



Frank Edelblut Commissioner

Christine M. Brennan Deputy Commissioner

STATE OF NEW HAMPSHIRE **DEPARTMENT OF EDUCATION** 101 Pleasant Street Concord, N.H. 03301 TEL. (603) 271-3495 FAX (603) 271-1953

July 12, 2019

His Excellency, Governor Christopher T. Sununu and the Honorable Executive Council State House Concord, New Hampshire 03301

Authorize the NH Department of Education to enter into a sole source contract with Richard A. Gustafson, Bedford, New Hampshire (Vendor code 161346) in an amount not to exceed \$48,000 to serve as an advisor, trainer, coordinator, observer at site visits for the New England Commission of Higher Education, and evaluator for the Division of Educator Support and Higher Education and the Higher Education Commission effective upon Governor and Council approval through June 30, 2021. 100% Other Funds.

Funds are anticipated to be available in the account titled Administration Fees in FY 20 and FY 21 upon the availability and continued appropriation of funds in the future operating budget with the ability to adjust encumbrances between fiscal years through the budget office without Governor & Council approval if needed and justified.

06-56-56-563510-6777000-102-500731 Contracts for Program Services

FY 2020 FY 2021 \$24,000.00 \$24,000.00

## **EXPLANATION**

The Department is requesting this be a sole source contract due to the fact that Mr. Gustafson has provided these services to the Department of Education in the past and, as such, has built a deep knowledge base in this area and has developed extensive relationships within the education community and with institutional leaders.

His duties would include serving as an evaluator to assess program requests from in-state and out-of-state public and private higher education institutions for degree-granting approval pursuant to NH Code of Administrative Rules, Hedc 400; including serving as an observer at site visits held by the New England Commission of Higher Education, the accrediting entity for colleges and universities in New England. In this capacity, he is required to assess whether an institution has met Commission standards, and provide detailed documentation of the judgments reached. In addition, Mr. Gustafson will assist with redrafting the NH Code of Administrative Rules, and supporting the Division of Educator Support and Higher Education with ad hoc projects. Mr. Gustafson provides invaluable experience that is almost impossible to replicate. His expertise is extensive, from the academic arena to a wide range of administrative topics, including online education, accelerated degrees, various accrediting entities, personnel and labor issues, and management in state, public higher education and private higher education sectors.

In the event other funds are no longer available, general funds will not be requested to support this contract.

Respectfully submitted,

Frank Edelblut

Commissioner of Education

Notice: This agreement and all of its attachments shall become public upon submission to Governor and Executive Council for approval. Any information that is private, confidential or proprietary must be clearly identified to the agency and agreed to in writing prior to signing the contract.

## **AGREEMENT**

The State of New Hampshire and the Contractor hereby mutually agree as follows:

## **GENERAL PROVISIONS**

1. IDENTIFICATION.	·				
1.1 State Agency Name		1.2 State Agency Address			
N.H. Department of Education		101 Pleasant Street, Concord,	NH 03301		
		1	•		
1.3 Contractor Name	•	1.4 Contractor Address			
Dr. Richard A. Gustafson		17 Kittanset Road			
Dr. Richard A. Gustaison		Bedford, NH 03110			
		Bedierd, NIT 05710			
.5 Contractor Phone 1.6 Account Number		1.7 Completion Date	1.8 Price Limitation		
Number					
603-377-6829 06-56-566510-67770000-102-		June 30, 2021	\$48,000		
	500731				
1.9 Contracting Officer for State Agency		1.10 State Agency Telephone Number			
Michael Seidel		603-271-0256			
1.11 Contractor Signature		1.12 Name and Title of Contr	actor Signatory		
	1 —	Dr. Richard A. Gustafson			
lesland A Nus Al	7				
9			<u> </u>		
1.13 Acknowledgement: Stat	e of $NH$ , County of $Y$	Kerrimack			
- N.A. N. ma : a					
On July 11 ac 17, before	re the undersigned officer, personal	ly appeared the person identified	in block 1.12, or satisfactorily		
	name is signed in block 1.11, and a	cknowledged that s/he executed t	his document in the capacity		
indicated in block 1.12.	11: 1 .: C.1 B				
1.13.1 Signature of Notary Pu	blic or Justice of the Peace	PATRICIA M. EDES, 1	Notary Public		
State of			<del>npshire</del>		
ISaall PAT	tricia M. Edes	My Commission Expire	s Jan. 24, 2023		
1.13.27 Name and Title of Notary or Justice of the Peace					
Patricia M. Edes, Notary Public					
1.14 State Agency Signature 1.15 Name and Title of State Agency Signatory			Agency Signatory		
1.12.19 5. 0 01111					
Date: 1-12-19 Fronk Ediblit Commission of Personnel (if applicable)					
1.16 Approvar by the N.H. De	SNACCHERU DI ADMINISTRATION, EZIVINI	on of Personner (ij appricable)	•		
By: Director, On:					
1.17 Approval by the Attorne	y General (Form, Substance and Ex				
	y General (Form, Substance and Ex	ecution) (if applicable)			
1.17 Approval by the Attorne  By: Cianto K.	y General (Form, Substance and Ex		)		
By: Count X.	y General (Form, Substance and Ex	ecution) (if applicable) On: JUN 18, 2019	)		
By: Count X.	y General (Form, Substance and Ex	ecution) (if applicable) On: JUN 18, 2019	)		

