

Nicholas A. Toumpas Commissioner

José Thier Montero Director

## STATE OF NEW HAMPSHIRE

#### DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527 603-271-4517 1-800-852-3345 Ext. 4517 Fax: 603-271-4519 TDD Access: 1-800-735-2964



February 28, 2013

1.42 L. R. 105 IA.

Her Excellency, Governor Margaret Wood Hassan and the Honorable Council State House Concord, New Hampshire 03301

## REQUESTED ACTION

Authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Population Health and Community Services, Maternal and Child Health Section to exercise a contract renew and amend option with University of Massachusetts, Purchase Order #1017166, Vendor #177576-B008, 305 South Street, Jamaica Plain, MA 02130-3597, by increasing the Price Limitation by \$1,514,581.00 from \$1,437,420.00 to \$2,952,001.00 to provide laboratory services for newborn screening for the state of New Hampshire, and extend the Completion Date to June 30, 2015 effective July 1, 2013 or the date of Governor and Executive Council approval, whichever is later. This Agreement was originally approved by Governor and Executive Council on June 8, 2011 item #90. Funds are anticipated to be available in the following account for SFY 2014 and SFY 2015 upon the availability and continued appropriation of funds in the future operating budgets, with authority to adjust amounts within the price limitation and amend the related terms of the contract without further approval from Governor and Executive Council.

100 % OTHER: Newborn Screening

05-95-90-902010-5240 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY SERVICES, NEWBORN SCREENING REVOLVING FUND

				Current	Increased	Revised
Fiscal Year	Class/Object	Class Title	Job Number	Modified	(Decreased)	Modified
				Budget	Amount	Budget
SFY 2012	102-500731	Contracts for Prog Svc	90080013	\$713,052		\$713,052
SFY 2013	102-500731	Contracts for Prog Svc	90080013	\$724,368		\$724,368
SFY 2014	102-500731	Contracts for Prog Svc	90080013		\$746,099	\$746,099
SFY 2015	102-500731	Contracts for Prog Svc	90080013		\$768,482	\$768,482
			Total	\$1,437,420	\$1,514,581	\$2,952,001

## **EXPLANATION**

Funds in this agreement will be used to pay for the provision of laboratory services for newborn screening for the entire state of New Hampshire.

Her Excellency, Governor Margaret Wood Hassan and the Honorable Council February 28, 2013 Page 2

Since the establishment of RSA 132:10a in 1965, the State of New Hampshire has been responsible for the screening of all infants born in the state. The goal of newborn screening is the prevention of disability and untimely death of newborns from undiagnosed genetic disorders. New Hampshire is currently screening all infants born in the state for a panel of thirty-three (33) disorders. Although some of these disorders are relatively rare, they do need to be identified and intervention initiated before the disorder presents clinically. In some cases this critical timeline for a positive outcome may be as short as one week. In 2012, the New Hampshire Newborn Screening Program identified eight (8) infants with disorders requiring treatment and ongoing specialty evaluation and in 2011 the program identified eleven (11) infants with disorders. Newborn screening results in early identification of disorders for which timely diagnosis and treatment can mean a life without disability. Clearly the lives of these infants and their families have been impacted in a very positive way.

Should Governor and Executive Council not authorize this Request, New Hampshire would be out of compliance with the mandate (RSA 132:10a) and newborns would be in jeopardy of serious harm, potentially even death.

The University of Massachusetts was selected for this service through a competitive bid process. A Legal notice was published in the New Hampshire Union Leader soliciting Request for Proposals on December 15, 2010, December 16, 2010 and December 17, 2010. There was no Bidders Conference. The Request for Proposals was also posted on the Department of Health and Human Services web site.

The University of Massachusetts was the only respondent to the Request for Proposal. A team of three (3) reviewers from within the Department of Health and Human Services reviewed the proposal. The reviewers had over twenty-five years combined experience working within Department of Health and Human Services, with specific expertise in Newborn Screening and in Public Health Laboratory operations. The University of Massachusetts received a score of 94 out of 100 points. The team of reviewers was unanimous in their recommendation to accept this proposal. The Request for Proposals scoring summary is attached.

As referenced in the original letter approved by Governor and Executive Council on June 8, 2011 item #90, and in the Request for Proposal, Renewals Section, this competitively procured Agreement has the option to renew for two (2) additional years, contingent upon satisfactory delivery of services, available funding, agreement of the parties and approval of the Governor and Executive Council. The Division is exercising this renewal option. These services were contracted previously with this agency in SFY 2012 and SFY 2013 in the amount of \$1,437,420. This represents an increase of \$77,161. This increase is due to the increase of lab fees and transportation cost.

The performance measures in the original contract were successfully achieved, with 98.6% of Congenital Adrenal Hyperplasia results reported to the New Hampshire Newborn Screening Program within three days of the University of Massachusetts receiving the dried blood specimen, and over 98% of all screening reports having had an initial report date within seven days from receipt of specimen in SFY2012. There are no new measures in this renewal and we are confident that the performance measures will be met in this two-year renewal.

The following performance measures will be used to measure the effectiveness of the agreement.

- At least ninety-eight (98%) of Congenital Adrenal Hyperplasia results will be reported to the New Hampshire Newborn Screening Program within three (3) days of the contractor receiving the dried blood specimen.
- At least ninety-eight percent (98%) of all screening reports will have an initial report date within seven days from receipt of specimen.

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Area served: Statewide.

Source of Funds: 100% Other Funds (Newborn Screening Program Revolving Fund).

