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FIS 14 019



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527
603-271-4535 1-800-852-3345 Ext. 4535
Fax: 603-271-8705 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

José Thier Montero
Director

December 27, 2013

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

[Signature] 2/14/14
Approved by Fiscal Committee Date

REQUESTED ACTION

- Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, to accept and expend federal funds in the amount of \$313,717 from the Centers of Disease Control and Prevention to fund the New Hampshire Oral Disease Prevention Program effective upon date of approval by the Fiscal Committee and Governor and Council, through June 30, 2015, and further authorize the funds to be allocated as follows.
- Pursuant to the provisions of RSA 124:15, Positions Restricted, effective upon approval of Requested Action #1 through June 30, 2015, authorize the Department of Health and Human Services, Division of Public Health Services, to use funds to contract for consultant services with an epidemiologist.

05-95-90-902010-22150000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY SERVICES, CDC ORAL HEALTH GRANT

SFY 2014

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-406776	Federal Funds	676,525.00	85,884.00	762,409.00
Total Revenue		\$676,525.00	\$85,884.00	\$762,409.00
010-500100	Personal Serv - Perm	0.00	20,438.00	20,438.00
020-500200	Current Expenses	2,100.00	1,080.00	3,180.00
030-500300	Equipment New Replacement	0.00	2,660.00	2,660.00
039-500188	Telecommunications Voice	0.00	720.00	720.00
041-500801	Audit Fund Set Aside	316.00	86.00	402.00
042-500620	Additional Fringe Benefits	0.00	2,146.00	2,146.00
046-500464	Gen Consultants Non-Benefit	0.00	24,384.00	24,384.00
050-500109	Personal Service Part-Time	0.00	15,805.00	15,805.00
060-500601	Benefits	0.00	14,328.00	14,328.00

070-500700	In-State Travel Reimbursement	200.00	1,627.00	1,827.00
080-500710	Out Of State Travel	1,680.00	2,610.00	4,290.00
102-500731	Contracts for Program Services	672,229.00	0.00	672,229.00
Total Expenses		\$676,525.00	\$85,884.00	\$762,409.00

SFY 2015

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-406776	Federal Funds	219,363.00	227,833.00	447,196.00
Total Revenue		\$219,363.00	\$227,833.00	\$447,196.00
010-500100	Personal Serv - Perm	0.00	53,937.00	53,937.00
020-500200	Current Expenses	2,073.00	2,080.00	4,153.00
030-500300	Equipment New Replacement	0.00	0.00	0.00
039-500188	Telecommunications Voice	0.00	2,020.00	2,020.00
041-500801	Audit Fund Set Aside	219.00	227.00	446.00
042-500620	Additional Fringe Benefits	0.00	11,912.00	11,912.00
046-500464	Gen Consultants Non-Benefit	0.00	68,532.00	68,532.00
050-500109	Personal Service Part-Time	0.00	42,711.00	42,711.00
060-500601	Benefits	0.00	41,641.00	41,641.00
070-500700	In-State Travel Reimbursement	200.00	2,627.00	2,827.00
080-500710	Out Of State Travel	1,725.00	2,146.00	3,871.00
102-500731	Contracts for Program Services	215,146.00	0.00	215,146.00
Total Expenses		\$219,363.00	\$227,833.00	\$447,196.00

EXPLANATION

Grant funds awarded for periods after SFY 2015 will be included in the operating budgets for SFY 2016 and SFY 2017.

The New Hampshire Division of Public Health Services has received funding from the Centers for Disease Prevention and Control (CDC) to establish a New Hampshire Oral Disease Prevention Program. This award will enable the Division to expand its capacity to address oral disease prevention in New Hampshire. The goals of the New Hampshire Oral Disease Prevention Program are: 1-assess dental workforce mal-distribution in NH that creates barriers to oral health service delivery and causes geographic and socio-economic oral health disparities among vulnerable populations; 2-monitor and evaluate community water fluoridation in ten NH communities with fluoridated public water supplies; 3-develop a five-year plan for the DPHS Oral Health Program using annual SMART objectives that address program priorities and specific barriers to achieving oral health for all NH residents; 4-evaluate progress since 2003 toward the achievement of objectives outlined in the 2003 NH Oral Health Plan: A Framework for Action and, as such, lay the groundwork for the revision of the 2003 NH Oral Health Plan by oral health stakeholders statewide.

