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STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION FOR BEHAVIORAL HEALTH

Jeffrey A. Meyers
Commissioner

129 PLEASANT STREET, CONCORD, NH 03301
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Katja S. Fox
Director

December 20, 2018

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court, and

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
State House
Concord, New Hampshire 03301

Approved by Fiscal Committee Date 12/21/18

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Health and Human Services, Division for Behavioral Health, Bureau of Drug & Alcohol Services to accept and expend State Opioid Response Grant funds from the Substance Abuse and Mental Health Services Administration in the amount of \$3,383,089, effective upon date of Fiscal Committee and Governor and Executive Council approval, through June 30, 2019, and further authorize the funds to be allocated as follows. Grant funds awarded for periods after SFY 2019 will be included in the future operating budgets for SFY 2020 and SFY 2021. 100% Federal Funds.

05-95-92-920510-70400000 HEALTH AND SOCIAL SERVICES, DEPT. OF HEALTH AND HUMAN SVCS, HHS: BEHAVIORAL HEALTH DIV, BUREAU OF DRUG & ALCOHOL SERVICES, STATE OPIOID RESPONSE GRANT

Class/Object	Class Title	Current Authorized Budget	Increase/ (Decrease) Amount	Revised Modified Budget
SFY 2019				
000-400146	Federal Funds	\$19,296,637	\$3,383,089	\$22,679,726
	General Funds	\$0	\$0	\$0
Total Revenue		\$19,296,637	\$3,383,089	\$22,679,726
020-500200	Current Expenses	\$1,872	\$0	\$1,872
030-500301	Equipment	\$15,000	\$0	\$15,000
037-500173	Technology - Hardware	\$7,500	\$0	\$7,500
038-500175	Technology - Software	\$4,680	\$0	\$4,680
039-500188	Telecommunications	\$10,800	\$0	\$10,800
041-500801	Audit Fund Set Aside	\$19,297	\$3,383	\$22,680
042-500620	Additional Fringe Benefits	\$19,136	\$0	\$19,136

049-584946	Transfer Other State Agencies	\$0	\$1,246,146	\$1,246,146
059-500117	Temp Full Time	\$260,362	\$0	\$260,362
060-500602	Benefits	\$162,760	\$0	\$162,760
070-500704	In State Travel	\$3,750	\$0	\$3,750
102-500731	Contracts for Program Svcs	<u>\$18,791,480</u>	<u>\$2,133,560</u>	<u>\$20,925,040</u>
Total Expense		\$19,296,637	\$3,383,089	\$22,679,726

EXPLANATION

The Department of Health and Human Services, Division for Behavioral Health, Bureau of Drug & Alcohol Services seeks approval to accept and expend State Opioid Response Grant federal funds in the amount of \$3,383,089 from the Substance Abuse and Mental Health Service Administration (SAMHSA). This request represents additional funding from year 1 of a two-year grant (September 30, 2018 – September 29, 2020). At the time the original request to accept and expend funds was approved, New Hampshire's spending plan was still in development. Plans have now been finalized and additional funding is needed in the identified class lines. This grant does not have a matching funds requirement. The federal notice of award from SAMHSA is attached.

The State Opioid Response grant funds will continue to be used to implement a comprehensive and enhanced approach to address NH's opioid use disorder (OUD) crisis through various prevention, treatment, and recovery services. NH is continuing to increase and standardize services for individuals with OUD statewide. While services for OUD are now being made available to anyone seeking assistance in NH, special populations have been made a priority for some of the program designs including children and young adults, pregnant women, veterans and service members, individuals with or at-risk of HIV/AIDS, older adults caring for a minor child due to Division for Children, Youth and Families (DCYF) involvement, and individuals re-entering the community from incarceration. NH anticipates serving approximately 1,018,000 individuals annually and 2,036,000 individuals over the lifetime of this grant.

The projects affiliated with the grant have an emphasis on a strong collaboration between a newly designed hub for service access, referral, and care coordination and existing and expanded specialty spoke providers. Expansion of specialty spokes include investments in medication assisted treatment, recovery housing, services to individuals in corrections, telehealth, workforce readiness opportunities, peer recovery support services, enhanced care coordination, support services that increase treatment engagement (transportation, childcare), and parenting education. Surrounding all of the grant investments will also be a public awareness campaign around the risk of opioids, safe medication storage, and accessing help for those who are affected by OUD. NH will also invest in an education and training initiative that will provide training, technical assistance and educational opportunities to support the implementation of evidence-based programs.

The Memorandum of Understanding with the Department of Corrections will be applied to extend the successful provision of substance use disorder case management services to the residents of the Shea Farm Transitional Housing Unit and to make available Naloxone kits and related instruction on administration to individuals re-entering the community from any correctional facility or State-run transitional housing.