In the event that Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,

José Thier Montero, MD

Director

Approved by:

Nicholas A. Toumpas

Commissioner

JTM/LK/AK/sc

Program Name Contract Purpose RFP Score Summary

Newborn Screening Program
Laboratory Services for Newborn Screening

130

		University of							
•		Massachusetts,							
		305 South St.,							
		Jamaica Plain, MA Bidder Name,	Bidder Name,						
RFA/RFP CRITERIA	Max Pts 02130		Town, St						
Agy Capacity	30	30.00	00.0	0.00	0.00	0.00	0.00	0.00	0.00
Program Structure	50	45.00	00'0	00.0	0.00	0.00	0.00	0.00	00'0
Budget & Justification	15	15.00	00'0	00.0	0.00	00.0	00.0	0.00	0.00
Format	5	4.00	00'0	00.00	0.00	00.0	00'0	0.00	0.00
Total	100	94.00	00'0	00.00	0.00	0.00	00.00	0.00	0.00

BUDGET REQUEST								
Year 01	\$713,052.00	•	•	•	•	•	-	•
Year 02	\$724,368.00				•	1	•	•
Year 03	\$0.00		•		•	•	-	•
TOTAL BUDGET REQUEST	\$1,437,420.00		•	•	•	•	•	•
BUDGET AWARDED								
Year 01	\$713,052.00		-			-	•	
Year 02	\$724,368.00	•	•			•	•	•
Year 03	\$0.00			•	-		•	•
TOTAL BUDGET AWARDED	\$1,437,420.00	•	•	_		-		•

RFP Reviewers	Name	Job Title	Dept/Agency	Qualifications
	1 Christine Bean, PhD	Administrator, PHL	DPHS	The reviewers have over 30 years
	2 Holly Wentworth, RN	PH Nurse Coordinator	DPHS	combined experience working in
	3 Marcia Lavochkin RN, BSI	N, BSI PH Nurse Coordinator	DPHS	public health. Areas of expertise
				include Maternal Child Health,
				Newborn Screening, nursing
				knowledge and laboratory expertise.

## EXERCISE OF OPTION TO RENEW AND AMEND ONE

This Agreement (hereinafter called the "Renew and Amend One") dated this 22 day of January, 2013 by and between the State of New Hampshire acting by and through its Division of Public Health Services of the Department of Health and Human Services, (hereinafter referred to as the "Division") and the University of Massachusetts, Purchase Order Number 1017166, a public institution of higher learning authorized by the legislature of the Commonwealth of Massachusetts with a place of business at 305 South Street, Jamaica Plain, Massachusetts 02130-3597 (hereinafter referred to as the "Contractor").

WHEREAS, pursuant to an agreement (hereinafter called the "Agreement") dated June 8, 2011, Item #90, the Contractor agreed to perform certain services upon the terms and conditions specified in the Agreement and in consideration of payment by the Division of certain sums as specified therein;

WHEREAS, pursuant to the provision of Exhibit C, #17 of the Agreement, the Agreement may be renewed for a period of two additional years, pending availability of funding, the agreement of the parties, and approval by Governor and Council;

WHEREAS, pursuant to the provision of Section 18 of the Agreement, the Agreement may be modified or amended only by a written instrument executed by the parties thereto and only after approval of such modification or amendment by the Governor and Council;

WHEREAS, the Provider and the Division have agreed to Exercise the Option to Renew and Amend the Agreement in certain respects;

**NOW THEREFORE**, in consideration of the foregoing, and the covenants and conditions contained in the Agreement and set forth herein, the parties hereto do hereby agree as follows:

Contractor Initials

## 1. Exercise Of Option To Renew and Amend For Two Additional Years:

The Agreement is hereby amended as follows:

Amend Section 1.7 of the General Provisions by extending the completion date to June 30, 2015.

Amend Section 1.8 of the General Provisions by increasing the Price Limitation by \$1,514,581 from \$1,437,420 to \$2,952,001.

## Exhibit A – Scope of Services

The prior Scope of Services identified in Exhibit A and any subsequent amendments remain(s) in effect unless expressly revoked by this agreement.

## Exhibit B - Contract Price

Exhibit B of the Agreement, including any amendments thereto, is hereby amended as follows:

The contract price shall increase by \$746,099 for SFY 2014 and \$768,482 for SFY 2015. The contract shall total \$2,952,001 for the contract term.

Funding in the amount of \$1,514,581 is available from 010-090-5240-102-500731, 100% Other Funds from the Newborn Screening Program Revolving Fund.

## 2. Effective Date of Renew and Amend:

This Renew and Amend shall take effect on July 1, 2013 or the date of Governor and Council approval, whichever is later.

## 3. Continuance of Renewal Agreement:

Except as specifically amended and modified by the terms and conditions of this Renew and Amend, the Agreement and the obligations of the parties hereunder, shall remain in full force and effect in accordance with the terms and conditions set forth therein.

Contractor Initiate

Page 2 of 4

IN WITNESS WHEREOF, the parties have hereunto set their hands as of the day and year first above written.

## STATE OF NEW HAMPSHIRE Division of Public Health Services

By: Lies L. Puins, APPN, C. D. C.

Lisa L. Bujno, APRN Brown Duga

Bureau Chief

By: Wyce A. Murphy, Executive Vice Chancellor

University of Massachusetts

# STATE OF MASSACHUSETTS COUNTY OF WORCESTER

On this the 22 nd day of January 2013, before me, Judith A. Nelson,
On this the 22 nd day of January 2013, before me, Judith A Nelson, (name of notary)  the undersigned officer, Juce A. Murch y personally appeared who acknowledged him/herself
to be the Executive Vice Chancellor Com. Medicine of the University of Massachusert's Medical School, (signatory's title)  a corporation, and that he/she, as such Executive Vice Chancellor Com. Medicine, being authorized so to do, (signatory's title)
a corporation, and that he/she, as such <u>Executive Vice Chancellar Com Medicine</u> , being authorized so to do,
executed the foregoing instrument for the purposes therein contained, by signing the name of the
corporation by him/herself as Executive Vace Chancellor of the University of Massachusetts Apical.  (signatory's title) of the University of Massachusetts Apical.
(signatory's title) (legal name of agency) SCHOOL  In witness whereof I hereunto set my hand and official seal.
Quille O Toles.
Notary Public/Justice of the Peace
My Commission expires: August 16, 2013
Approved as to form, execution and substance:
OFFICE OF THE ATTORNEY GENERAL
By: Assistant Attorney General
Assistant Attorney General  Vane P. Herrica
Date: 7 March 2013
I hereby certify that the foregoing contract was approved by the Governor and Council of the State of
New Hampshire at the Meeting on:
OFFICE OF THE SECRETARY OF STATE
By:
Title

## EXTRACT FROM THE RECORDS OF UNIVERSITY OF MASSACHUSETTS

## Granting Authority to Execute Contracts and All Other Instruments

I, Zunilka Barrett, Interim Secretary of the Board of Trustees of the University of Massachusetts, do hereby certify that the following is a true and complete copy of a vote duly adopted by the Board of Trustees of the University of Massachusetts at a meeting duly called and held on the fifth day of February, nineteen hundred and ninety-seven at the University of Massachusetts, Chancellor's Conference Room, Boston, Massachusetts:

"Further, to affirm that, except as to matters governed by the University of Massachusetts Intellectual Property Policy (Doc. T96-040), the Treasurer of the University of Massachusetts or his designee shall be the sole contracting officer of the University with the Authority to execute all contract, grants, restricted gifts (excluding endowments), and amendments thereto for sponsored programs in instruction, research, or public service, unless and until otherwise voted by the Board of Trustees."

