds will not be requested to support this program. Thank you for your consideration.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "R. Sevigny". The signature is stylized and cursive.

Roger A. Sevigny
Enclosures

1. DATE ISSUED MM/DD/YYYY 10/25/2011	2. CFDA NO. 93.511	3. ASSISTANCE TYPE Project Grant
1a. SUPERSEDES AWARD NOTICE dated 08/31/2011 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded		
4. GRANT NO. 6 IPRPR100026-01-02 Formerly	5. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD From 08/09/2010	Through 03/31/2012	
7. BUDGET PERIOD From 08/09/2010	Through 03/31/2012	

**Department of Health and Human Services
Centers for Medicare & Medicaid Services
Office of Consumer Information and Insurance Oversight**

Grants, Contracts and Integrity Division
7501 Wisconsin Ave West Tower
Room 10-15
Bethesda, MD 20814-6519

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
Section 2794 of the Public Health Service Act (Section 1003 of the
Affordable Care Act)

8. TITLE OF PROJECT (OR PROGRAM)
2010 Grants to States for Health Insurance Premium Review-Cycle I

9a. GRANTEE NAME AND ADDRESS
State of New Hampshire Insurance Department
21 S Fruit St Ste 14
Concord, NH 03301-2428

9b. GRANTEE PROJECT DIRECTOR
Ms. Leslie Ludtke
21 S Fruit St Ste 14
Concord, NH 03301
Phone: 603-271-7973 ext 246

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Leslie Ludtke
21 S FRUIT ST STE 14
CONCORD, NH 2428
Phone: 603-27-7973

10b. FEDERAL PROJECT OFFICER
Ms. Jacqueline Roche
200 Independence Ave Sw Rm 738-G
Washington, DC 20201
Phone: 202-260-6094

11. APPROVED BUDGET (Excludes HHS Direct Assistance)

I HHS Grant Funds Only

II Total project costs including grant funds and all other financial participation

a. Salaries and Wages	0.00
b. Fringe Benefits	0.00
c. Total Personnel Costs	0.00
d. Equipment	0.00
e. Supplies	3,000.00
f. Travel	0.00
g. Construction	0.00
h. Other	373,000.00
i. Contractual	624,000.00
j. TOTAL DIRECT COSTS	1,000,000.00
k. INDIRECT COSTS (rate of)	0.00
l. TOTAL APPROVED BUDGET	1,000,000.00
m. Federal Share	1,000,000.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION FOR GRANT

a. Amount of HHS Financial Assistance (from item 11m)	1,000,000.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	1,000,000.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	1,000,000.00

14. RECOMMENDED FUTURE SUPPORT
(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 74, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION
b. ADDITIONAL COSTS
c. MATCHING
d. OTHER RESEARCH (Add / Deduct Option)
e. OTHER (See REMARKS)

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, HHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation cited above.
b. The grant program regulations cited above.
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. HHS Grants Policy Statement including addenda in effect as of the beginning date of the budget period.
e. 45 CFR Part 74 or 45 CFR Part 92 as applicable.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

This Notice of Award approves the budget revisions as per the grantee's request date August 11, 2011.

GRANTS MANAGEMENT OFFICER: Michelle Feagins, Senior Grants Management Specialist

17. OBJ CLASS 4121	18a. VENDOR CODE 1026000618M1	18b. EIN 026000618	19. DUNS 808591051	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 0-199RE19	b. IPRPR0026A	c. IPR	d. \$0.00	e. 75040112
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

1. DATE ISSUED MM/DD/YYYY 08/08/2014
 2. CFDA NO. 93.511
 3. ASSISTANCE TYPE Project Grant

Department of Health and Human Services
 Centers for Medicare & Medicaid Services
 Office of Acquisitions and Grants Management

7500 Security Boulevard
 Baltimore, MD 21244-1850

1a. SUPERSEDES AWARD NOTICE dated 11/09/2011
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 4 PRPPR120031-01-02
 Formerly
 5. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY
 From 10/01/2011 Through 09/30/2015

7. BUDGET PERIOD MM/DD/YYYY
 From 10/01/2011 Through 09/30/2015

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Section 2794 of the Public Health Service Act (Section 1003 of the
 Affordable Care Act)