Funds will be used for:

Class 041 Audit fund set aside expense
Class 049 Funding for Dept. of Corrections MOU
Class 102 Contract payments to providers

Area served: Statewide.

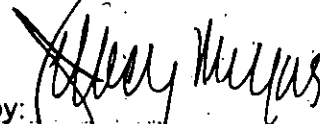
Source of Funds: 100% Federal from Substance Abuse and Mental Health Service Administration.

In the event that federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted,



Katja S. Fox
Director



Approved by:

Jeffrey A. Meyers
Commissioner

**Division for Behavioral Health
Bureau of Drug & Alcohol Services**

State Opioid Response Grant

Fiscal Situation

010-095-92-920510-70400000

Year 1 Grant Award	\$ 22,982,608.00
Allocated Cost Adjustment	\$ (15,000.00)
Available to Accept	<u>\$ 22,967,608.00</u>
Fund accepted previously in FY2019*	\$ (19,296,637.00)
Additional funds to be appropriated in FY 2019	<u>\$ (3,383,089.00)</u>
Balance to be budgeted in SFY 2020	<u><u>\$ 287,882.00</u></u>

*Approved by Fiscal Committee on 9/21/18 item #18-176 and G&C on 10/3/18 item #10



Notice of Award

SOR
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Issue Date: 09/19/2018

Center for Substance Abuse Treatment

Grant Number: 1H79TI081685-01
FAIN: H79TI081685
Program Director: Abby Shockley MPH

Project Title: NH: State Opioid Response Proposal

Grantee Address	Business Address
NH state Dept/Public Health Abby Shockley NH Department of Health and Human Services 129 Pleasant Street Concord, NH 033013857	Annette Escalante NH DHHS Bureau of Drug and Alcohol Services 105 Pleasant Street Concord, NH 03301

Budget Period: 09/30/2018 – 09/29/2019
Project Period: 09/30/2018 – 09/29/2020

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$22,982,608 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH state Dept/Public Health in support of the above referenced project. This award is pursuant to the authority of Title II Division H of the Consolidated Appropriations Act and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Odessa Crocker
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 1H79TI081685-01

Award Calculation (U.S. Dollars)

Other	\$22,982,608
Direct Cost	\$22,982,608
Approved Budget	\$22,982,608
Federal Share	\$22,982,608
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$22,982,608

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$22,982,608
2	\$22,982,608

*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.788
 EIN: 1026000618B3
 Document Number: 18TI81685A
 Fiscal Year: 2018

IC	CAN	Amount
TI	C96N600	\$8,334,379
TI	C96N602	\$14,648,229

IC	CAN	2018	2019
TI	C96N600	\$8,334,379	\$8,334,379
TI	C96N602	\$14,648,229	\$14,648,229

TI-Administrative Data:

PCC: SOR / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79TI081685-01

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1H79TI081685-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Additional Costs

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – TI Special Terms and Conditions – 1H79TI081685-01

REMARKS:

This Notice of Award (NoA) is issued to inform your organization that the application submitted through Funding Opportunity Announcement (FOA) TI-18-015 has been selected for funding.

Based on the availability of additional available funding for the State Opioid Response (SOR) program, the annual approval funding amount for your state has increased. As a result, all funds have been placed in the Other Budget Category and a revised budget is required to be submitted per the special conditions of award reflected below.

Key Personnel (or key staff positions; if staff has not been selected) are listed below:

Abby Shockley, Program Director @ 40% level of effort

TBD, State Opioid Coordinator -- @ 100% level of effort

Any changes in key staff including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project, requires prior approval. Reference the Prior Approval Standard Term for additional information and instructions.

Recipients are expected to plan their work to ensure that funds are expended within the 12-month budget period reflected on this Notice of Award. If activities proposed in the approved budget cannot be completed within the current budget period, SAMSHA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

Register your Program Director/Project Director (PD) in eRA Commons: You must complete registrations in order to submit an FY19 Continuation Application in eRA Commons. You must

register both the Organization and the PD. Additional information for eRA registration can be found at: https://era.nih.gov/reg_accounts/register_commons.cfm.

The response to term and conditions of award must be submitted as .pdf documents in the "View Terms Tracking Details" page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading "4 Additional Materials – grantee" in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Grantee.pdf.

SPECIAL TERMS OF AWARD:

SOR funds shall be used to fund services and practices that have a demonstrated evidence-base, and that are appropriate for the population(s) of focus.