I further certify that the Senior Vice President for Administration & Finance and Treasurer of the University, Christine M. Wilda, has retained the right to remain the sole contracting officer of the University of Massachusetts, but in her absence, she has designated Philip J. Marquis, Assistant Vice President for Central Administrative Services and Associate Treasurer.

I further certify that effective January 10, 2013, the following is a list of designated individuals authorized in accordance with the afore referenced votes to review and execute all grants and contracts for sponsored programs in instruction, research and public service that are applicable to and received on behalf of the University of Massachusetts for their respective campuses.

## Amherst Campus

Kumble R. Subbaswamy, Chancellor, Amherst Campus, Amherst, Massachusetts,

John Dubach, Deputy Chancellor, Amherst Campus, Amherst, Massachusetts,

Michael Malone, Vice Chancellor, Amherst Campus, Amherst, Massachusetts,

**Carol P. Sprague**, Director of the Office of Grants and Contracts Administration, Amherst Campus, Amherst, Massachusetts,

Jennifer A. Donais, Director of Research Compliance, Amherst Campus, Amherst, Massachusetts.

Theresa W. Girardi, Assistant Director, Amherst Campus, Amherst, Massachusetts,

Nancy E. Stewart, Assistant Director, Amherst Campus, Amherst, Massachusetts,

James B. Ayres, Assistant Director, Amherst Campus, Amherst, Massachusetts,

**Laura J. Howard**, Associate Director, Division of Continuing Education, Amherst Campus, Amherst, Massachusetts

## **Boston Campus**

J. Keith Motley, Chancellor, Boston Campus, Boston, Massachusetts,

Ellen M. O'Connor, Vice Chancellor for Administration & Finance, Boston Campus, Boston, Massachusetts,

Winston Langley, Provost & Vice Chancellor for Academic Affairs, Boston Campus, Boston, Massachusetts.

**Zong-Guo Xia**, Vice Provost for Research and Strategic Initiatives, Boston Campus, Boston, Massachusetts,

**Matthew L. Meyer**, Associate Vice Provost for Research and Director of the Office of Research & Sponsored Programs, Boston Campus, Boston, Massachusetts,

**Paul M. Mullane**, Deputy Director of the Office of Research and Sponsored Programs, Boston Campus, Boston, Massachusetts

## **Dartmouth Campus**

Divina Grossman, Chancellor, Dartmouth Campus, Dartmouth, Massachusetts,

Alex J. Fowler, Provost & Vice Chancellor for Academic & Student Affairs, Dartmouth Campus, Dartmouth, Massachusetts,

**Deborah McLaughlin**, Chief Operating Officer & Vice Chancellor for Administrative and Fiscal Services, Dartmouth Campus, Dartmouth, Massachusetts,

Marilyn Scudellari-Presto, Associate Vice Chancellor for Financial Services, Administration & Finance, Dartmouth Campus, Dartmouth, Massachusetts,

**Tesfay Meressi**, Associate Provost for Graduate Studies & Research Development, Dartmouth Campus, Dartmouth, Massachusetts,

Michelle M. Plaud, Manager of Pre and Post Award Administration, Dartmouth Campus, Dartmouth, Massachusetts,

## Lowell Campus

Martin T. Meehan, Chancellor, Lowell Campus, Lowell, Massachusetts,

Joanne Yestramski, Vice Chancellor for Administration, Finance, Facilities & Technology, Lowell Campus, Lowell, Massachusetts,

**Jacqueline F. Moloney**, Executive Vice Chancellor, Lowell Campus, Lowell, Massachusetts, **Ahmed Abdelal**, Provost, Lowell Campus, Lowell, Massachusetts,

**Steven O'Riordan**, Associate Vice Chancellor for Financial Services, Lowell Campus, Lowell, Massachusetts,

Linda Concino, Proposal Development Manager, Lowell Campus, Lowell, Massachusetts, Julie Chen, Interim Vice Provost for Research, Lowell Campus, Lowell, Massachusetts,

## President's Office

**Tom Chmura**, Vice President for Economic Development, President's Office, Boston, Massachusetts,

Lynn Griesemer, Associate Vice President for Economic Development and Executive Director for the Donahue Institute, President's Office, Boston, Massachusetts,

Eric Heller, Deputy Director for the Donahue Institute, President's Office, Boston, Massachusetts,

## Worcester

Michael F. Collins, MD, Chancellor, University of Massachusetts Medical School, Worcester, Massachusetts,

**Robert Jenal**, Executive Vice Chancellor for Administration & Finance, University of Massachusetts Medical School, Worcester, Massachusetts,

**Joyce A. Murphy**, Executive Vice Chancellor for Commonwealth Medicine, University of Massachusetts Medical School, Worcester, Massachusetts,

Nancy E. Vasil, Associate Vice Chancellor for Administration & Finance, University of Massachusetts Medical School, Worcester, Massachusetts,

Margaret L. Johnson, Director, Office of Clinical Research, University of Massachusetts Medical School, Worcester, Massachusetts,

**Bethanne Giehl**, Assistant Director of Research Funding, University of Massachusetts Medical School, Worcester, Massachusetts,

**Gina Shaughnessy**, Assistant Director of Contracts, University of Massachusetts Medical School, Worcester, Massachusetts,

**Bhavna Bhatia**, Grants Administrator III, University of Massachusetts Medical School, Worcester, Massachusetts,

**Diego R. Vazquez**, Assistant Vice Provost for Research Funding Services, University of Massachusetts Medical School, Worcester, Massachusetts