2. EMPLOYMENT OF CONTRACTOR/SERVICES TO BE PERFORMED. The State of New Hampshire, acting through the agency identified in block 1.1 ("State"), engages contractor identified in block 1.3 ("Contractor") to perform, and the Contractor shall perform, the work or sale of goods, or both, identified and more particularly described in the attached EXHIBIT A which is incorporated herein by reference ("Services").

#### 3. EFFECTIVE DATE/COMPLETION OF SERVICES.

3.1 Notwithstanding any provision of this Agreement to the contrary, and subject to the approval of the Governor and Executive Council of the State of New Hampshire, if applicable, this Agreement, and all obligations of the parties hereunder, shall become effective on the date the Governor and Executive Council approve this Agreement as indicated in block 1.18, unless no such approval is required, in which case the Agreement shall become effective on the date the Agreement is signed by the State Agency as shown in block 1.14 ("Effective Date").

3.2 If the Contractor commences the Services prior to the Effective Date, all Services performed by the Contractor prior to the Effective Date shall be performed at the sole risk of the Contractor, and in the event that this Agreement does not become effective, the State shall have no liability to the Contractor, including without limitation, any obligation to pay the Contractor for any costs incurred or Services performed. Contractor must complete all Services by the Completion Date specified in block 1.7.

#### 4. CONDITIONAL NATURE OF AGREEMENT.

Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including, without limitation, the continuance of payments hereunder, are contingent upon the availability and continued appropriation of funds, and in no event shall the State be liable for any payments hereunder in excess of such available appropriated funds. In the event of a reduction or termination of appropriated funds, the State shall have the right to withhold payment until such funds become available, if ever, and shall have the right to terminate this Agreement immediately upon giving the Contractor notice of such termination. The State shall not be required to transfer funds from any other account to the Account identified in block 1.6 in the event funds in that Account are reduced or unavailable.

# 5. CONTRACT PRICE/PRICE LIMITATION/PAYMENT.

5.1 The contract price, method of payment, and terms of payment are identified and more particularly described in EXHIBIT B which is incorporated herein by reference.
5.2 The payment by the State of the contract price shall be the only and the complete reimbursement to the Contractor for all expenses, of whatever nature incurred by the Contractor in the performance hereof, and shall be the only and the complete compensation to the Contractor for the Services. The State shall have no liability to the Contractor other than the contract price.

5.3 The State reserves the right to offset from any amounts otherwise payable to the Contractor under this Agreement those liquidated amounts required or permitted by N.H. RSA 80:7 through RSA 80:7-c or any other provision of law. 5.4 Notwithstanding any provision in this Agreement to the contrary, and notwithstanding unexpected circumstances, in no event shall the total of all payments authorized, or actually made hereunder, exceed the Price Limitation set forth in block 1.8.

# 6. COMPLIANCE BY CONTRACTOR WITH LAWS AND REGULATIONS/ EQUAL EMPLOYMENT OPPORTUNITY.

6.1 In connection with the performance of the Services, the Contractor shall comply with all statutes, laws, regulations, and orders of federal, state, county or municipal authorities which impose any obligation or duty upon the Contractor, including, but not limited to, civil rights and equal opportunity laws. This may include the requirement to utilize auxiliary aids and services to ensure that persons with communication disabilities, including vision, hearing and speech, can communicate with, receive information from, and convey information to the Contractor. In addition, the Contractor shall comply with all applicable copyright laws. 6.2 During the term of this Agreement, the Contractor shall not discriminate against employees or applicants for employment because of race, color, religion, creed, age, sex, handicap, sexual orientation, or national origin and will take affirmative action to prevent such discrimination. 6.3 If this Agreement is funded in any part by monies of the United States, the Contractor shall comply with all the provisions of Executive Order No. 11246 ("Equal Employment Opportunity"), as supplemented by the regulations of the United States Department of Labor (41 C.F.R. Part 60), and with any rules, regulations and guidelines as the State of New Hampshire or the United States issue to implement these regulations. The Contractor further agrees to permit the State or United States access to any of the Contractor's books, records and accounts for the purpose of ascertaining compliance with all rules, regulations and orders, and the covenants, terms and conditions of this Agreement.