No new positions are being requested as these positions will be filled from the Department's current vacant position list.

The funds are to be budgeted as follows:

Funds in class 010 are needed to fund a full time Program Coordinator (Program Planner III, LG 25) to plan and coordinate Oral Health Program strategies to achieve the goals and objectives of the CDC Cooperative Agreement.

- Funds in class 020 are needed for the cost of general office supplies, duplicating services, and postage.
- Funds in class 030 are needed to purchase two laptop computers with docking stations.
- Funds in class 039 are needed to pay for telecommunications.
- Funds in class 041 are needed to pay the audit-fee set aside costs.
- Funds in class 042 are needed to pay the additional fringe benefits for the full time position.
- Funds in class 046 are needed to contract for consultant services with an epidemiologist, to provide high-level epidemiology and surveillance services; provide oversight and direction to the evaluation specialist for the development of a surveillance plan and the evaluation of the state oral health plan.
- Funds in class 050 are needed to fund a part-time Program Evaluator (Program Planner III, LG 25).
- Funds in class 060 are needed to pay for benefits related to the class 10 and class 50.
- Funds in class 070 are needed to pay in-state travel reimbursement.
- Funds in class 080 are needed to pay for out-of-state travel for program staff to attend an all grantee meeting in Atlanta.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved: Temporary consultant
2. Nature, Need and Duration: Chronic Disease Epidemiologist to provide consultant services through 6/30/2015.
3. Relationship to existing agency programs: The temporary consultant will provide high-level epidemiology and surveillance services for the development of a surveillance plan and the evaluation of the state oral health plan.
4. Has a similar program been requested of the legislature and denied: No.
5. Why wasn't funding included in the agency's budget request? New grant received 8/31/2013
6. Can portions of the grant fund be utilized? This request is 100% federally funded.
7. Estimate the funds required to continue this position(s): The temporary consultant position is estimated at \$ 92,916.00 for State Fiscal Years 2014 and 2015.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: statewide

The Honorable Mary Jane Wallner, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
December 27, 2013
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Source of funds: These funds are 100% Federal from Centers for Disease Control and Prevention (CDC) to fund the New Hampshire Oral Disease Prevention Program.

Attached is the Notice of Grant Award and award history. Notice of these funds was received on August 31, 2013. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

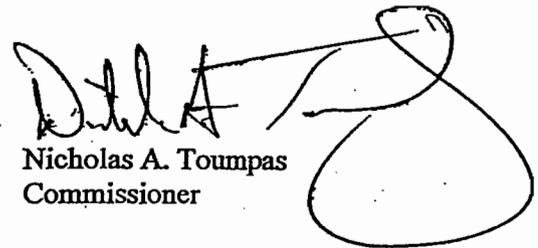
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



José Thier Montero, MD, MHCDS
Director

Approved by:



Nicholas A. Toumpas
Commissioner

JTM/nrm

AWARD HISTORY

Oral Health

010-090-2215

Award Ending 8/31/2014 (Dis Prevention)	191,667
Expended through 6/30/13	-
Unobligated Balance Unable to Spend	-
Award Balance 7/1/14	191,667
SFY 14 Appropriation **	-
OYR	-
Available to Accept in SFY 14	191,667
Amount Requested this Action	<u>85,884</u>

**** SFY 14 Appropriation**

22150000/406776	Current	OYR	Total	This Action	Revised Budget
HRSA OH WA	316,048	360,477	676,525	-	676,525
Oral Hlth Disease	-	-	-	85,884	85,884
Total	<u>316,048</u>	<u>-</u>	<u>360,477</u>	<u>85,884</u>	<u>762,409</u>

**AWARD HISTORY
Oral Health
010-090-2215**

Award Ending 8/31/2014 (Dis Prevention)	144,116
Award Ending 8/31/2015	208,333
Expended through 6/30/14	(85,884)
Unobligated Balance Unable to Spend	<u> -</u>
Award Balance 7/1/15	266,565
SFY 15 Appropriation **	-
OYR	<u> -</u>
Available to Accept in SFY 15	266,565
Amount Requested this Action	<u><u>227,833</u></u>