I further certify that Christine M. Wilda, Philip J. Marquis, Kumble R. Subbaswamy, John Dubach, Michael Malone, Carol P. Sprague, Jennifer A. Donais, Theresa W. Girardi, Nancy E. Stewart, James B. Ayres, Laura J. Howard, J. Keith Motley, Ellen M. O'Connor, Winston Langley, Zong-Guo Xia, Matthew L. Meyer, Paul M. Mullane, Divina Grossman, Alex J. Fowler, Deborah McLaughlin, Marilyn Scudellari-Presto, Tesfay Meressi, Michelle M. Plaud, Martin T. Meehan, Joanne Yestramski, Jacqueline F. Moloney, Ahmed Abdelal, Steven O'Riordan, Linda Concino, Julie Chen, Tom Chmura, Lynn Griesemer, Eric Heller, Michael F. Collins, MD, Robert Jenal, Joyce A. Murphy, Nancy E. Vasil, Margaret L. Johnson, Bethanne Giehl, Gina Shaughnessy, Bhavna Bhatia and Diego R. Vazquez are members of the University Administration with its principal office located at 333 South Street, Shrewsbury, County of Worcester, in the Commonwealth of Massachusetts

Date: 1 22 13

Zunilka Barrett, Interim Secretary to the

Board of Trustees



## CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY) 01/11/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Aon Risk Services Northeast.	The	CONTACT NAME:			
Providence RI Office	IIIC.	PHONE (A/C. No. Ext):	(866) 283-7122	FAX (A/C. No.): (847) 953-53	.90
100 Westminster Street, 10th Providence RI 02903-2393 USA	Floor	E-MAIL ADDRESS:			
			INSURER(S) AFFORDING CO	VERAGE	NAIC#
INSURED		INSURER A:	Lexington Insurance C	ompany	19437
University of Massachusetts 333 South Street		INSURER B:	Navigators Specialty	Insurance Company	36056
Suite 450		INSURER C:			
Shrewsbury MA 01545 USA		INSURER D:	-		
		INSURER E:			
		INSURER F:			
COVEDACES	CERTIFICATE MUMARER, 5700400270	2.4	DEVICION	I MUMADED.	

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES, LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

	CLOSIONS AND CONDITIONS OF SUCH						Limits shown are as requested
INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	(MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY			004055483	05/01/2012		EACH OCCURRENCE \$2,000,000
	X COMMERCIAL GENERAL LIABILITY			SIR applies per policy ter	ns & condit	tions	DAMAGE TO RENTED \$1,000,000 PREMISES (Ea occurrence)
	CLAIMS-MADE X OCCUR						MED EXP (Any one person)
							PERSONAL & ADV INJURY \$1,000,000
							GENERAL AGGREGATE \$4,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:				ľ		PRODUCTS - COMP/OP AGG \$4,000,000
	X POLICY PRO- JECT LOC						SIR \$100,000
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)
	ANY AUTO						BODILY INJURY ( Per person)
	ALL OWNED SCHEDULED AUTOS						BODILY INJURY (Per accident)
	AUTOS AUTOS NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)
	UMBRELLA LIAB OCCUR						EACH OCCURRENCE
	EXCESS LIAB CLAIMS-MADE						AGGREGATE
	DED RETENTION						
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N			_			WC STATU- OTH- TORY LIMITS ER
	ANY PROPRIETOR / PARTNER / EXECUTIVE	N/A					E.L. EACH ACCIDENT
	(Mandatory in NH)	"'^					E.L. DISEASE-EA EMPLOYEE
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE-POLICY LIMIT
В	Products Liab			NY12LGL031706NC Claims Made	08/10/2012	08/10/2013	Per Occurrence Limi \$10,000,000 Per Occurrence Ded \$100,000
DESC	PRINTION OF OPERATIONS / LOCATIONS / VEHICLE	FR /A+	A	CORD 404 Additional Bornado Cabadola i	i la -		

CERTIFICATE HOLDER
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## CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE SNOULD ART OF THE ABOVE DESCRIBED FOLICIES BE CARCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

NH Department of Health And Human Services Attn: Director, Division of Public Health Services 29 Hazen Drive Concord NH 03301-6504 USA

Aon Risk Services Northeast Inc.

To Whom It May Concern:

The University of Massachusetts, as an entity of the Commonwealth of Massachusetts, is self-insured for Worker's Compensation in accordance with Chapter 152 of the Massachusetts General Laws.

If you have any questions or concerns, please contact me at (774) 455-7590.

Sincerely,

Andrew W. Russell

Director of Risk Management

And Insurance



## Report of Independent Certified Public Accountants

Board of Trustees of the University of Massachusetts **Grant Thornton LLP** 226 Causeway Street, 6th Floor Boston, MA 02114-2155

T 617.723.7900 F 617.723.3640 GrantThornton.com linkd.in/GrantThorntonUS twitter.com/GrantThorntonUS

We have audited the accompanying financial statements of the business-type activities and the aggregate discretely presented component units of the University of Massachusetts (the "University"), an enterprise fund of the Commonwealth of Massachusetts, as of and for the years ended June 30, 2012 and 2011, which collectively comprise the University's basic financial statements as listed in the table of contents. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinions.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the business-type activities and the aggregate discretely presented component units of the University as of June 30, 2012 and 2011, and the respective changes in financial position and cash flows, where applicable, thereof for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Accounting principles generally accepted in the United States of America require that management's discussion and analysis be presented to supplement the basic financial statements. Such information, although not a required part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. This required supplementary information is the responsibility of management. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America established by the American Institute of Certified Public Accountants. These limited procedures consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Boston, Massachusetts