#### 7. PERSONNEL.

7.1 The Contractor shall at its own expense provide all personnel necessary to perform the Services. The Contractor warrants that all personnel engaged in the Services shall be qualified to perform the Services, and shall be properly licensed and otherwise authorized to do so under all applicable laws.

7.2 Unless otherwise authorized in writing, during the term of this Agreement, and for a period of six (6) months after the Completion Date in block 1.7, the Contractor shall not hire, and shall not permit any subcontractor or other person, firm or corporation with whom it is engaged in a combined effort to perform the Services to hire, any person who is a State employee or official, who is materially involved in the procurement, administration or performance of this

Agreement. This provision shall survive termination of this Agreement.

7.3 The Contracting Officer specified in block 1.9, or his or her successor, shall be the State's representative. In the event of any dispute concerning the interpretation of this Agreement, the Contracting Officer's decision shall be final for the State.

#### 8. EVENT OF DEFAULT/REMEDIES.

- 8.1 Any one or more of the following acts or omissions of the Contractor shall constitute an event of default hereunder ("Event of Default"):
- 8.1.1 failure to perform the Services satisfactorily or on schedule:
- 8.1.2 failure to submit any report required hereunder; and/or 8.1.3 failure to perform any other covenant, term or condition of this Agreement.
- 8.2 Upon the occurrence of any Event of Default, the State may take any one, or more, or all, of the following actions:
- 8.2.1 give the Contractor a written notice specifying the Event of Default and requiring it to be remedied within, in the absence of a greater or lesser specification of time, thirty (30) days from the date of the notice; and if the Event of Default is not timely remedied, terminate this Agreement, effective two
- (2) days after giving the Contractor notice of termination;
- 8.2.2 give the Contractor a written notice specifying the Event of Default and suspending all payments to be made under this Agreement and ordering that the portion of the contract price which would otherwise accrue to the Contractor during the period from the date of such notice until such time as the State determines that the Contractor has cured the Event of Default shall never be paid to the Contractor;
- 8.2.3 set off against any other obligations the State may owe to the Contractor any damages the State suffers by reason of any Event of Default; and/or
- 8.2.4 treat the Agreement as breached and pursue any of its remedies at law or in equity, or both.

# 9. DATA/ACCESS/CONFIDENTIALITY/PRESERVATION.

- 9.1 As used in this Agreement, the word "data" shall mean all information and things developed or obtained during the performance of, or acquired or developed by reason of, this Agreement, including, but not limited to, all studies, reports, files, formulae, surveys, maps, charts, sound recordings, video recordings, pictorial reproductions, drawings, analyses, graphic representations, computer programs, computer printouts, notes, letters, memoranda, papers, and documents, all whether finished or unfinished.
- 9.2 All data and any property which has been received from the State or purchased with funds provided for that purpose under this Agreement, shall be the property of the State, and shall be returned to the State upon demand or upon termination of this Agreement for any reason.
- 9.3 Confidentiality of data shall be governed by N.H. RSA chapter 91-A or other existing law. Disclosure of data requires prior written approval of the State.

10. TERMINATION. In the event of an early termination of this Agreement for any reason other than the completion of the Services, the Contractor shall deliver to the Contracting Officer, not later than fifteen (15) days after the date of termination, a report ("Termination Report") describing in detail all Services performed, and the contract price earned, to and including the date of termination. The form, subject matter, content, and number of copies of the Termination Report shall be identical to those of any Final Report described in the attached EXHIBIT A.

11. CONTRACTOR'S RELATION TO THE STATE. In the performance of this Agreement the Contractor is in all respects an independent contractor, and is neither an agent nor an employee of the State. Neither the Contractor nor any of its officers, employees, agents or members shall have authority to bind the State or receive any benefits, workers' compensation or other emoluments provided by the State to its employees.

# 12. ASSIGNMENT/DELEGATION/SUBCONTRACTS. The Contractor shall not assign, or otherwise transfer any interest in this Agreement without the prior written notice and

interest in this Agreement without the prior written notice and consent of the State. None of the Services shall be subcontracted by the Contractor without the prior written notice and consent of the State.

13. INDEMNIFICATION. The Contractor shall defend, indemnify and hold harmless the State, its officers and employees, from and against any and all losses suffered by the State, its officers and employees, and any and all claims, liabilities or penalties asserted against the State, its officers and employees, by or on behalf of any person, on account of, based or resulting from, arising out of (or which may be claimed to arise out of) the acts or omissions of the Contractor. Notwithstanding the foregoing, nothing herein contained shall be deemed to constitute a waiver of the sovereign immunity of the State, which immunity is hereby reserved to the State. This covenant in paragraph 13 shall survive the termination of this Agreement.