**** SFY 15 Appropriation**

22150000/406776	Current	OYR	Total	This Action	Revised Budget
HRSA OH WA	219,363	-	219,363	-	219,363
Oral Hlth Disease	-	-	-	227,833	227,833
Total	<u><u>219,363</u></u>	-	<u><u>219,363</u></u>	<u><u>227,833</u></u>	<u><u>447,196</u></u>



Grant Number: 1U58DP004911-01

Principal Investigator(s):
THIER MONTERO

Project Title: NEW HAMPSHIRE ORAL DISEASE PREVENTION PROGRAM

JOSE T MONTERO
DIRECTOR
DIVISION OF PUBLIC HLTH SVCS
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 09/01/2013 – 08/31/2014
Project Period: 09/01/2013 – 08/31/2018

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$230,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 301A,311BC,317K2(42USC241A,243BC247BK2) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Tracey M. Sims

Tracey M Sims
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 1U58DP004911-01

Award Calculation (U.S. Dollars)

Salaries and Wages	\$95,800
Fringe Benefits	\$65,468
Personnel Costs (Subtotal)	\$161,268
Supplies	\$4,460
Travel Costs	\$4,237
Other Costs	\$33,649
Consortium/Contractual Cost	\$26,386

Federal Direct Costs	\$230,000
Approved Budget	\$230,000
Federal Share	\$230,000
TOTAL FEDERAL AWARD AMOUNT	\$230,000

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$230,000

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02	\$250,000
03	\$250,000
04	\$250,000
05	\$250,000

Fiscal Information:

CFDA Number: 93.283
 EIN: 1026000618B3
 Document Number: UDP004911A

IC	CAN	2013	2014	2015	2016	2017
DP	939ZRDG	\$230,000	\$250,000	\$250,000	\$250,000	\$250,000

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$230,000	\$230,000
2	\$250,000	\$250,000
3	\$250,000	\$250,000
4	\$250,000	\$250,000
5	\$250,000	\$250,000

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4141 / Processed: ERAAPPS 08/18/2013

SECTION II – PAYMENT/HOTLINE INFORMATION – 1U58DP004911-01

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 1U58DP004911-01

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:
Additional Costs

SECTION IV – DP Special Terms and Conditions – 1U58DP004911-01

Funding Opportunity Announcement (FOA) Number: DP13-1307

Award Number: U58DP4911-01

TERMS AND CONDITIONS OF THIS AWARD

NOTE 1a: CLARIFICATION: The Statutory Authority of 42 USC 241 42 CFR 52 cited on the first page of this Notice of Award is incorrect.

The correct Statutory Authority for this grant under FOA DP13-1307 is: This program is authorized under Sections 317 of the Public Health Service Act (as amended) [42 U.S.C. Section 247(b)].

NOTE 1b: INCORPORATION: Funding Opportunity Announcement Number DP13-1307 entitled, State Oral Disease Prevention Program, and application dated 05/24/2013, as amended, is made a part of this Non-Research award by reference.

NOTE 2: APPROVED FUNDING: Funding in the amount of \$230,000 is approved for the Year 01 budget period, which is September 1, 2013 through August 31, 2014. All funding for future years will be based on satisfactory programmatic progress and the availability of funds.

NOTE 3: BUDGET REQUIREMENT: Not Applicable to this budget

NOTE 4: INDIRECT COSTS: Indirect costs are approved based on the cost allocation plan dated 05/24/2010.

NOTE 5: RENT OR SPACE COSTS: Recipients are responsible for ensuring that all costs included in this proposal to establish billing or final indirect cost rates are allowable in accordance with the requirements of the Federal award(s) to which they apply and applicable cost principles. The recipient also has a responsibility to ensure sub-recipients expend funds in compliance with federal laws and regulations. Furthermore, it is the responsibility of the recipient to ensure rent is a legitimate direct cost line item which the recipient has supported in current and/or prior projects and these same costs have been treated as indirect costs that have not been claimed as direct costs. If rent is claimed as direct cost, the recipient must provide a narrative justification which describes their prescribed policy to include the effective date to the assigned Grants Management Specialist stated in Note 40; Staff Contacts.

NOTE 6: MATCHING FUNDS REQUIREMENT: Not applicable on this cooperative agreement.