Grant Thornton LLP

December 19, 2012

## University of Massachusetts. Statements of Net Assets As of June 30, 2012 and 2011 (in thousands of dollars)

	University	University Related Organizations	University	University Related Organizations
ASSETS	June 30, 2012	June 30, 2012	June 30, 2011	June 30, 2011
Current Assets Cash and Cash Equivalents	\$61,769		\$56,751	
Cash Held By State Treasurer	17,167		13,895	
Accounts, Grants and Loans Receivable, net	227,740		224,309	
Pledges Receivable, net	4,199	\$1,648	6,071	\$4,582
Short Term Investments	268,600	<b>4</b> ,,5.5	233,392	¥.,,===
Inventories, net	21,442		22,588	
Accounts Receivable from UMass Memorial, net	5,808		10,664	
Due From Related Organizations	173	542	51	632
Other Assets	10,195	407	13,486	8
Total Current Assets	617,093	2,597	581,207	5,222
Noncurrent Assets				
Cash and Cash Equivalents		778		684
Cash Held By State Treasurer	11,874		5,793	
Cash and Securities Held By Trustees	819,794		1,170,175	
Accounts, Grants and Loans Receivable, net	41,993		39,162	
Pledges Receivable, net	2,828	8,586	1,180	5,798
Investments	609,242	355,088	596,838	348,791
Other Assets	32,774	64	29,850	105
Deferred Outflows of Resources	75,635		19,510	2.242
Investment In Plant Net of Accumulated Depreciation	3,098,186	8,822	2,582,651	9,019
Total Noncurrent Assets	4,692,326	373,338	4,445,159	364,397
Total Assets	\$5,309,419	\$375,934	\$5,026,366	\$369,619
LIABILITIES				
Current Liabilities				
Accounts Payable	\$169,787	\$49	\$126,532	\$61
Accrued Salaries and Wages	93,555	·	81,075	
Accrued Compensated Absences	71,892		72,753	
Accrued Workers' Compensation	4,467		3,726	
Accrued Interest Payable	20,218		20,681	
Bonds Payable	415,860		188,952	
Capital Lease Obligations	5,502		5,473	
Assets Held on behalf of Others	0.040	11,978	10.100	11,458
Accounts Payable to UMass Memorial	3,613	470	16,422	E4
Due To Related Organizations Deferred Revenues and Credits	542	173	632	51
	46,248 10,154	2,412	46,705	4,215
Advances and Deposits Other Liabilities	38,266		6,994 39,346	
Total Current Liabilities	880,104	14,612	609,291	15,785
Total Current Liabilities	000,104	14,012	009,291	15,705
Noncurrent Llabilities		•		
Accrued Compensated Absences	30,820		26,541	
Accrued Workers' Compensation	9,805		9,821	
Arbitrage Rebate Payable			14	
Bonds Payable	1,824,474		2,122,233	
Capital Lease Obligations Derivative Instruments, Interest Rate Swaps	6,539	•	12,116	
•	106,110		51,342 20,080	
Deferred Revenues and Credits Advances and Deposits	16,501 26,697		26,688	
Other Liabilities	18,993	3,487	6,850	3,413
Total Noncurrent Liabilities	2,039,939	3,487	2,275,685	3,413
Total Liabilities	\$2,920,043	\$18,099	\$2,884,976	\$19,198
	,	, ,	. ,,	,
Net Assets:				
Invested in Capital Assets Net of Related Debt	\$1,504,102	\$8,822	\$1,283,888	\$9,020
Restricted	47 770	272 205	47 440	054.005
Nonexpendable  Expandable	17,773	273,995	17,112	254,625
Expendable	188,083	60,278	184,909 655 481	73,995 12,781
Unrestricted	679,418 <b>\$2,389,376</b>	14,740 <b>\$357,835</b>	655,481 <b>\$2,141,390</b>	12,781 \$350,421
Total Net Assets	<b>₹,303,310</b>	\$307,030 -	<b>₹</b> 2,141,380	ψ30U,4Z1

The accompanying notes are an integral part of the financial statements.

	University	University Related Organizations	University	University Related Organizations
REVENUES	June 30, 2012	-	June 30, 2011	June 30, 2011
Operating Revenues				
Tuition and Fees (net of scholarship allowances of \$197,319 at June 30, 2012 and \$178,676 at June 30, 2011)	\$659,180		\$597,200	
Federal Grants and Contracts	355,792		371,426	
State Grants and Contracts	67,927		62,597	
Local Grants and Contracts	3,077		1,937	
Private Grants and Contracts	109,235		107,767	
Sales and Service, Educational	19,311		18,011	
Auxiliary Enterprises	297,956		272,020	
Other Operating Revenues:	04 007		50.040	
Sales and Service, Independent Operations Sales and Service, Public Service Activities	61,087		52,619	
Other	383,855		670,557	
Total Operating Revenues	98,107 <b>2,055,527</b>	-	74,979 2,229,113	
EXPENSES				
Operating Expenses				
Educational and General				
Instruction	633,481		596,341	
Research	417,124		414,268	
Public Service	75,665	\$15,240	66,548	\$11,551
Academic Support	147,767		133,253	
Student Services	107,246	The state of the s	98,361	
Institutional Support	198,941		190,227	
Operation and Maintenance of Plant	215,292		202,950	
Depreciation and Amortization	163,166	203	159,854	127
Scholarships and Fellowships	47,626	462	41,238	429
Auxiliary Enterprises	235,633		216,852	
Other Expenditures				
Independent Operations	53,734		41,911	
Public Service Activities	293,951		626,981	
Total Operating Expenses	2,589,626	15,905	2,788,784	12,107
Operating Loss	(534,099)	(15,905)	(559,671)	(12,107)
NONOPERATING REVENUES/(EXPENSES)		1		
Federal Appropriations	6,845		5,826	
State Appropriations	517,392		505,799	
State Appropriations - Federal Stimulus funds	10		37,897	
Gifts	22,143	8,891	26,504	14,308
Investment Income	27,192	(5,255)	77,773	37,049
Endowment Income	15,623	95	10,207	1,214
Interest on Indebtedness	(64,434)		(65,358)	
Nonoperating Federal Grants	73,908		70,643	
Other Nonoperating Income	780		5,225	<del>_</del>
Net Nonoperating Revenues	599,459	3,731	674,516	52,571
Income/(Loss) Before Other Revenues, Expenses, Gains, and Losses	65,360	(12,174)	114,845	40,464
OTHED DEVENUES EXPENSES CAME AND LOSSES				
OTHER REVENUES, EXPENSES, GAINS, AND LOSSES Capital Appropriations	150,367		28,109	
Capital Grants and Contracts	43,891		30,354	
Additions to Permanent Endowments	40,00 ;	19,604	30,034	15,195
Net Amounts Earned/Received on Behalf of Others		107		(1,397)
Capital Contribution	(345)	,.,	4,361	1,666
Disposal of Plant Facilities	(13,606)		(10,682)	••
Gain from Sale of Discontinued Operations	, ,,		9,655	
Other Additions/Deductions	2,317	(123)	(4,405)	(98)
Total Other Revenues, Expenses, Gains, and Losses	182,624	19,588	57,392	15,366
Total Increase In Net Assets	247,984	7,414	172,237	55,830
NET ASSETS				
Net Assets at Beginning of Year	2,141,392	350,421	1 969 153	294,591
Net Assets at End of Year	\$2,389,376	\$357,835	1,969,153 <b>\$2,141,390</b>	\$350,421
	45,000,010	40011000	W-1177	₩000,7£1