8. TITLE OF PROJECT (OR PROGRAM)
 Grants to Support States in Health Insurance Rate Review Grant Cycle II

9a. GRANTEE NAME AND ADDRESS
 State of New Hampshire Insurance Department
 21 S Fruit St
 Concord, NH 03301-2428

9b. GRANTEE PROJECT DIRECTOR
 Mr. Alexander Feldvebel
 21 South Fruit Street
 Concord, NH 03301-0000
 Phone: 6032712261

10a. GRANTEE AUTHORIZING OFFICIAL
 Mr. Alexander K. Feldvebel
 21 S Fruit St
 Concord, NH 03301-2428
 Phone: (603)271-2261

10b. FEDERAL PROJECT OFFICER
 Ms. Susan Lorden
 200 Independence Ave Sw Rm 738-G
 Washington, DC 20201-0004
 Phone: (301) 492-4162

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	
II Total project costs including grant funds and all other financial participation <input checked="" type="checkbox"/>	
a. Salaries and Wages	99,967.00
b. Fringe Benefits	51,715.00
c. Total Personnel Costs	151,682.00
d. Equipment	25,200.00
e. Supplies	0.00
f. Travel	16,500.00
g. Construction	0.00
h. Other	128,556.00
i. Contractual	3,243,000.00
j. TOTAL DIRECT COSTS	3,564,938.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	3,564,938.00
m. Federal Share	3,564,938.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	3,564,938.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	3,564,938.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	3,564,938.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

- a. DEDUCTION
- b. ADDITIONAL COSTS
- c. MATCHING
- d. OTHER RESEARCH (Add / Deduct Option)
- e. OTHER (See REMARKS)

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation
- b. The grant program regulations.
- c. This award notice including terms and conditions, if any, noted below under REMARKS.
- d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

This Notice of Award approves the 12 Months No Cost Extension as per the grantees request dated August 6, 2014.

GRANTS MANAGEMENT OFFICER: Gabriel Nah

17. OBJ CLASS	18a. VENDOR CODE	18b. EIN	19. DUNS	20. CONG. DIST.
4115	1026000618M1	026000618	808591051	02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 1-5992933	b. PRPPR0031A	c. IPR	d. \$0.00	e. 75X0112
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted Office of Acquisition and Grants Management	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 6 IPRPR100026-01-02	Page 1	of pages
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3. Recipient Organization (Name and complete address including Zip code)
 State of New Hampshire Insurance Department, 21 South Fruit St, Suite 14, Concord, NH 03301

4a. DUNS Number 8085910510000	4b. EIN 02-6000618	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period From (Month, Day, Year) To (Month, Day, Year)	9. Reporting Period End Date (Month, Day, Year)
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10. Transactions	Cumulative
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(Use lines a-c for single or multiple grant reporting)

Federal Cash (To report multiple grants, also use FFR Attachment):	
a. Cash Receipts	520,195.85
b. Cash Disbursements	520,195.85
c. Cash on Hand (line a minus b)	0.00

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	1,000,000.00
e. Federal share of expenditures	520,195.85
f. Federal share of unliquidated obligations	
g. Total Federal share (sum of lines e and f)	520,195.85
h. Unobligated balance of Federal funds (line d minus g)	479,804.15

Recipient Share:	
i. Total recipient share required	
j. Recipient share of expenditures	
k. Remaining recipient share to be provided (line i minus j)	

Program Income:	
l. Total Federal program income earned	
m. Program income expended in accordance with the deduction alternative	
n. Program income expended in accordance with the addition alternative	
o. Unexpended program income (line l minus line m or line n)	

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:							

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Dave Ruju, Business Administrator	c. Telephone (Area code, number and extension) 603-271-7973 x240 d. Email address ruju.dave@ins.nh.gov e. Date Report Submitted (Month, Day, Year) 08062012
b. Signature of Authorized Certifying Official 	

14. Agency use only:

Standard Form 425
 OMB Approval Number: 0348-0061
 Expiration Date: 10/31/2011

Paperwork Burden Statement
 According to the Paperwork Reduction Act as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0060), Washington, DC 20503.