## 14. INSURANCE.

- 14.1 The Contractor shall, at its sole expense, obtain and maintain in force, and shall require any subcontractor or assignee to obtain and maintain in force, the following insurance:
- 14.1.1 comprehensive general liability insurance against all claims of bodily injury, death or property damage, in amounts of not less than \$1,000,000per occurrence and \$2,000,000 aggregate; and
- 14.1.2 special cause of loss coverage form covering all property subject to subparagraph 9.2 herein, in an amount not less than 80% of the whole replacement value of the property. 14.2 The policies described in subparagraph 14.1 herein shall be on policy forms and endorsements approved for use in the State of New Hampshire by the N.H. Department of Insurance, and issued by insurers licensed in the State of New Hampshire.

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14.3 The Contractor shall furnish to the Contracting Officer identified in block 1.9, or his or her successor, a certificate(s) of insurance for all insurance required under this Agreement. Contractor shall also furnish to the Contracting Officer identified in block 1.9, or his or her successor, certificate(s) of insurance for all renewal(s) of insurance required under this Agreement no later than thirty (30) days prior to the expiration date of each of the insurance policies. The certificate(s) of insurance and any renewals thereof shall be attached and are incorporated herein by reference. Each certificate(s) of insurance shall contain a clause requiring the insurer to provide the Contracting Officer identified in block 1.9, or his or her successor, no less than thirty (30) days prior written notice of cancellation or modification of the policy.

#### 15. WORKERS' COMPENSATION.

- 15.1 By signing this agreement, the Contractor agrees, certifies and warrants that the Contractor is in compliance with or exempt from, the requirements of N.H. RSA chapter 281-A ("Workers' Compensation").
- 15.2 To the extent the Contractor is subject to the requirements of N.H. RSA chapter 281-A, Contractor shall maintain, and require any subcontractor or assignee to secure and maintain, payment of Workers' Compensation in connection with activities which the person proposes to undertake pursuant to this Agreement. Contractor shall furnish the Contracting Officer identified in block 1.9, or his or her successor, proof of Workers' Compensation in the manner described in N.H. RSA chapter 281-A and any applicable renewal(s) thereof, which shall be attached and are incorporated herein by reference. The State shall not be responsible for payment of any Workers' Compensation premiums or for any other claim or benefit for Contractor, or any subcontractor or employee of Contractor, which might arise under applicable State of New Hampshire Workers' Compensation laws in connection with the performance of the Services under this Agreement.
- 16. WAIVER OF BREACH. No failure by the State to enforce any provisions hereof after any Event of Default shall be deemed a waiver of its rights with regard to that Event of Default, or any subsequent Event of Default. No express failure to enforce any Event of Default shall be deemed a waiver of the right of the State to enforce each and all of the provisions hereof upon any further or other Event of Default on the part of the Contractor.
- 17. NOTICE. Any notice by a party hereto to the other party shall be deemed to have been duly delivered or given at the time of mailing by certified mail, postage prepaid, in a United States Post Office addressed to the parties at the addresses given in blocks 1.2 and 1.4, herein.
- 18. AMENDMENT. This Agreement may be amended, waived or discharged only by an instrument in writing signed by the parties hereto and only after approval of such amendment, waiver or discharge by the Governor and Executive Council of the State of New Hampshire unless no

such approval is required under the circumstances pursuant to State law, rule or policy.

- 19. CONSTRUCTION OF AGREEMENT AND TERMS. This Agreement shall be construed in accordance with the laws of the State of New Hampshire, and is binding upon and inures to the benefit of the parties and their respective successors and assigns. The wording used in this Agreement is the wording chosen by the parties to express their mutual intent, and no rule of construction shall be applied against or in favor of any party.
- 20. THIRD PARTIES. The parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.
- 21. HEADINGS. The headings throughout the Agreement are for reference purposes only, and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.
- 22. SPECIAL PROVISIONS. Additional provisions set forth in the attached EXHIBIT C are incorporated herein by reference.
- 23. SEVERABILITY. In the event any of the provisions of this Agreement are held by a court of competent jurisdiction to be contrary to any state or federal law, the remaining provisions of this Agreement will remain in full force and effect.
- 24. ENTIRE AGREEMENT. This Agreement, which may be executed in a number of counterparts, each of which shall be deemed an original, constitutes the entire Agreement and understanding between the parties, and supersedes all prior Agreements and understandings relating hereto.