## University of Massachusetts Consolidated Statements of Cash Flows For The Years Ended June 30, 2012 and 2011 (in thousands of dollars)

(in diousands of dollars)		
	University	University
CASH FLOWS FROM OPERATING ACTIVITIES	June 30, 2012	June 30, 2011
Tuition and Fees	\$720 972	<b>*</b> 669 202
Grants and Contracts	\$730,873 667,310	\$668,202 601,982
Payments to Suppliers		
• • • • • • • • • • • • • • • • • • • •	(989,163) (1,206,719)	
Payments to Employees	• • • •	(1,168,247)
Payments for Benefits	(317,157)	(295,311)
Payments for Scholarships and Fellowships	(47,620)	(41,232)
Loans Issued to Students and Employees	(6,308)	(4,409)
Collections of Loans to Students and Employees	5,353	5,439
Auxiliary Enterprises Receipts	301,266	263,276
Sales and Service, Educational	17,769	15,899
Sales and Service, Independent Operations	68,408	75,050
Sales and Service, Public Service Activities	415,292	741,461
Net Cash Used for Operating Activities	(360,696)	(399,200)
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES		
State Appropriations	580,609	582,533
State Appropriations - Federal Stimulus Funds	10	37,897
Tuition Remitted to the State	(37,029)	(49,731)
Federal Appropriations	6,845	- 5,826
Gifts and Grants for Other Than Capital Purposes	24,794	22,376
Nonoperating Federal Grants	73,908	70,643
Student Organization Agency Transactions	(40)	303
Net Cash Provided by Noncapital Financing Activities	649,097	669,847
	•	
CASH FLOWS FROM CAPITAL AND OTHER FINANCING ACTIVITIES	ļ	
Proceeds from Capital Debt		539,012
Bond Issuance Costs Paid	(24)	(10,971)
Capital Appropriations	133,653	21,822
Capital Grants and Contracts	46,187	30,099
Purchases of Capital Assets and Construction	(318,942)	(221,979)
Principal Paid on Capital Debt and Leases	(72,885)	(62,239)
Interest Paid on Capital Debt and Leases	(54,929)	(53,469)
Use of Debt Proceeds on Deposit with Trustees		
Net Cash (Used for) / Provided by Capital Financing Activities	(345,214)	(148,602) 93,673
Net Cash (Osed 101) / Provided by Capital Financing Activities	(612,154)	33,613
CASH FLOWS FROM INVESTING ACTIVITIES	ľ	
Proceeds from Sales and Maturities of Investments	4 270 500	4 000 000
	1,379,520	1,028,009
Interest on Investments	17,872	20,639
Purchase of Investments	(1,409,649)	(1,029,342)
Net Cash (Used for) / Provided by Investing Activities	(12,257)	19,306
NET (DEODE A OF) WHO DE A OF WE CARLLAND OA OU FOUNDATION	(222.242)	200 000
NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS	(336,010)	383,626
Orabas 10 of E. Labeta Barbara (H. M.		
Cash and Cash Equivalents - Beginning of the Year	1,246,614	862,988
Cash and Cash Equivalents - End of Year	\$910,604	\$1,246,614
	}	
RECONCILIATION OF OPERATING LOSS TO NET CASH USED BY OPERATING		
Operating Loss	(\$534,099)	(\$559,671)
Adjustments to reconcile loss to net cash used by Operating Activities:	[	
Depreciation and Amortization Expense	163,166	159,854
Changes in Assets and Liabilities:		
Receivables, net	(6,038)	(2,746)
Inventories	1,146	(9,370)
Due to/from Related Organizations	(212)	467
Accounts Receivable/Payable UMass Memorial	(7,953)	16,283
Other Assets	(1,969)	753
Accounts Payable (non-capital)	10,079	(11,551)
Accrued Liabilities	16,623	9,586
Deferred Revenue	(4,036)	6,338
Advances and Deposits	3,169	741
Other Liabilities	(572)	(9,884)
Net Cash Used for Operating Actvities	(\$360,696)	(\$399,200)
	,,	
SUPPLEMENTAL DISCLOSURE OF NONCASH ACTIVITIES:		
Assets acquired and included in accounts payable and other liabilities	\$95,253	\$62,091
Loss on disposal of capital assets	(13,606)	(10,932)
Securities lending activity	(.5,000)	(2,664)
Unrealized gains on investments	(17,711)	48,623
	(,	.5,020

## University of Massachusetts Mission Statement

The University's mission is to provide an affordable and accessible education of high quality and to conduct programs of research and public service that advance knowledge and improve the lives of the people of the Commonwealth, the nation, and the world.

# University of Massachusetts Medical School Commonwealth Medicine

Applied Knowledge in Public Service

## **Our Mission**

To apply knowledge to improve health outcomes for those served by public health and human service programs.

## Our Vision

Commonwealth Medicine will distinguish
UMass Medical School as the national leader
in health sciences education, research,
policy, and services critical to the public sector.

## **Our Values**

As a mission driven organization, we respond to our public sector clients with integrity, a commitment to excellence and a collaborative approach to developing innovative solutions.

# The Mission Statement of the New England Newborn Screening Program

We provide timely, low cost, quality laboratory screening, clinical follow-up, and research to prevent disorders that can lead to death, mental retardation, and life compromising conditions in newborns.





