

State of New Hampshire

Department of State Bureau of Securities Regulation

No license will be issued
until ALL blanks in this
form are properly filled out.

No. _____
Rec'd _____
App'd _____
Fee _____
Granted _____

Personal Statement of Agent

1. Name _____ D.O.B. _____ SS No. _____
(Give Full Name)
2. Business address _____
(Number and Street and/or P.O. Box) (Town or City) (Zip No.)
Current Home address _____
3. Have you read the New Hampshire law regulating the sale of securities? _____
4. Residence for past five years _____
5. Present occupation _____ How long? _____
6. Occupation for prior five years _____
7. If employed, give name and address of employer(s) _____
8. If member of firm, give firm name and names of partners _____
9. What other business do you intend to carry on? _____
10. How many years experience in selling securities have you had? _____
11. By whom were you employed? _____
12. In what other states have you ever applied for a securities license? _____
13. In what other states are you registered or licensed? _____
14. In what states, if any, have you been refused a securities license or have been subject to revocation or suspension? _____
15. State briefly the general plan and method of selling securities, whether by person solicitation, correspondence or otherwise _____
16. Name of last employer and type of work performed _____

I desire to be licensed as an agent of _____
(GIVE CORPORATE NAME)

17. For the purpose of complying with the laws of New Hampshire relating to either the offer or sale of securities in New Hampshire, I irrevocably appoint the Secretary of State of New Hampshire and the successors in such office, my attorney upon whom may be served any notice, process or pleading in any action or proceeding against me arising out of or in connection with the offer or sale of securities, or out of the violation, or alleged violation, of the laws of New Hampshire. I consent that any such action or proceeding against me may be commenced in any court of competent jurisdiction and proper venue by service of process upon the appointee as if I were a resident of, and had been lawfully served with process, in New Hampshire, I request that a copy of any notice, process or pleading served hereunder be mailed to my current residential address as reflected in this form or any amendment thereto.

Signed _____

Notarized:

STATE OF _____

COUNTY OF _____

Subscribed and sworn to before me this _____ day of _____, _____

Notary Public

Date of expiration of commission