

2014 NEW HAMPSHIRE STATEMENT OF FINANCIAL INTERESTS - RSA 15-A

Type or Print Clearly

Full Name Thomas Raffio Work Address One Delta Drive, Concord, NH 03302

Primary Occupation President & CEO e-mail*optional tomraffio@nedelta.com Work Phone 603-223-1300

The office, position, appointment, or employment with state government held by you. State Board of Education
NO ACRONYMS

A. List below the name, address, and type of any profession, business, or other organization in which you or a family member was an officer, director, associate, partner, proprietor, or employee, or served in any other professional or advisory capacity, and from which any income in excess of \$10,000 was derived during the preceding calendar year. Sources of retirement benefits other than federal retirement and/or disability benefits shall be included. (Use additional sheets as necessary.)

1. Please see attached sheet.
2.

If you have no qualifying income indicate by writing your initials next to the following statement. My income does not qualify

B. Indicate below whether you or a family member has a special interest in any of the following businesses, professions, occupations, groups, or matters. A person has a reportable special interest in an item on this list if a change in law, a change in administrative rule, a decision whether or not to award a contract, grant a license or permit, discipline a licensee or permittee, or other decision by government affecting the listed business, profession, occupation, group, or matter would potentially have a greater financial effect on you or a family member than it would on the general public:

1. Any profession, occupation, or business licensed or certified by the State of New Hampshire. List each such profession, occupation, or category of business: Please see attached sheet.

| | | | | |
|--|---|--|---|--|
| <input checked="" type="checkbox"/> 2. Health Care | <input checked="" type="checkbox"/> 3. Insurance | <input type="checkbox"/> 4. Real Estate, including brokers, agent, developers, and landlords | <input type="checkbox"/> 5. Banking or financial services | <input checked="" type="checkbox"/> 6. State of New Hampshire, county, or municipal employment |
| <input type="checkbox"/> 7. N.H. Retirement System | <input type="checkbox"/> 8. Current use land assessment program | <input type="checkbox"/> 9. Restaurants/ lodging | <input type="checkbox"/> 10. Sale and distribution of alcoholic beverages | <input type="checkbox"/> 11. Practice of law |
| <input type="checkbox"/> 12. Any business regulated by the Public Utilities Commission | <input type="checkbox"/> 13. Horse or dog racing, or other legal forms of gambling | | <input type="checkbox"/> 14. Education | <input type="checkbox"/> 15. Water Resources |
| <input type="checkbox"/> 16. Agriculture | 17. N.H. taxes: <input type="checkbox"/> Business Profits Tax <input checked="" type="checkbox"/> Business Enterprise Tax <input type="checkbox"/> Interest and Dividends Tax | <input type="checkbox"/> 18. Optional: Specify any other area in which you have a special interest --- | | |

I have read RSA 15-A and hereby swear or affirm that the foregoing information is true and complete to the best of my knowledge and belief. **RSA 15-A:9 Penalty.** Any person who knowingly fails to comply with the provisions of this chapter or knowingly files a false statement shall be guilty of a misdemeanor.

Date 1/14/14

Thomas Raffio
Signature of Reporting Individual

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2014 New Hampshire Statement of Financial Interests – RSA 15-A
(Additional Information for Thomas Raffio)

A.1.

The Northeast Delta Dental Companies in #1 own 100% of the issued and outstanding stock of Red Tree Holdings, Inc., and Red Tree Holdings is the parent company of:

Red Tree Insurance Company, Inc.
Combined Services LLC
New England Dental Administrators, LLC

Mr. Raffio serves on the board of each of these affiliated companies, is President / CEO of Red Tree Holdings, Red Tree Insurance and New England Dental Administrators, and serves as Board Chair of Combined Services. Mr. Raffio does not earn income from these affiliated companies.

B.1.

Delta Dental Plan of NH, through an RFP process, contracts with The State of New Hampshire to provide dental insurance coverage to state employees, retirees and legislators.