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## **KEY ADMINISTRATIVE PERSONNEL**

# NH Department of Health and Human Services Division of Public Health Services

Agency Name: University of Massachusetts

Name of Bureau/Section: BPHCS, Maternal and Child Health, NBS Program

BUDGET PERIOD:	SFY 2014	July 1, 2013 - June	30, 2014
Name & Title Key Administrative Personnel	Annual Salary Of Key Administrative Personnel		Total Salary Amount Paid By Contract
Roger B. Eaton, Ph.D., Director	\$131,405	0.00%	\$0.00
	\$0	0.00%	\$0.00
	\$0	0.00%	\$0.00
	\$0	0.00%	\$0.00
	\$0	0.00%	\$0.00
	\$0	0.00%	\$0.00
TOTAL SALARIES (Not to exceed Total/Salary \	Wages, Line Item 1 of Bud	get request)	\$0.00

BUDGET PERIOD:	SFY 2015	July 1, 2014 - June 30, 2015			
Name & Title Key Administrative Personnel	Annual Salary Of Key Administrative Personnel	Percentage of Salary Paid By Contract	Total Salary		
Roger B. Eaton, Ph.D., Director	\$133,376	0.00%	\$0.00		
	\$0	0.00%	\$0.00		
	\$0	0.00%	\$0.00		
	\$0	0.00%	\$0.00		
	\$0	0.00%	\$0.00		
	\$0	0.00%	\$0.00		
TOTAL SALARIES (Not to exceed Total/Salary \	Nages, Line Item 1 of Budg	get request)	\$0.00		

Key Administrative Personnel are top-level agency leadership (President, Executive Director, CEO, CFO, etc), and individuals directly involved in operating and managing the program (project director, program manager, etc.). These personnel MUST be listed, **even if no salary is paid from the contract**. Provide their name, title, annual salary and percentage of annual salary paid from agreement.

#### **CURRICULUM VITAE**

## ROGER B. EATON, Ph.D.

Director

New England Newborn Screening Program University of Massachusetts Medical School 305 South Street

Jamaica Plain, Massachusetts 02130

TEL: (617) 983-6300 FAX: (617) 522-2846 roger.eaton@umassmed.edu

#### Education

1976-1981 M.S. and Ph.D. State University of New York at Buffalo, Buffalo,

New York (Department of Microbiology)

1970-1974 B.A. University of Vermont, Burlington, Vermont.

## **Appointments**

1998-Present Acting Director, then Director, New England Newborn Screening

Program, 305 South Street, Jamaica Plain, MA 02130

Associate Professor, Department of Pediatrics, University of

Massachusetts Medical School

1991-Present Chief of Laboratory-Serology, New England Newborn Screening

Program, 305 South Street, Jamaica Plain, MA 02130

1984-1991 Instructor in Medicine, Harvard Medical School, Department of

Rheumatology and Immunology, The Seeley Mudd Building, Room

604, 250 Longwood Avenue, Boston, MA 02115

Associate in Rheumatology and Immunology, Brigham and Women's

Hospital, 75 Francis Street, Boston, MA 02115

1981-1984 Postdoctoral Fellow, Harvard Medical School, and Research Fellow,

Brigham and Women's Hospital (joint appointment)

## **Recent Additional Professional Experience**

2013 – Present Advisory Board Member, HRSA-grant Sickle Cell Disease Newborn

Screening Program Advisory Board. (Barry Zuckerman, M.D., Boston

Medical Center, Principal Investigator).

2012-2013 Member, Massachusetts Department of Health Critical Congenital Heart

Disease (CCHD) Screening Advisory Group

2010-Present Member, Newborn Screening Translational Research Network

(NBSTRN) Newborn Screening Laboratories Workgroup

2010

Member, Health Information Technology Workgroup for the Secretary's Advisory Committee on Heritable Disorders in Newborns and Children (HIT Workgroup for SACHDNC)

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## **BUDGET FORM**

# New Hampshire Department of Health and Human Services COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Bidder/Program Name: University of Massachusetts

**Budget Request for: Laboratory Services for Newborn Screening** 

(Name of RFP)

Budget Period: July 1, 2013 - June 30, 2014

Line Item	Direc Increme		Indirect Fixed		Total	Allocation Method for Indirect/Fixed Cost
Total Salary/Wages	\$	-	\$		\$ -	
2. Employee Benefits	\$		\$	1	\$ -	
3. Consultants	\$	-	\$	-	\$ -	
4. Equipment:	\$	1	\$	-	\$ -	
Rental	\$	•	\$	-	\$ -	
Repair and Maintenance	\$	•	\$	•	\$ -	
Purchase/Depreciation	\$	•	\$	-	\$ -	
5. Supplies:	\$	•	\$	-	\$ 	
Educational	\$	-	\$	-	\$ -	
Lab	\$	-	\$	-	\$ -	
Pharmacy	\$	-	\$	-	\$ -	
Medical	\$	•	\$		\$ 	
Office	\$	•	\$	-	\$ -	
6. Travel	\$	•	\$	•	\$ -	
7. Occupancy	\$	•	\$	-	\$ -	
8. Current Expenses	\$		\$	1	\$ -	
Telephone	\$	-	\$		\$ _	
Postage	\$	•	\$	ı	\$ -	
Subscriptions	\$	-	\$	-	\$ -	
Audit and Legal	\$		\$	-	\$ -	
Insurance	\$	-	\$	-	\$ -	
Board Expenses	\$	-	\$	-	\$ 	
9. Software	\$		\$	-	\$ -	
10. Marketing/Communications	\$	-	\$		\$ -	
11. Staff Education and Training	\$	-	\$	-	\$ 	
12. Subcontracts/Agreements	\$	-	\$	-	\$ -	
13. Other (specific details mandatory):	\$	-	\$	-	\$ -	
Laboratory Testing		06,547.00	\$	-	\$ 706,547.00	
Specimen Shipping	\$	39,552.00	\$	-	\$ 39,552.00	
· · · · · ·	\$	-	\$	-	\$ -	
TOTAL	\$ 74	16,099.00	\$	-	\$ 746,099.00	

**Indirect As A Percent of Direct** 

0.0%

For DPHS use only

Maximum Funds Available - (DPHS program to enter total funds available) Reconciliation - (this line must be equal to or greater than \$0)

\$746,099 \$0.00

## **BUDGET FORM**

# New Hampshire Department of Health and Human Services COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Bidder/Program Name: University of Massachusetts

Budget Request for: <u>Laboratory Services for Newborn Screening</u>

(Name of RFP)

