THE STATE OF NEW HAMPSHIRE
BUREAU OF SECURITIES REGULATION

In the Matter of
Small Corporate Offerings Registration
(Form U-7/ULOR-C)

ORDER

History

Historically, the Bureau has accepted Form U-7 as an application/disclosure under RSA 421-B:14. This has been done as an interpretation of that statute. In order to clarify the statute and because such action is appropriate to the public interest, the following interpretive order is made.

Order

Pursuant to the authority granted in RSA 421-B:28, III, the Secretary of State issues the following order:

The Small Corporate Offerings Registration Form (Form U-7, also known as ULOR-C) may be filed as an application/disclosure document to register securities under RSA 421-B:14, in accordance with guidelines of the North American Securities Administrators Association.

September 10, 1993

Peter C. Hildreth
Director of Securities