Contractor Initials Date 7/1/19

#### **EXHIBIT A**

#### SCOPE OF SERVICES

## Description of services to be provided:

- Serve as an evaluator to assess program requests from in-state private and out-of-state public and private higher education institutions for degree-granting approval pursuant to NH Code of Administrative Rules, Hedc. 400.
- Serve as an observer at site visits held by the New England Commission of Higher Education, the accrediting entity for colleges and universities in New England.
- Administrate program approvals, including individually or collectively:
  - o Recruitment of evaluation teams;
  - o Training of evaluation teams;
  - o Mentoring of evaluation teams, as needed;
  - o Schedule program evaluation visits;
  - o Preparation of evaluation reports, as assigned;
  - o Present program evaluation results to the Higher Education Commission;
  - o Other program evaluation activities, as needed.
- Assist with redrafting the NH Code of Administrative Rules, Hedc 400, for in-state and out-of-state degree-granting institutions and Ed 600, for in-state teacher preparation programs.
- Support the Department of Education and director of the Division of Educator Support and Higher Education and the Higher Education Commission with ad hoc projects.

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#### **EXHIBIT B**

#### **BUDGET**

Limitation on Price: Total budget shall not exceed \$48,000 over two years.

Source of Funding: Funding for this contract is 100% Other Funds from the account titled

Administration Fees as follows:

FY '20

FY '21

Account Number 06-056-566510-67770000-102-500731

24,000.00

\$24,000.00

Method of Payment: In advance of undertaking any support activities enumerated in Exhibit A, contractor and director of the Division of Educator Support and Higher Education will establish a fixed price for each project. Such amount and enumerated services, including specific deliverables and timelines, will be agreed upon in writing before the commencement of such services. Invoices for services will be submitted at least monthly, if needed, and will enumerate a summary of projects completed.

Submit invoices to:
NH Department of Education
Division of Educator Support and Higher Education
101 Pleasant Street
Concord, NH 03301

#### **EXHIBIT C**

Due to the nature of the work involved, the Department of Education waives the insurance requirements under Section 14.1.1.

Contractor will carry appropriate levels of personal automobile insurance during the term of this contract, per the coverage levels set forth in the attached auto insurance declaration page.

A Certificate of Good Standing issued by the Secretary of State is not required for individuals contracting in their own name (as individuals, not as business organizations).

A contractor who is contracting in his or her individual capacity does not need a Certificate of Vote/Authority, but the contractor must represent in the contract Exhibit C of the Form P-37, that he or she is a sole proprietor of the business.

I, <u>Richard A. Gustafson</u>, am a sole proprietorship. As such, **I am not** a corporation, partnership, or limited liability company etc.

Per Revised Statute 292:8-ee Freedom From Liability. – No employee of the division, member of the commission, or any member of an evaluation committee established under any provision of this subdivision shall be held personally liable, either as an individual or as a member of a group, so long as said employee or member was acting in good faith in the furtherance of duties as an employee of the division or member of the commission or an evaluation committee. All such members shall be entitled to the protections afforded by RSA 99-D.

Contractor Initials (1)

#### **EXHIBIT D**

#### **Contractor Obligations**

Contracts in excess of the simplified acquisition threshold (currently set at \$250,000) must address administrative, contractual, or legal remedies in instances where the contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. Reference: 2 C.F.R. § 200,326 and 2 C.F.R. 200, Appendix II, required contract clauses.

The contractor acknowledges that 31 U.S.C. Chap. 38 (Administrative Remedies for False Claims and Statements) applies to the contractor's actions pertaining to this contract.

The Contractor, certifies and affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. § 3801 et seq., apply to this certification and disclosure, if any.

#### Breach

A breach of the contract clauses above may be grounds for termination of the contract, and for debarment as a contractor and subcontractor as provided in 29 C.F.R. § 5.12.

#### Fraud and False Statements

The Contractor understands that, if the project which is the subject of this Contract is financed in whole or in part by federal funds, that if the undersigned, the company that the Contractor represents, or any employee or agent thereof, knowingly makes any false statement, representation, report or claim as to the character, quality, quantity, or cost of material used or to be used, or quantity or quality work performed or to be performed, or makes any false statement or representation of a material fact in any statement, certificate, or report, the Contractor and any company that the Contractor represents may be subject to prosecution under the provision of 18 USC §1001 and §1020.

#### **Environmental Protection**

(This clause is applicable if this Contract exceeds \$150,000. It applies to Federal-aid contracts only.)

The Contractor is required to comply with all applicable standards, orders or requirements issued under Section 306 of the Clean Air Act (42 U.S.C. 1857 (h), Section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency (EPA) regulations (40 CFR Part 15) which prohibit the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA List of Violating Facilities. Violations shall be reported to the FHWA and to the U.S. EPA Assistant Administrator for Enforcement.