Budget Period: July 1, 2014 - June 30, 2015

Line Item	Direct Increment	al	*	Indirect Fixed	1	5	Total	Allocation Method for Indirect/Fixed Cost
Total Salary/Wages	\$	-	\$	·	-	\$	-	
Employee Benefits	\$	-	\$		-	\$	-	
3. Consultants	\$	-	\$		-	\$	-	
4. Equipment:	\$	-	\$		-	\$	-	
Rental	\$	-	\$		-	\$	-	
Repair and Maintenance	\$	-	\$		-	\$	-	
Purchase/Depreciation	\$	-	\$	_	-	\$	-	
5. Supplies:	\$	-	\$		-	\$	-	•
Educational	\$	-	\$		-	\$	-	
Lab	\$	-	\$		-	\$	-	
Pharmacy	\$	-	\$		-	\$	-	
Medical	\$	-	\$		-	\$	-	
Office	\$	-	\$		-	\$	-	
6. Travel	\$	-	\$		-	\$	-	
7. Occupancy	\$	-	\$		-	\$	-	
8. Current Expenses	\$	-	\$		-	\$	-	
Telephone	\$	-	\$		-	\$	-	
Postage	\$	-	\$		-	\$	-	
Subscriptions	\$	-	\$		-	\$	-	
Audit and Legal	\$	-	\$		-	\$	-	
Insurance	\$	-	\$		-	\$	-	
Board Expenses	\$	-	\$		-	\$	-	
9. Software	\$	-	\$		-	\$	-	
10. Marketing/Communications	\$	-	\$		-	\$	-	
11. Staff Education and Training	\$	-	\$		-	\$	-	
12. Subcontracts/Agreements	\$	-	\$		-	\$	-	
13. Other (specific details mandatory):	\$	-	\$		-	\$	-	
Laboratory Testing	\$ 728,	337.00	\$		-	\$	728,337.00	
Specimen Shipping	\$ 40,	145.00	\$		-	\$	40,145.00	
	\$	-	\$		-	\$	-	
TOTAL	\$ 768,	482.00	\$		-	\$	768,482.00	

**Indirect As A Percent of Direct** 

0.0%

For DPHS use only

Maximum Funds Available - (DPHS program to enter total funds available) Reconciliation - (this line must be equal to or greater than \$0)

\$768,482 \$0.00



Nicholas A. Toumpas Commissioner

José Thier Montero Director

#### STATE OF NEW HAMPSHIRE

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527 603-271-4517 1-800-852-3345 Ext. 4517 Fax: 603-271-4519 TDD Access: 1-800-735-2964

May 17, 2011

<b>\</b>	
NH DIVISION OF Public Health	Services

pproved F/C	
Date	
Approved G&C Lo 8/1	#90
DRIG -	
Not Approved	

His Excellency, Governor John H. Lynch and the Honorable Executive Council State House Concord, New Hampshire 03301

## REQUESTED ACTION

Authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Population Health and Community Services (BPHCS), Maternal and Child Health Section (MCH) to enter into an agreement with University of Massachusetts (Vendor #177576-B008), 305 South Street, Jamaica Plain, MA 02130-3597, to provide laboratory services for newborn screening for the state of New Hampshire, to be effective July 1, 2011 or date of Governor and Council approval, whichever is later, through June 30, 2013, in the amount not to exceed \$1,437,420. Funds are anticipated to be available in the following account for SFY 2012 and SFY 2013 depending upon the availability and continued appropriation of funds in the future operating budgets.

05-95-90-902010-5240 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY SERVICES, NEWBORN SCREENING REVOLING FUND

Fiscal Year	Class/Object	Class Title	Job Number	Total Amount
SFY 2012	102-500731	Contracts for Prog Svc	90080013	\$713,052
SFY 2013	102-500731	Contracts for Prog Svc	90080013	\$724,368
			Total	\$1,437,420

## **EXPLANATION**

Funds in this agreement will be used to pay for the provision of laboratory services for newborn screening for the entire state of New Hampshire.

Since the establishment of RSA 132:10a in 1965, the state of New Hampshire has been responsible for the screening of all infants born in the state. The goal of newborn screening is the prevention of disability and untimely death of newborns from undiagnosed genetic disorders. New Hampshire is currently screening all infants born in the state for a panel of thirty-three (33) disorders. Although some of these disorders are relatively rare, they do need to be identified and intervention initiated before the disorder presents clinically. In some cases this critical timeline for a positive outcome may be as short as one week. In 2010, the New Hampshire Newborn Screening Program identified eighteen (18) infants with disorders requiring treatment and ongoing specialty evaluation and in 2009 the program identified twenty-seven (27) infants with disorders. Newborn screening

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results in early identification of disorders for which timely diagnosis and treatment can mean a life without disability. Clearly the lives of these infants and their families have been impacted in a very positive way.

Should Governor and Executive Council not authorize this Request, New Hampshire would be out of compliance with the mandate (RSA 132:10a) and newborns would be in jeopardy of serious harm, potentially even death.

University of Massachusetts was selected for this service through a competitive bid process. A Legal notice was published in the New Hampshire Union Leader soliciting Request for Proposals on December 15, 2010, December 16, 2010 and December 17, 2010. There was no Bidders Conference. The Request for Proposals was also posted on the Department of Health and Human Services web site.

University of Massachusetts was the only respondent to the Request for Proposal. A team of three (3) reviewers from within the Department of Health and Human Services reviewed the proposal. The reviewers had over twenty-five years combined experience working within Department of Health and Human Services, with specific expertise in Newborn Screening and in Public Health Laboratory operations. University of Massachusetts received a score of 94 out of 100 points. The team of reviewers was unanimous in their recommendation to accept this proposal. The Request for Proposals scoring summary is attached.

As referenced in the Request for Proposals, Renewals Section, this competitively procured Agreement has the option to renew for two (2) additional years, contingent upon satisfactory delivery of services, available funding, agreement of the parties and approval of the Governor and Council. These services were contracted previously with this agency in SFY 2010 and SFY 2011 in the amount of \$1,479,758. This represents a decrease of \$42,338. This decrease is due to the University of Massachusetts negotiating better insurance premiums and equipment maintenance contracts; renegotiated the UPS contract and making changes to their physical space to reduce operating costs all of which contributed to savings, which are reflected in this contract.

The following performance measures will be used to measure the effectiveness of the agreement.

- At least ninety-eight (98%) of Congenital Adrenal Hyperplasia results will be reported to the New Hampshire Newborn Screening Program within three (3) days of the contractor receiving the dried blood specimen.
- At least ninety-eight percent (98%) of all screening reports will have an initial report date within seven days from receipt of specimen.

Area served: Statewide.

Source of Funds: 100% Other Funds (Newborn Screening Program Designated Fund).

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In the event that the Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,

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Director

Commissioner

JTM/PT/ML/sc