

**STATE OF NEW HAMPSHIRE
DEPARTMENT OF STATE**

BUREAU OF SECURITIES REGULATION

IN THE MATTER OF:

E* TRADE Securities LLC &
James Karayanis

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ORDER

INV2021-0000

Bureau's MOTION TO VACATE AB INITIO the cease and desist order dated
January 8, 2021 with respect to James Karayanis

is Granted.

SIGNED,

WILLIAM M. GARDNER
SECRETARY OF STATE
BY HIS DESIGNEE:

Dated: February 12, 2021



Barry J. Glenn, Director
N.H. Bureau of Securities Regulation

STATE OF NEW HAMPSHIRE
BUREAU OF SECURITIES REGULATION
DEPARTMENT OF STATE
CONCORD, NEW HAMPSHIRE

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STAFF PETITION FOR RELIEF))	
IN THE MATTER OF:))	
))		
E*TRADE Securities LLC))	
James Karayanis))	
))		
Respondents))	INV2021-0000
_____)		

MOTION TO VACATE AB INITIO

NOW COMES the State of New Hampshire, by and through the Secretary of State, Bureau of Securities Regulation (“the Bureau”), and hereby requests that the Director Vacate Ab Initio the cease and desist order dated 1/8/2021 with respect to James Karayanis, and as grounds therefore says:


1. On January 7th, 2021, a Staff Petition For Relief was filed and an Order To Cease and Desist was issued on January 8th, 2021 with respect to the above listed Respondents. The gravamen of the Petition was that the Respondents failed to respond to and comply with a Bureau letter request for production of information dated 10/23/2020.
2. Since the entry of that Order the Bureau has reached a settlement with E*TRADE regarding this matter. Respondents assert that James Karayanis did not receive the 10/23/2020 letter request until January 21, 2021.

WHEREFORE, the State of New Hampshire, by and through the Secretary of State, Bureau of Securities Regulation, hereby respectfully requests that the Director:

- 1) Vacate Ab Initio the Cease and Desist Order with respect to James Karayanis;
- 2) Grant such other and further relief as deemed just and proper.

2/10/21
Dated

Respectfully submitted,


Jeffrey D. Spill, Esq.
Deputy Director
Secretary of State's Office
State House Room 204
Concord, New Hampshire 03301

I hereby certify that a copy of the foregoing motion has been forwarded via 1st class mail this 12th day of February, 2021 to counsel for E*TRADE.

2/12/21
Dated


Jeffrey D. Spill, Esq.