

**STATE OF NEW HAMPSHIRE
BUREAU OF SECURITIES REGULATION
DEPARTMENT OF STATE**

IN THE MATTER OF:)
)
Ridgeway & Conger, Inc.) Default Order
) INV2016-0009
)
Respondent)
)

WHEREAS, the staff of the Bureau of Securities Regulation, Department of State, State of New Hampshire (hereinafter referred to as “the Bureau”) filed a Staff Petition for Relief in the above-referenced matter on March 28, 2016; and

WHEREAS, an Order to Show Cause with other sanction was issued by the Director of the Bureau on April 11, 2016, said order containing a Notice of Order and a Notice of Right to Request a Hearing; and

WHEREAS, a copy of the Staff Petition and Order were sent to the Respondent on April 12, 2016 via U.S. certified mail return receipt requested at the address of 2123 Main Street, New Woodstock, NY 13122; and

WHEREAS, according to the return receipt delivered to the Bureau, the copy of the Staff Petition and Order were received and signed for on April 18, 2016; and

WHEREAS, having been duly notified, the Respondent failed to request a hearing within 30 calendar days of the receipt of the Staff Petition and Order;

ORDER

THEREFORE, it is hereby ORDERED that:

- 1) The Respondent is found in default of the Order to Show Cause in the above referenced matter;
- 2) The registration of the Respondent as a broker-dealer is hereby revoked pursuant to N.H. RSA 421-B:10, III, RSA 421-B:26, I and RSA 421-B:26, III as in effect at the time of the violation alleged in the Bureau's Staff Petition.
- 3) The Respondent shall pay an administrative fine in the amount of \$5,000.00 for violation of N.H. RSA 421-B:8, and in accordance with N.H. RSA 421-B:10, I(B), RSA 421-B:10, VI and N.H. RSA 421-B:26, III, as in effect at the time of the violation alleged in the Bureau's Staff Petition.
- 4) The Respondent shall pay the outstanding administrative penalty of \$2,650.00 as required by N.H. RSA 421-B:8 as in effect at the time of the violation alleged in the Bureau's Staff Petition.

SIGNED,

WILLIAM M. GARDNER
SECRETARY OF STATE
BY:

Dated: 6/18/16



KEVIN B. MOQUIN
HEARINGS OFFICER