

## **Important Information Regarding the Current Status of Bureau Operations During the COVID-19 Pandemic**

In addressing the ongoing developments related to the coronavirus (COVID-19) pandemic, the Bureau of Securities Regulation wants to make you aware of what steps we are taking to keep our staff and our stakeholders as safe as possible while continuing to conduct business and provide services.

As of July 8, 2020:

- The Bureau office remains operational and fully staffed at the State House Annex. However, the building is currently closed to the public.
- You may reach Bureau staff at (603) 271-1463. Our hours are from 8:00 a.m. to 4:30 p.m., Monday through Friday.
- Field examiners are conducting streamlined, desk examinations using phone and email correspondence in lieu of traditional on-site examinations during this time.
- Licensing staff are continuing to process licensing/registration applications through the CRD/IARD systems. Bureau requests for supplemental information may be submitted via email, as may responses to Bureau requests.
- Enforcement staff are fully operational and continue to work with licensees, complainants, witnesses and regulatory partners. We are taking full advantage of phone, email, and teleconferencing technology to complete this work in a virtual environment. Those who wish to file a securities-related complaint with the Bureau may still do so using the forms available on this website.
- The Bureau is sensitive to the challenges that this crisis has created for our registered community and our constituents. Please know that our staff will be responsive and receptive to requests for extensions and other appropriate forms of regulatory relief arising from service and staff disruptions occasioned by COVID-19 as determined to be necessary by the Bureau.
- We are following and will continue to follow all orders and guidance issued by Governor Christopher T. Sununu related to COVID-19, including those related to travel and public gatherings.

In addition, the Director of the Bureau has issued an order regarding temporary relief for certain licensees. You may view the order [here](#).

Important information on COVID-19 is available at <https://www.nh.gov<sup>1</sup>/covid19/>. Additional securities regulatory information related to COVID-19 for other securities regulatory jurisdictions can be found on the website of the North American Securities Administrators Association, of which the New Hampshire

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<sup>1</sup> a

Bureau of Securities Regulation is a member, at the following link: [www.nasaa.org/industry-resources/covid-19-updates/](http://www.nasaa.org/industry-resources/covid-19-updates/).

Please keep yourself informed and stay safe.

If you have questions or concerns about this message, please don't hesitate to contact us at the following phone number or email address:

Tel: (603) 271-1463

Email: [securities@sos.nh.gov](mailto:securities@sos.nh.gov)