SOR funds shall not be utilized for services that can be supported through other accessible sources of funding such as other federal discretionary and formula grant funds, e.g. HHS (CDC, CMS, HRSA, and SAMHSA), DOJ (OJP/BJA) and non-federal funds, 3rd party insurance, and sliding scale self-pay among others.

SOR funds for treatment and recovery support services shall only be utilized to provide services to individuals with a diagnosis of an opioid use disorder or to individuals with a demonstrated history of opioid overdose problems.

Grantees are expected to report data as required in the FOA and to fully participate in any SAMHSA-sponsored evaluation of this program. All required data must be reported to the SPARS system within SAMHSA specified timelines. The submission of these data in the form required by SAMHSA is a requirement of funding.

Medication Assisted Treatment (MAT) using one of the FDA-approved medications for the maintenance treatment of opioid use disorder (methadone, buprenorphine/naloxone products/buprenorphine products including sublingual tablets/film, buccal film, and extended release, long-acting injectable buprenorphine formulations and injectable naltrexone) is a required activity of your grant per the terms of your grant award.

Recipients are required to work with the SAMHSA Opioid-STR TA grant awarded to AAAP as the primary means of TA provision. Recipients are expected to report data as required in the Funding Opportunity Announcement (FOA) and to fully participate in the cross-site evaluation of the program.

Grantees are required to track funding of activities by providers and be prepared to submit these data to SAMHSA upon request.

STANDARD TERMS OF AWARD:

Refer to the following SAMHSA website to access the Standard Terms applicable to your grant

award for FY 2018: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions> and reference the FY 2018 Standard and New Grant Terms

REPORTING REQUIREMENTS:

Annual Federal Financial Report (SF-425)

The Federal Financial Report (FFR) (SF-425) is required on an annual basis and must be submitted no later than 90 days after the end of the budget period (by December 31, 2019).

The annual FFR should reflect only cumulative actual Federal funds authorized and disbursed, any non-Federal matching funds (if identified in the Funding Opportunity Announcement (FOA)), unliquidated obligations incurred, the unobligated balance of the Federal funds for the award, as well as program income generated during the timeframe covered by the report.

Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grantsmanagement/reporting-requirements>.

FFR reporting must be entered directly into the eRA Commons system. Instructions on how to submit a Federal Financial Report (FFR) via the eRA Commons is available at <https://www.samhsa.gov/sites/default/files/samhsa-grantee-submit-ffr-10-22-17.pptx>.

Annual Performance Progress Report (PPR)

The Performance Progress Report (PPR) is required on an annual basis and must be submitted no later than 90 days after the end of the budget period (by December 31, 2019)

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

This information will be gathered using SAMHSA's Performance Accountability and Reporting System (SPARS); access will be provided upon award.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>

Compliance with Terms and Conditions

Failure to comply with the Terms and Conditions of the grant award may result in actions in accordance with 45 CFR 75.371, Remedies for Non-Compliance and 45 CFR 75.372 Termination. This may include withholding payment, disallowance of costs, suspension and debarment, termination of grant award or denial of future funding.

Unless otherwise identified in the special terms and conditions of award and post award requests, all responses to special terms and conditions of award and post award requests must be submitted through the eRA Commons system.

It is essential that the Grant Number be included in the SUBJECT line of the email.

SPECIAL CONDITIONS

SOR Revised Budget

SAMHSA recently revised its budget threshold for State Opioid Response Grants. The funding recommendation has increased resulting in an overall increase in your authorized budget amount. The administrative/infrastructure costs limits to administer this award of up to 5 percent, and up to 2 percent of the grant award for data collection and reporting, including client-level data collection and reporting are still requirements of this award.

By October 31, 2018, you are required to submit a detailed budget into the Terms Tracker in eRA to release this restricted award. For more information on how to upload a document in response to a tracked term, please reference Terms and Conditions Module (TCM) in the User Guide located at: https://era.nih.gov/modules_user-guides_documentation.cfm

The components required to resolve this restriction are:

- A revised budget breakdown
- A budget narrative
- SF-424A
- Contract, Subcontract, and Consortium detailed budgets and budget justifications must accompany each full budget.
- Other Support page for Key Personnel (please provide a statement confirming that total level of effort between SOR and STR does not exceed 100% level of effort)

The following document(s) were missing from your application and must be submitted by October 31, 2018:

- SF-LLL

We recommend that recipients use the SAMHSA provided template for the revised budget.

Staff Contacts:

Spencer Clark, Program Official
Phone: 240-276-1027 Email: Spencer.Clark@samhsa.hhs.gov

LeSchell D Browne, Grants Specialist
Phone: 240-276-1144 Email: leschell.browne@samhsa.hhs.gov