#### **Procurement of Recovered Materials**

In accordance with Section 6002 of the Solid Waste Disposal Act (42 U.S.C. § 6962), State agencies and agencies of a political subdivision of a state that are using appropriated Federal funds for procurement must procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired in the preceding fiscal year exceeded \$10,000; must procure solid waste management services in a manner that maximizes energy and resource recovery; and must have established an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

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#### Exhibit E

#### Federal Debarment and Suspension

- a. By signature on this Contract, the Contractor certifies its compliance, and the compliance of its Sub-Contractors, present or future, by stating that any person associated therewith in the capacity of owner, partner, director, officer, principal investor, project director, manager, auditor, or any position of authority involving federal funds:
  - 1. Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal Agency;
  - 2. Does not have a proposed debarment pending;
  - 3. Has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal Agency within the past three (3) years; and
  - 4. Has not been indicted, convicted, or had a civil judgment rendered against the firm by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.
- b. Where the Contractor or its Sub-Contractor is unable to certify to the statement in Section a.1. above, the Contractor or its Sub-Contractor shall be declared ineligible to enter into Contract or participate in the project.
- c. Where the Contractor or Sub-Contractor is unable to certify to any of the statements as listed in Sections a.2., a.3., or a.4., above, the Contractor or its Sub-Contractor shall submit a written explanation to the DOE. The certification or explanation shall be considered in connection with the DOE's determination whether to enter into Contract.
- d. The Contractor shall provide immediate written notice to the DOE if, at any time, the Contractor or its Sub-Contractor, learn that its Debarment and Suspension certification has become erroneous by reason of changed circumstances.

Contractor initial (17)

#### Exhibit F

#### Anti-Lobbying

The Contractor agrees to comply with the provisions of Section 319 of Public Law 101-121, Government wide Guidance for New Restrictions on Lobbying, and 31 U.S.C. 1352, and further agrees to have the Contractor's representative, execute the following Certification:

The Contractor certifies, by signing and submitting this contract, to the best of his/her knowledge and belief, that:

- a. No federal appropriated funds have been paid or shall be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence any officer or employee of any State or Federal Agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any Federal contract, the making of any federal grant, the making of any federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal amendment, or modification of any Federal contract grant, loan, or cooperative agreement.
- b. If any funds other than federally appropriated funds have been paid or shall be paid to any person for influencing or attempting to influence an officer or employee of any Federal Agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit the "Disclosure of Lobbying Activities" form in accordance with its instructions (http://www.whitehouse.gov/omb/grants/sflllin.pdf).
- c. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making and entering into this transaction imposed by Section 1352, Title 31 and U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- d. The Contractor also agrees, by signing this contract that it shall require that the language of this certification be included in subcontracts with all Sub-Contractor(s) and lower-tier Sub-Contractors which exceed \$100,000 and that all such Sub-Contractors and lower-tier Sub-Contractors shall certify and disclose accordingly.
- e. The DOE shall keep the firm's certification on file as part of its original contract. The Contractor shall keep individual certifications from all Sub-Contractors and lower-tier Sub-Contractors on file. Certification shall be retained for three (3) years following completion and acceptance of any given project.



#### Exhibit G

#### Rights to Inventions Made Under a Contract, Copy Rights and Confidentiality

#### Rights to Inventions Made Under a Contract or Agreement

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the DOE.

Any discovery or invention that arises during the course of the contract shall be reported to the DOE. The Contractor is required to disclose inventions promptly to the contracting officer (within 2 months) after the inventor discloses it in writing to contractor personnel responsible for patent matters. The awarding agency shall determine how rights in the invention/discovery shall be allocated consistent with "Government Patent Policy" and Title 37 C.F.R. § 401.

#### Confidentiality

All Written and oral information and materials disclosed or provided by the DOE under this agreement constitutes Confidential Information, regardless of whether such information was provided before or after the date on this agreement or how it was provided.

The Contractor and representatives thereof, acknowledge that by making use of, acquiring or adding to information about matters and data related to this agreement, which are confidential to the DOE and its partners, must remain the exclusive property of the DOE.

Confidential information means all data and information related to the business and operation of the DOE, including but not limited to all school and student data contained in NH Title XV, Education, Chapters 186-200.

Confidential information includes but is not limited to, student and school district data; revenue and cost information, the source code for computer software and hardware products owned in part or in whole by the DOE, financial information, partner information(including the identity of DOE partners), Contractor and supplier information, (including the identity of DOE Contractors and suppliers), and any information that has been marked "confidential" or "proprietary", or with the like designation. During the term of this contract the Contractor agrees to abide by such rules as may be adopted from time to time by the DOE to maintain the security of all confidential information. The Contractor further agrees that it will always regard and preserve as confidential information/data received during the performance of this contract. The Contractor will not use, copy, make notes, or use excerpts of any confidential information, nor will it give, disclose, provide access to, or otherwise make available any confidential information to any person not employed or contracted by the DOE or subcontracted with the Contractor.

#### Ownership of Intellectual Property

The DOE shall retain ownership of all source data and other intellectual property of the DOE provided to the Contractor in order to complete the services of this agreement. As well the DOE will retain copyright ownership for any and all materials, patents and intellectual property produced, including, but not limited to, brochures, resource directories, protocols, guidelines, posters, or reports. The Contractor shall not reproduce any materials for purposes other than use for the terms under the contract without prior written approval from the DOE.

Contractor Initials

Date 7119

# **DECLARATIONS PAGE**

NAMED INSURED

29-2049-2 P

POLICY NUMBER 072 3452-E19-29

000271 0058
GUSTAFSON, RICHARD & JOANNE W

POLICY PERIOD NOV 19 2018 to MAY 19 2019 12:01 A.M. Standard Time

see pag

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AGENT IBANEZ INSURANCE AGENCY INC 819 2ND ST MANCHESTER, NH 03102-5272

PHONE: (603)622-1314 or (866)833-7901

DO NOT PAY PREMIUMS SHOWN ON THIS PAGE.
IF AN AMOUNT IS DUE, THEN A SEPARATE STATEMENT IS ENCLOSED

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AT2 002594 0008 A-2049 GUSTAFSON, RICHARD & JOANNE W

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Policy Number: 072 3452-E19-29

Policy Period: May 19, 2019 to November 19, 2019

Vehicle:

2015 TOYOTA VENZA

**Principal Driver:** 

RICHARD GUSTAFSON

AMOUNT DUE: \$375.55

**AUTO RENEWAL** 

Your State Farm Agent

**IBANEZ INSURANCE AGENCY INC** 

Office: 603-622-1314 Address: 819 2ND ST

**MANCHESTER, NH 03102-5272** 

If you have a new or different car, have added any drivers, or have moved, please contact your agent.

Thank you for choosing State Farm.

CONVENIENT PAYMENT OPTION: You may use one of State Farm's alternate payment plans which divides your present premium into two separate payments.

You may pay one half of the amount due, \$187,77, plus a handling charge of \$2.00. The amount due on MAY 19 2019 will be \$189,77.

The remaining half will be due on JUL 18 2019. We'll send you a reminder notice.

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day we receive your payment, and you will not receive your check back from your financial institution:

Policy Number: 072 3452-E19-29 Prepared March 25, 2019

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Page number 1 of 5

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Power To Pay Your Way



Online .statefarm.com/pay



Mobile Use the State Farm mobile and G ALL

Automated Line: 1-800-440-0998 Your agent: 603-622-1314



Mail Send us a check



Key code: 9477947151



Insured: GUSTAFSON, RICHARD & JOANNE W

Policy Number:

Amount Due: \$375.55

Please pay by May 19, 2019

Make payment to State Farm

For Office Use Only

2-A2 A

2049-FBC4 MUTL VOL 2809906079

Insurance Support Center P.O. Box 588002 North Metro, GA 30029-8002

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\$375,55

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#### DRIVER INFORMATION

#### Assigned Driver(s)

The following driver(s) are assigned to the vehicle(s) on this policy.

Name	Age as of May 19, 2019	Gender	Marital Status	·
RICHARD GUSTAFSON	78	Male	Married	

#### Other Household Driver(s)

In addition to the Principal Driver(s) and Assigned Driver(s), your premium may be influenced by the drivers shown below and other individuals permitted to drive your vehicle. This list does not extend or expand coverage beyond that contained in this automobile policy. The drivers listed below are the drivers reported to us that most frequently drive other vehicles in your household.

JOANNE W GUSTAFSON

# Principal Driver & Assigned Drivers

For each automobile, the Principal Driver is the individual who most frequently drives it.

Each driver is designated as an Assigned Driver on the household automobile that they most frequently drive. Your

premium may be influenced by the information shown for these drivers.

# IMPORTANT NOTICE REGARDING YOUR PREMIUM

State Farm works hard to offer you the best combination of price, service, and protection. The amount you pay for automobile insurance is determined by many factors such as the coverages you have, where you live, the kind of car you drive, how your car is used, who drives the car, and information from consumer reports.

You have the right to request, no more than once during a 12-month period that your policy be re-rated using a current credit-based insurance score. Re-rating could result in a lower rate, no change in rate; or a higher rate. If you would like us to reevaluate your credit-based insurance score or have any questions on how your premium was determined, please contact your State Farm agent.

#### COVERAGE AND LIMITS See your policy for an explanation of these coverages.

À	Liability	·
	Bodily Injury 250,000/500,000	
<del></del>	Property Damage 100,000	\$158.69
c	Medical Payments 5,000	\$15.07
D	Comprehensive	\$30.03
G	1000 Deductible Collision	\$118.92
R1	Auto Rental & Travel Expense	
	\$50 Per Day, \$1,200 Max	\$18.01
U	Uninsured Motor Vehicle	
	Bodily Injury 250,000/500,000	\$34.83
Amount Due	The same that the same of the	学学· 2015.55

# **RESUME OF**

# RICHARD A. GUSTAFSON

# **EDUCATION:**

Ph.D., 1970 University of Connecticut

Graduate College, Storrs, CT

Educational Research, Statistics and Management

M.Ed., 1997 Notre Dame College

Graduate School, Manchester, NH

Teaching English as a Second Language

M.Ed., 1964 Boston University

College of Education, Boston, MA

Science Education,

B.A., 1963 Boston University

College of Arts and Sciences

Biology and Chemistry

Fulbright Fellowship, 1999 Fulbright Senior Research Fellow with the Ministry

Of University Affairs, Bangkok, Thailand

Research/Lecture/Consult on quality assurance models

In higher education

Post Doctoral Institute, 1982 Harvard University

Institute for Educational Management

# PROFESSIONAL EXPERIENCE:

2003 – Present	President Emeritus, Southern New Hampshire University And Chancellor Emeritus, Community College System of New Hampshire
	Interim President, Manchester Community College, Manchester, NH, (August, 2005 – April, 2006)
	Chancellor, Community College System of New Hampshire, (July, 2007 – August, 2011)
	<b>Director</b> of the New Hampshire Higher Education Commission in the Department of Education (August, 2012 – February, 2014)
	Higher Education Consultant for numerous New Hampshire organizations and institutions. (February, 2014 – Present)
1987 – 2003	<b>President</b> , Southern New Hampshire University (formerly, New Hampshire College) Manchester, NH
1981 – 1987	Vice President for Academic Affairs, Keene State College, Keene, NH
1973 – 1981	Assistant Dean, then Associate Dean of the College, Keene State College, Keene, NH
1971 – 1973	Director of Field Services  New England Resource Center for Occupational Education Educational Development Center, Newton, MA
1970 – 1971	Research and Evaluation Specialist Center for Planning and Evaluation San Jose, CA
1968 – 1970	Instructor, Graduate Researcher, IT Programmer. Center for Research and Evaluation University of Connecticut, Storrs, CT

## PROFESSIONAL / COMMUNITY INVOLVEMENT:

#### Current:

Chairman New Hampshire Retirement System Board of Trustees

Trustee American University of Phnom Penh, Cambodia

Member The Registry. An independent member organization that

provides interim presidents and consulting services to

colleges and universities.

Member Housatonic Valley Regional High School Alumni Board,

Falls Village, CT. Member: HVRHS Alumni Wall of Honor

Previous: In the past I have served on a number of Boards and

Commissions at the state, regional and national levels, and have received several honors. Those of particular note are listed below.

- Greater Manchester Chamber of Commerce, Chairman
- Greater Manchester United Way, Chairman
- Elliot Health System Board of Trustees, Chairman
- Federated Arts Commission, Manchester, NH
- New Hampshire College and University Council (NHCUC), Chairman
- New Hampshire Postsecondary Education Commission, Chairman
- New Hampshire Tuition Savings Plan Commission, Vice Chairman
- Hellenic American University (HAU) Board of Trustees, Vice Chairman
- National Association of Independent and Universities (NAICU) Board of Directors
- AAA-Northern New England Board of Directors, Vice Chairman
- Chaired many college and university accreditation teams for the New England Association of Schools and Colleges (NEASC) and the New Hampshire Higher Education Commission(NHHEC)

- Manchester Citizen of the Year, 2003
- Granite State Award, Keene State College, 1999
- Leader for the 21<sup>st</sup> Century, Business New Hampshire Magazine, 2000
- Honorary Doctor of Laws Degree, Southern New Hampshire University, May, 2007
- Hall of Fame, Southern New Hampshire University, January, 2009
- Business NH Magazine's Business Leader of the Year, 2011
- New England Board of Higher Education's Lifetime Achievement Award, 2012
- New Hampshire Legacy Award for accomplishments in higher education, 2016.
- Continue as a consultant for institutions of higher education and professional associations.